

Graph Algorithms

Textbook Reading
Chapter 22

Overview

Design principle:

- Learn the structure of the graph by systematic exploration.

Proof technique:

- Proof by contradiction

Problems:

- Connected components
- Bipartiteness testing
- Topological sorting
- Strongly connected components

Graphs, Vertices, and Edges

A **graph** is an ordered pair $G = (V, E)$.

- V is the set of **vertices** of G .
- E is the set of **edges** of G .
- The elements of E are pairs of vertices (v, w) .

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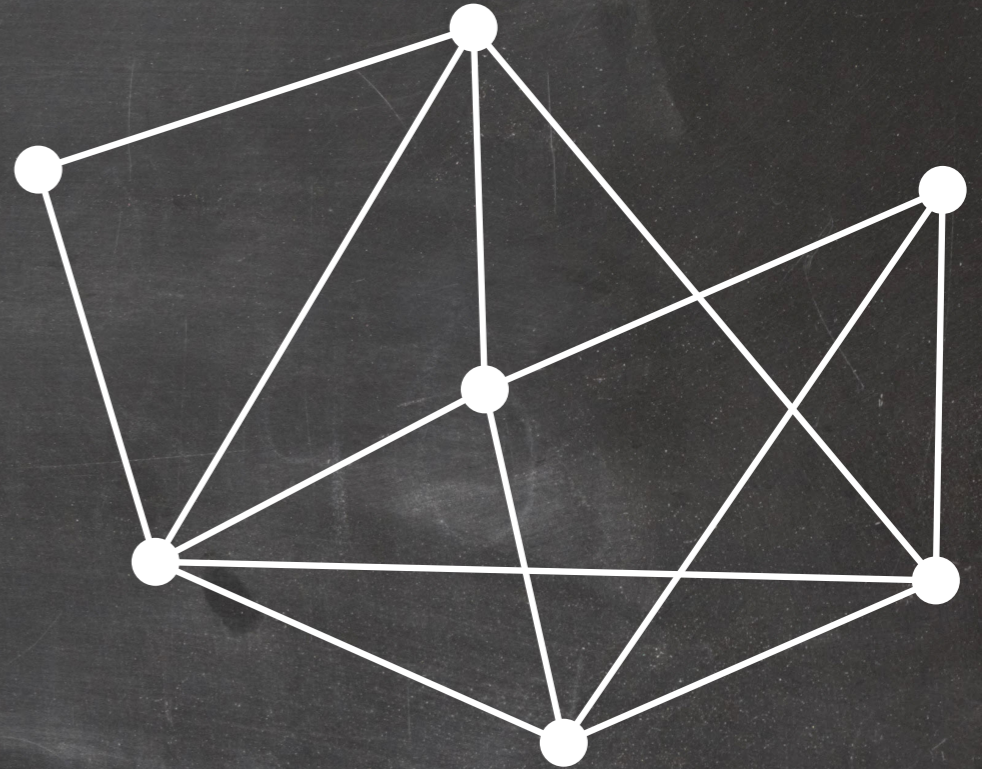
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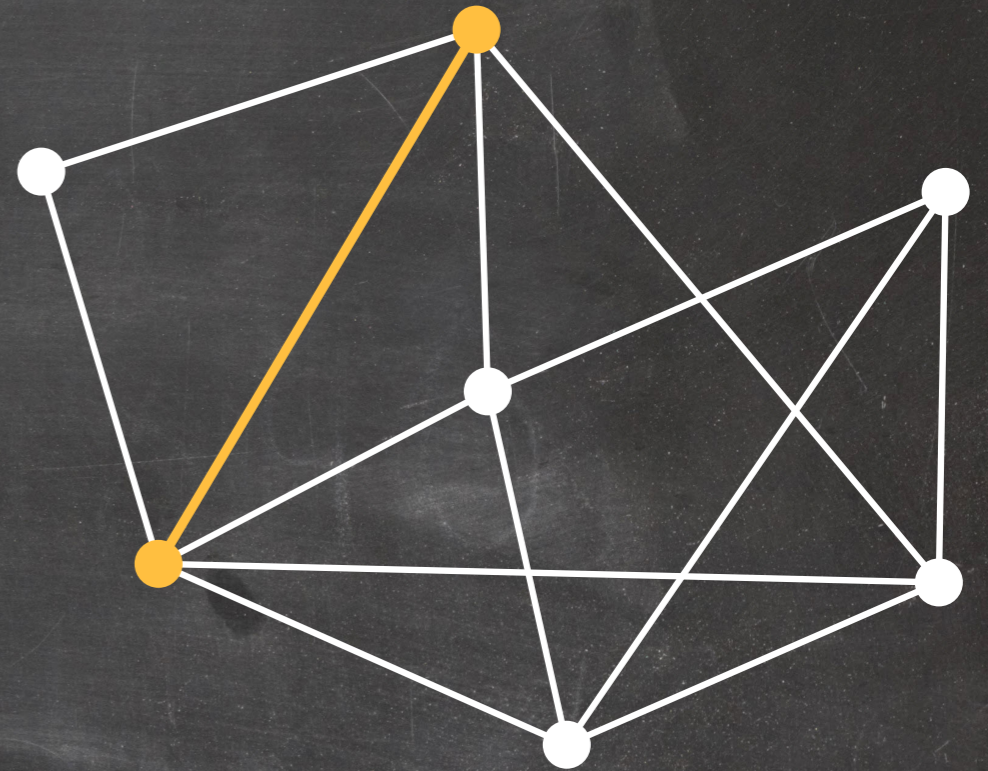
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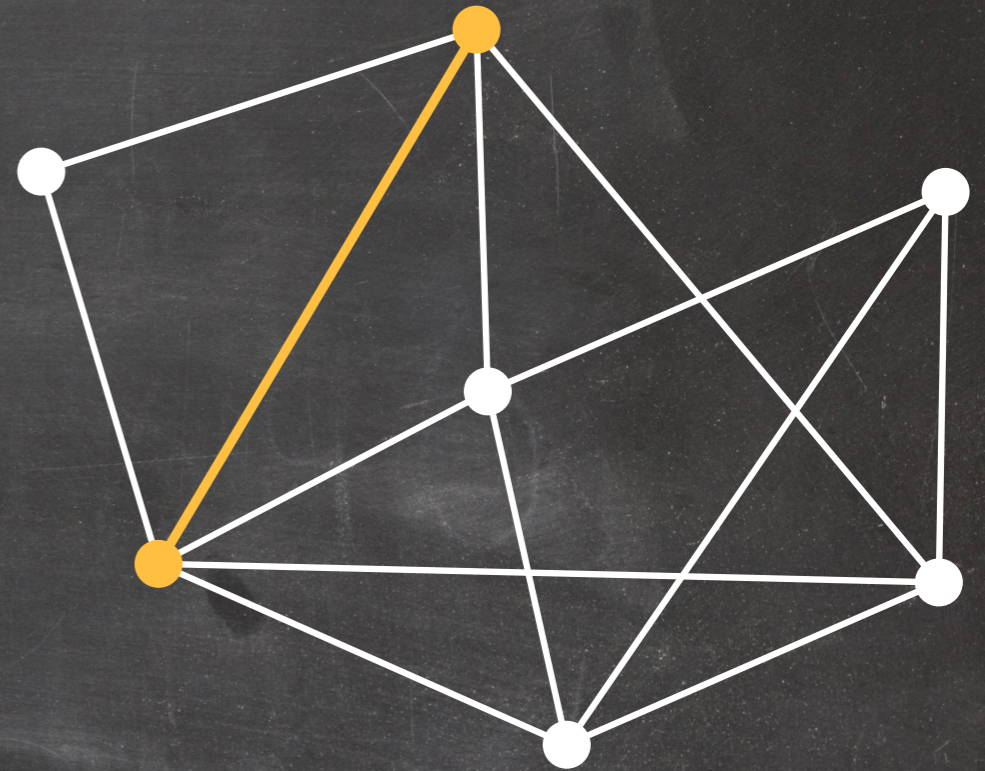


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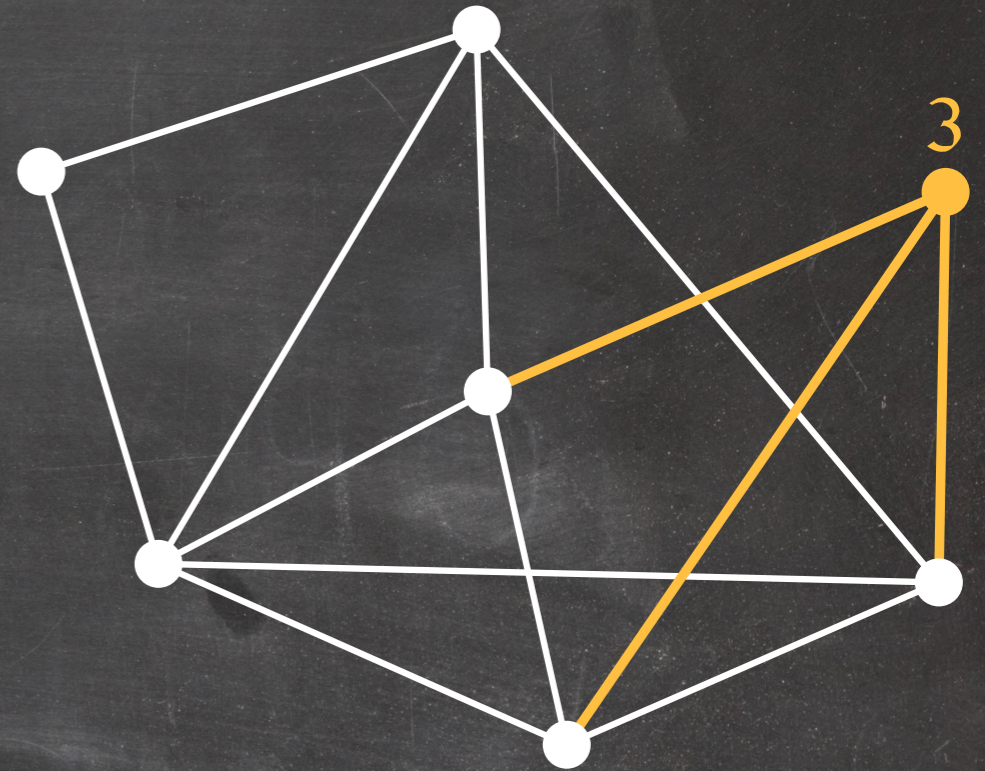
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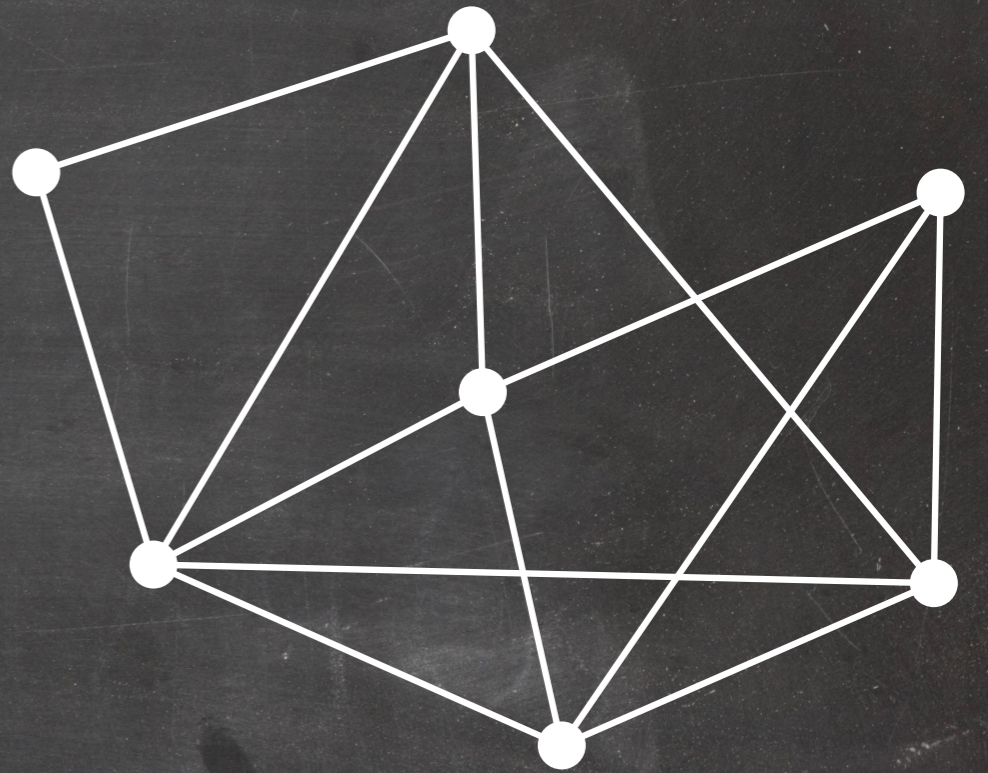
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The **degree** of a vertex is the number of its incident edges.

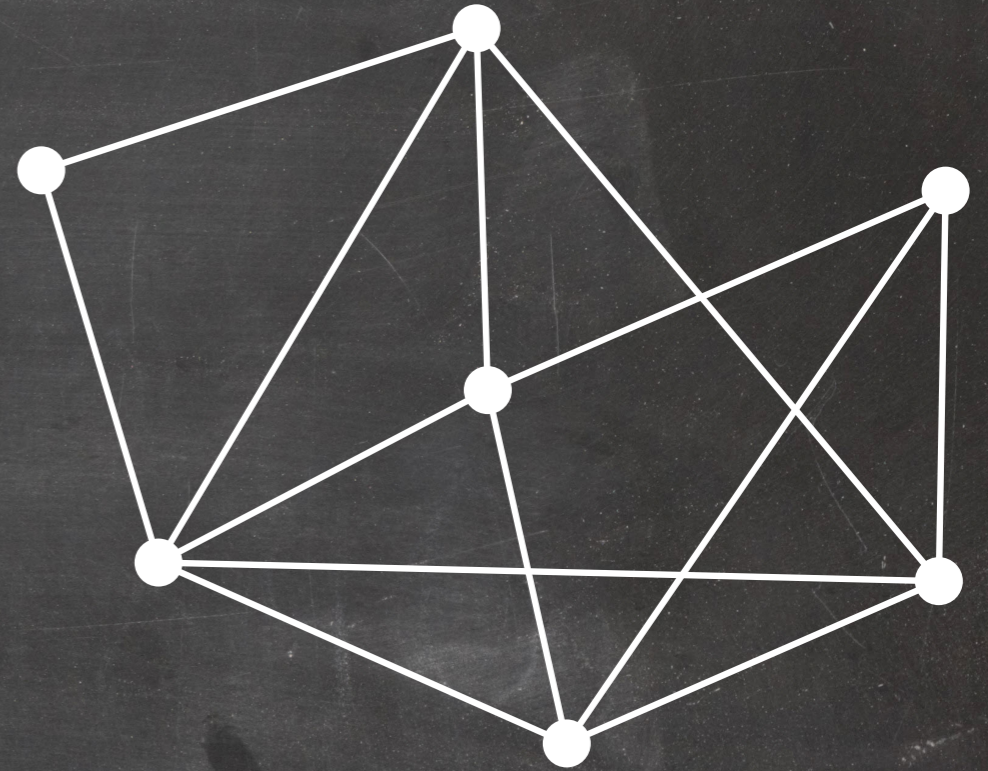
Undirected and Directed Graphs

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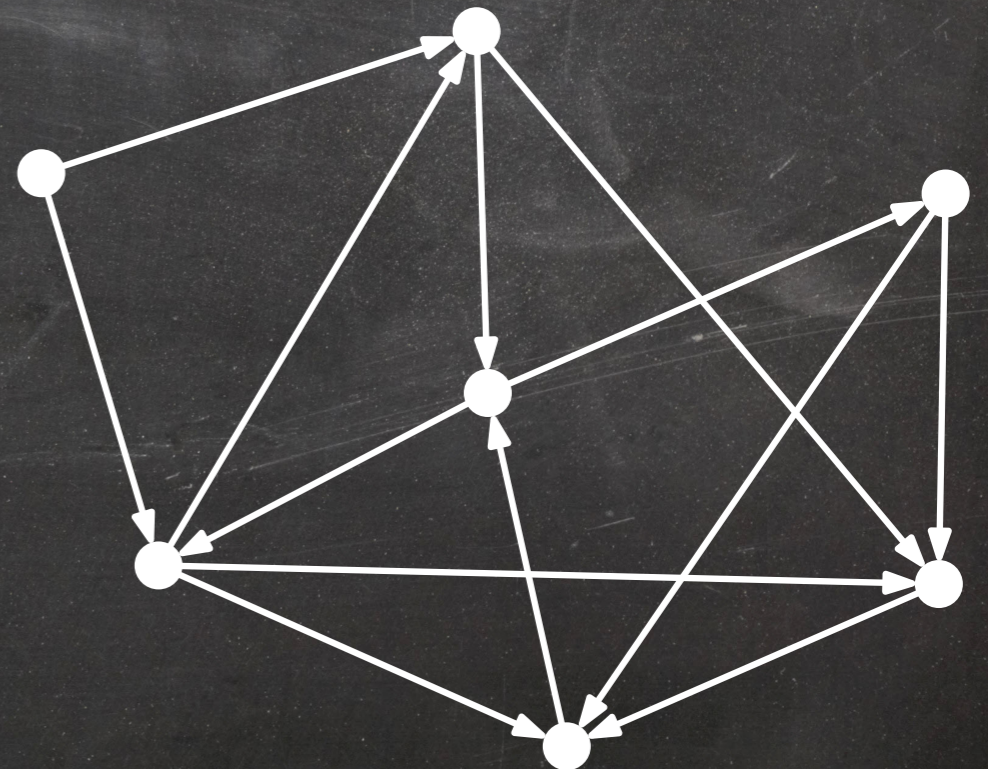


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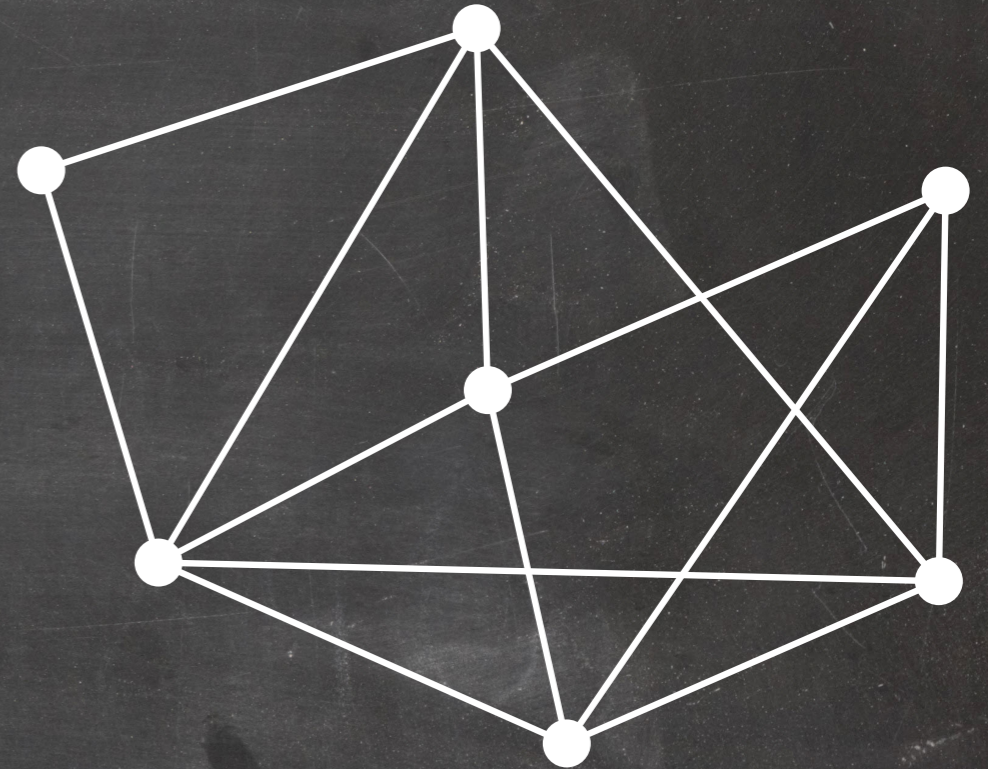


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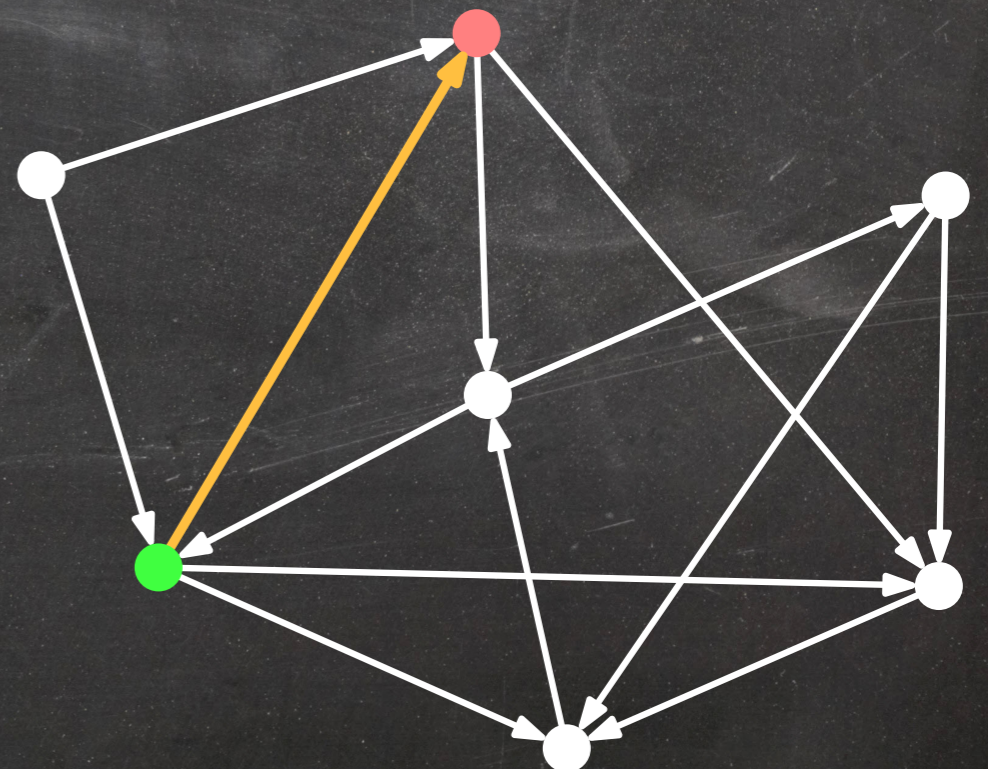
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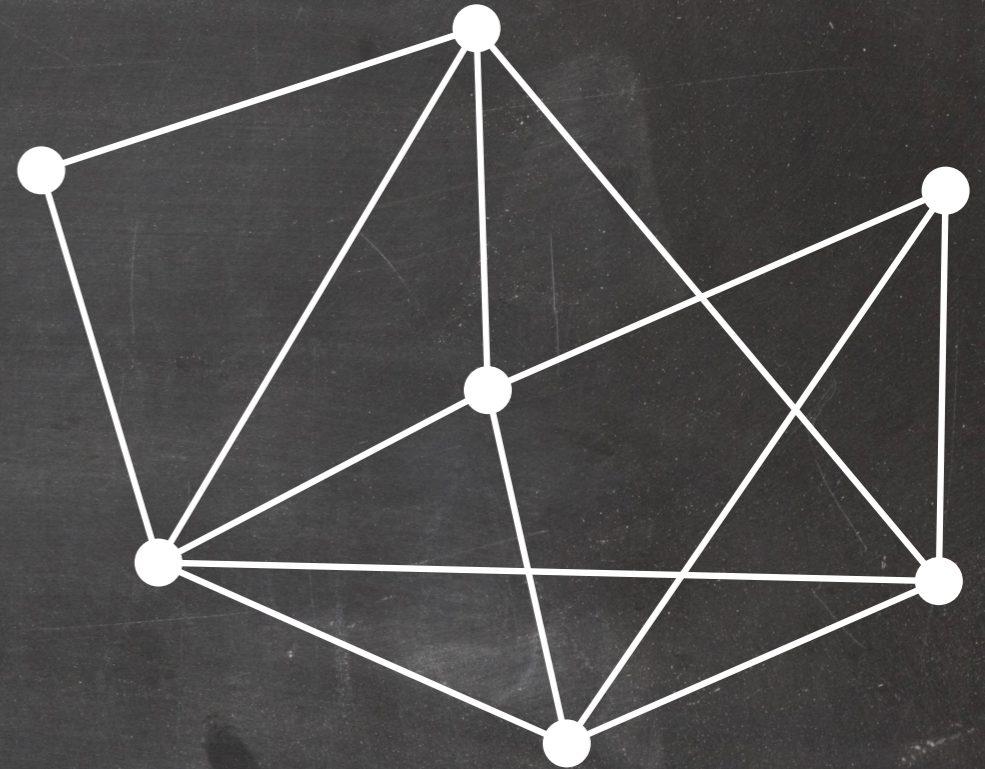
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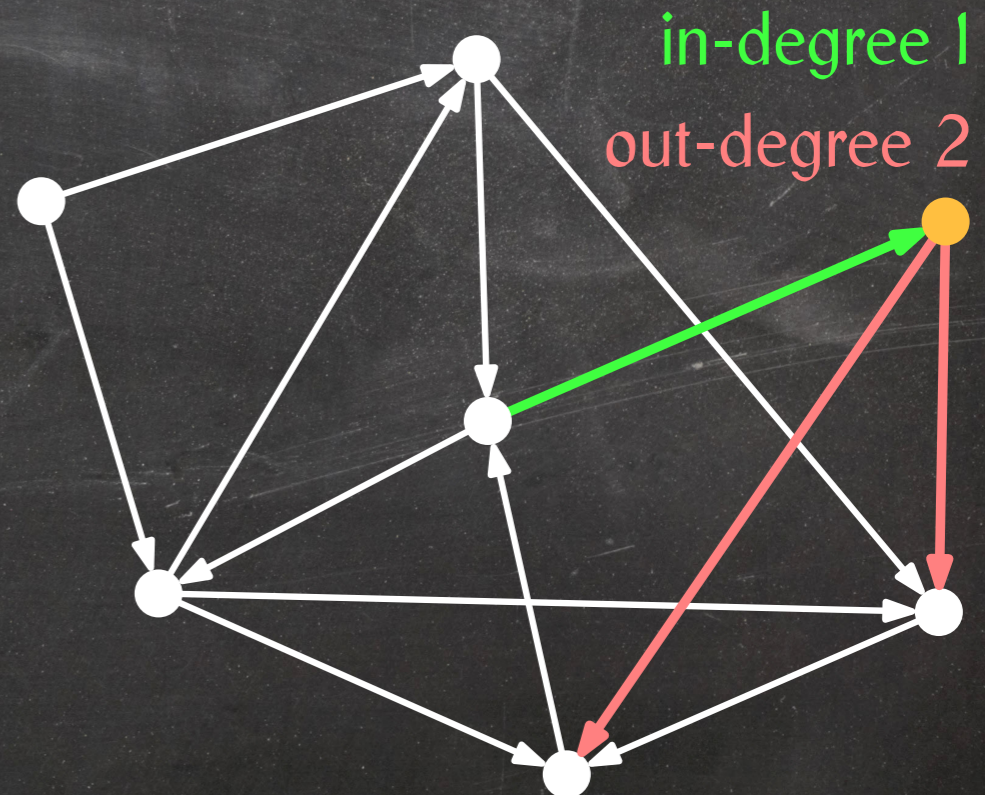
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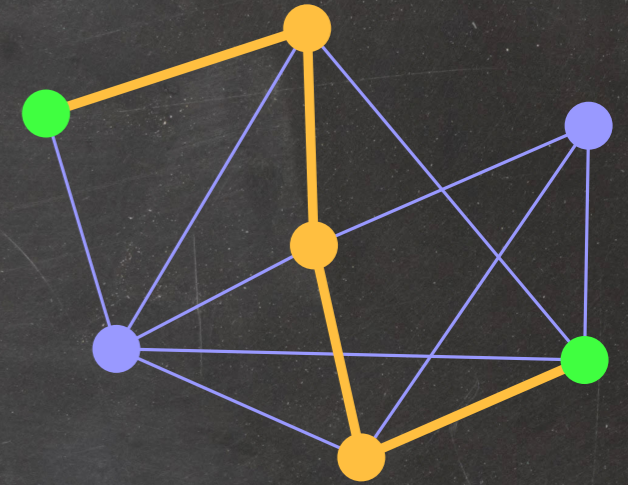
The **in-degree** and **out-degree** of a vertex are the numbers of its in-edges and out-edges, respectively.



Paths and Cycles

A **path** from a vertex s to a vertex t is a sequence of vertices $\langle x_0, x_1, \dots, x_k \rangle$ such that

- $x_0 = s$,
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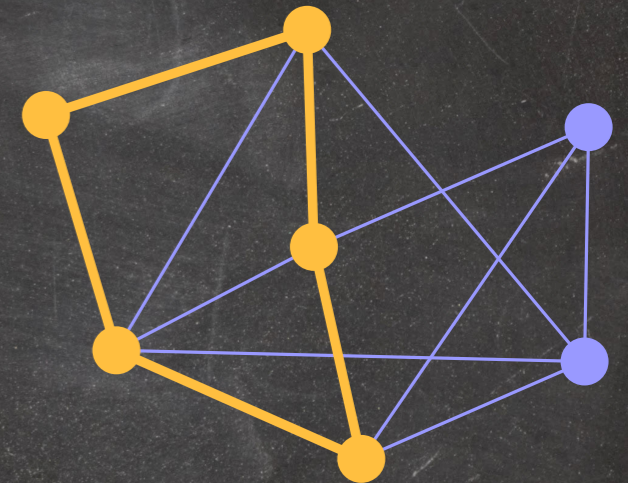
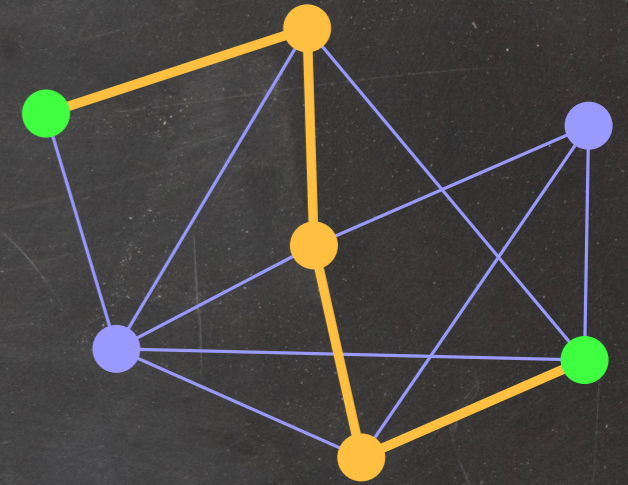


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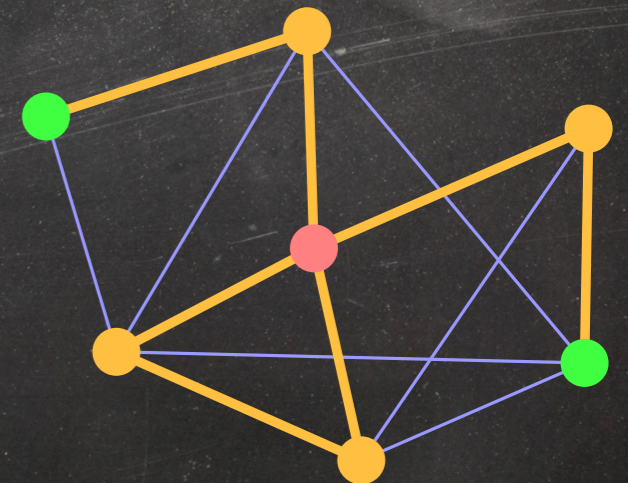
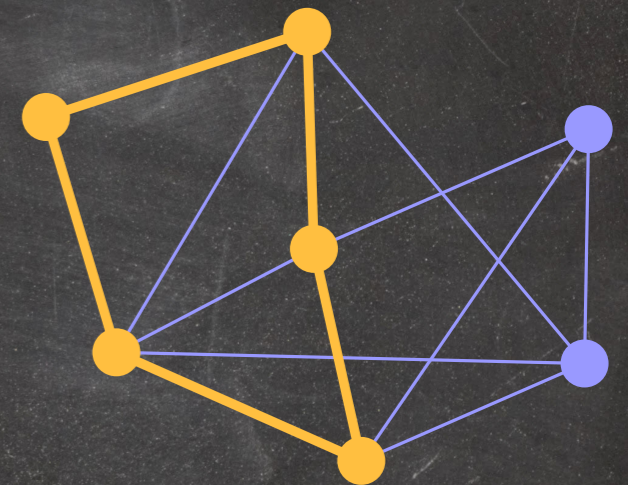
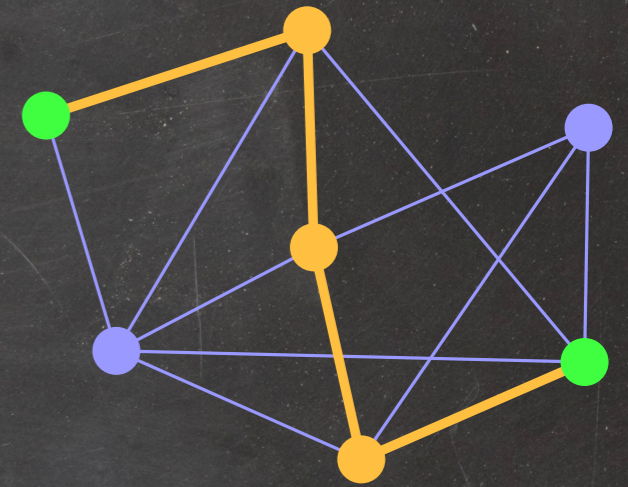
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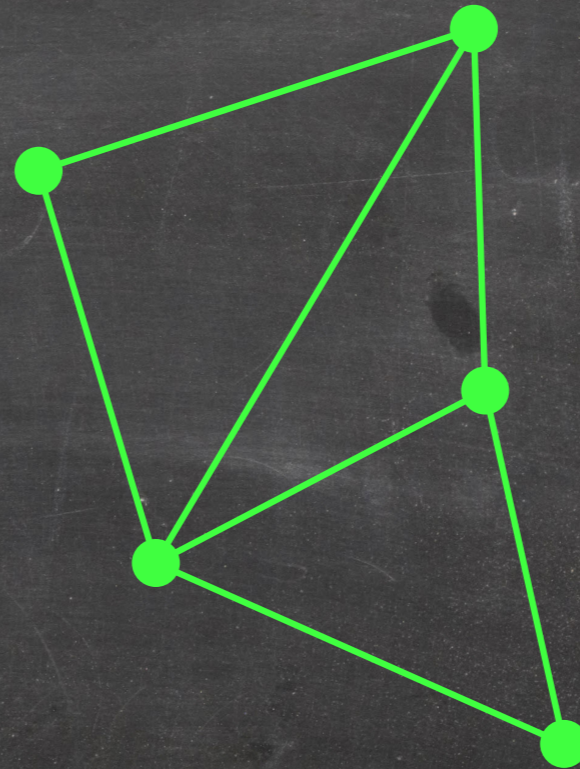
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A path or cycle is **simple** if it contains every vertex of G at most once.



Connected Graphs, Trees, and Forests

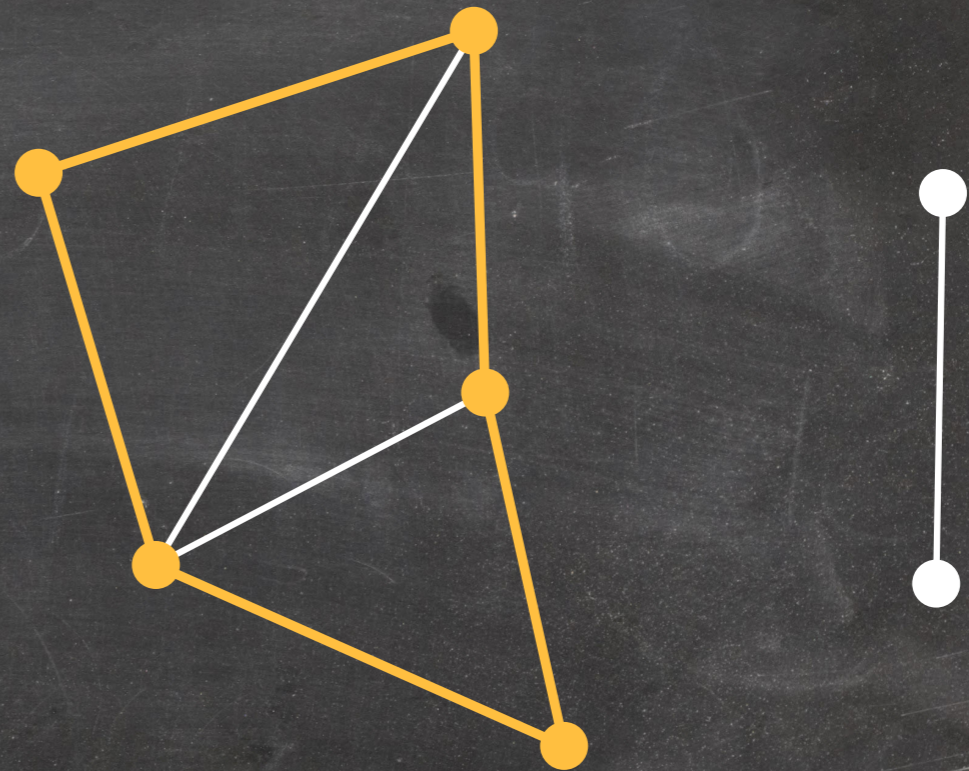
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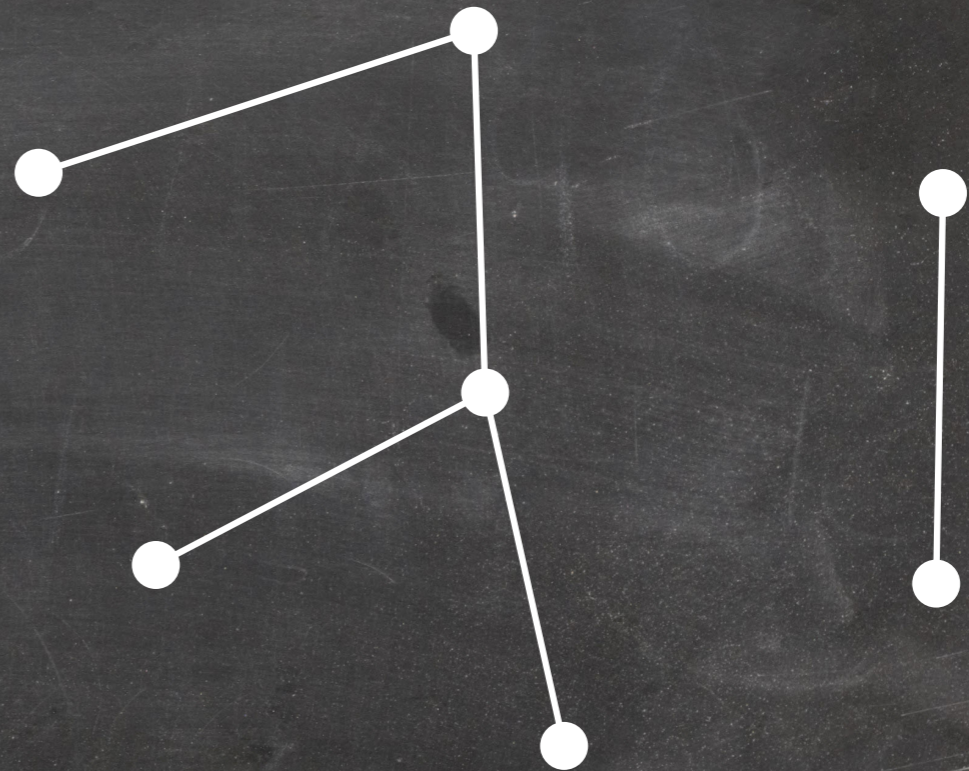
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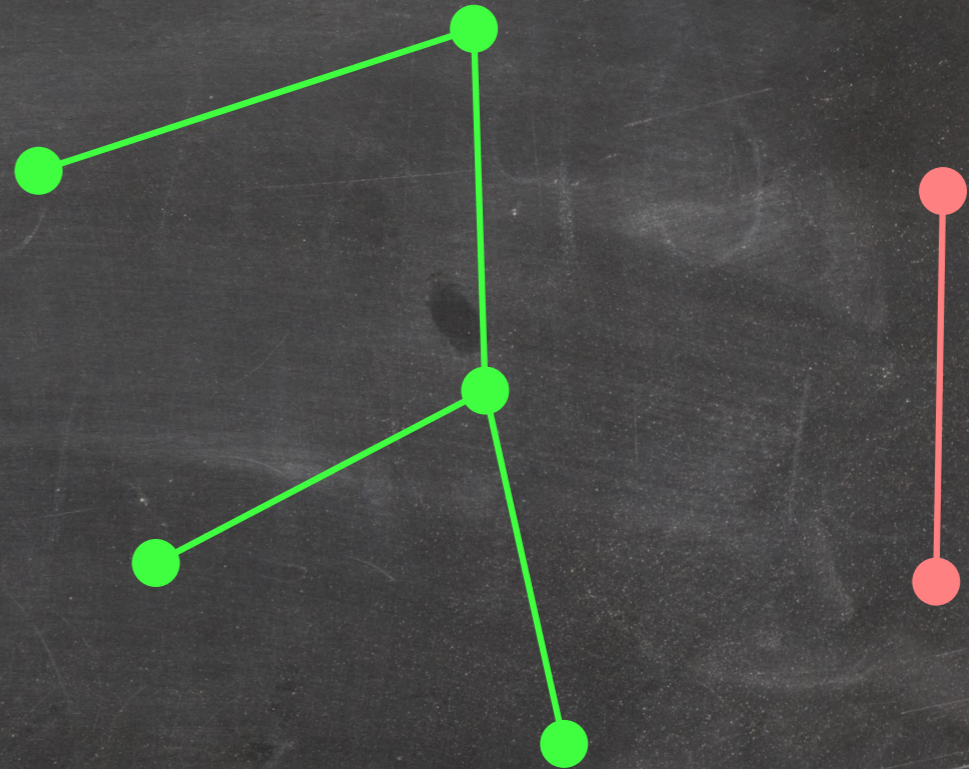


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A **tree** is a connected forest.

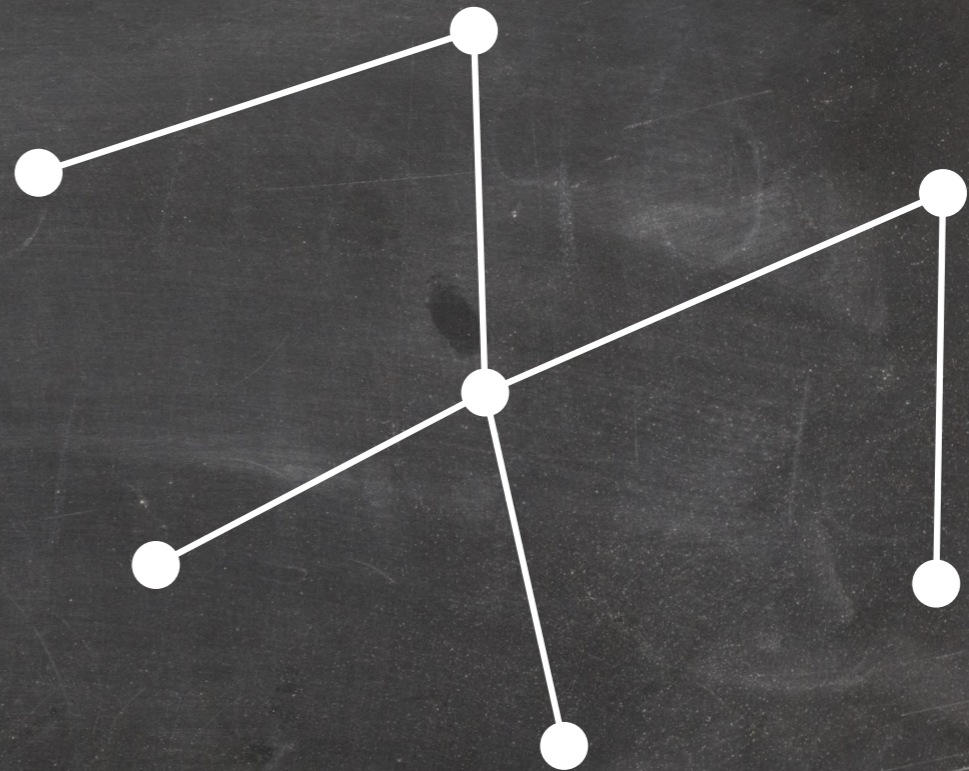


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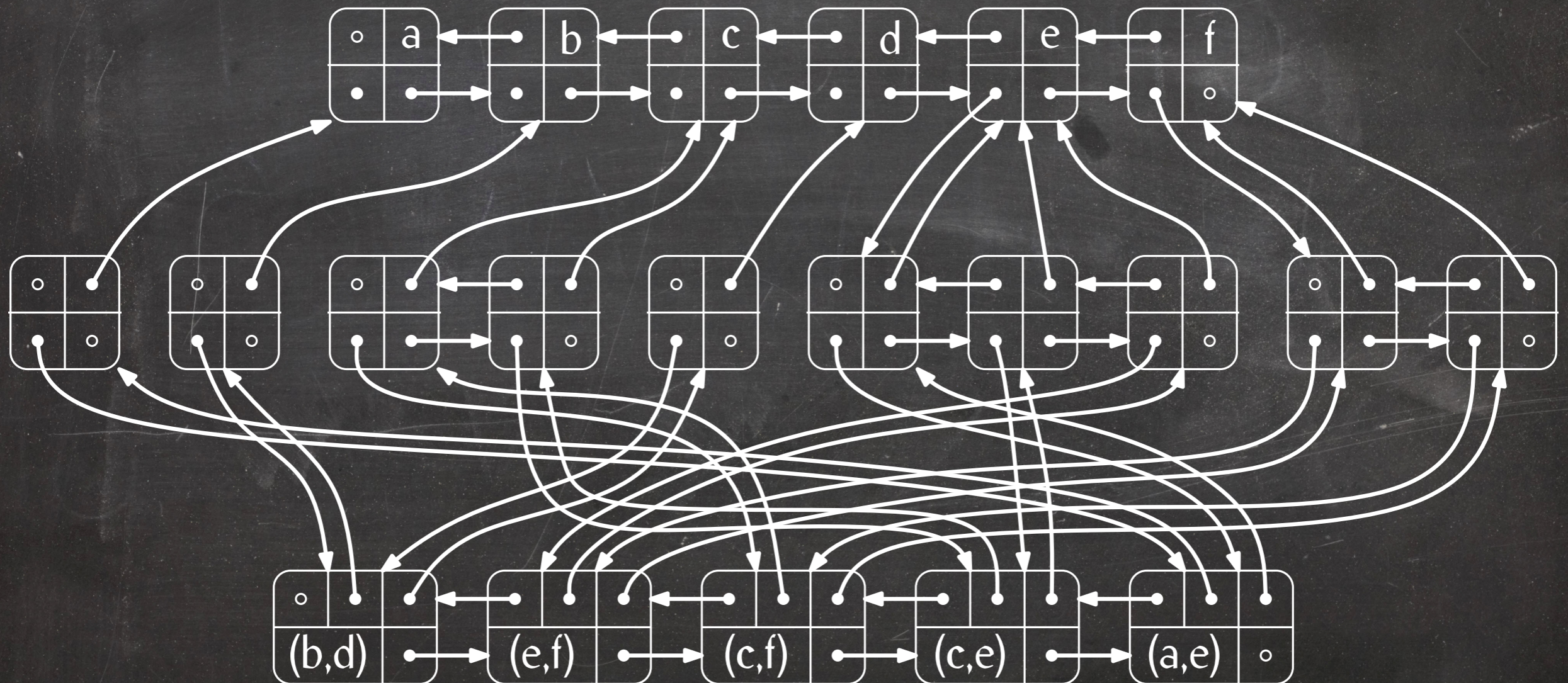
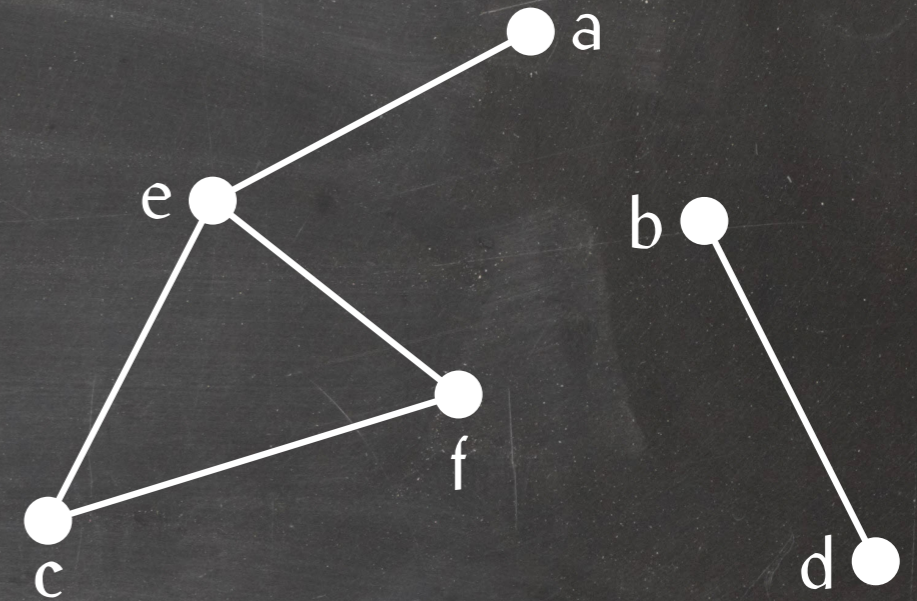
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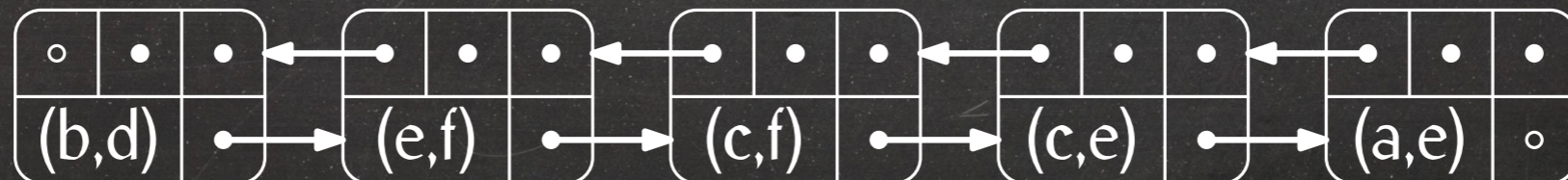
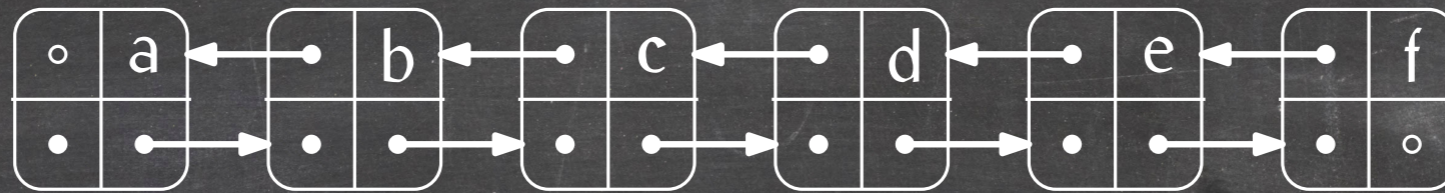
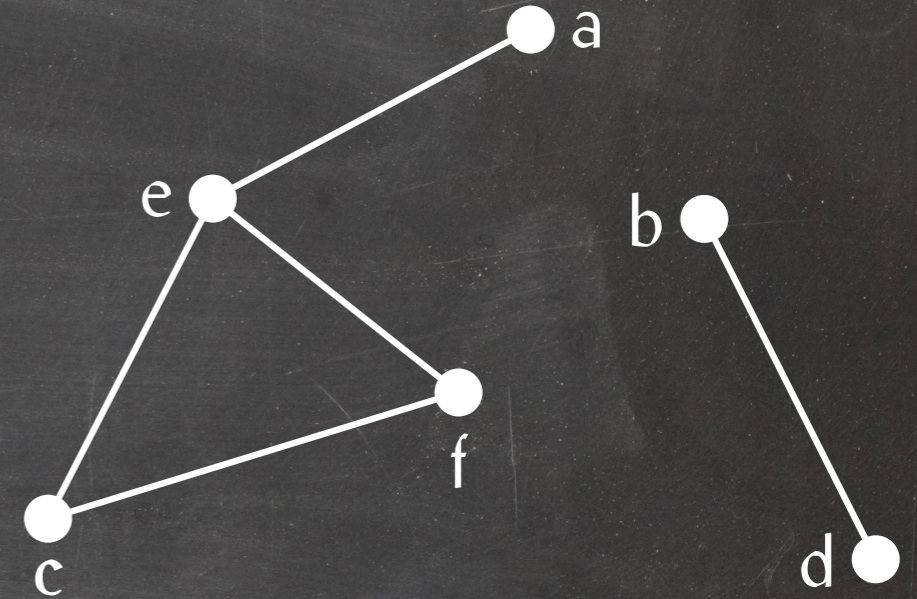
Adjacency List Representation

- Doubly-linked list of vertices
- Doubly-linked list of edges
- One doubly-linked adjacency list per vertex
- Pointers from adjacency list entries to vertices
- Cross-pointers between edges and adjacency list entries



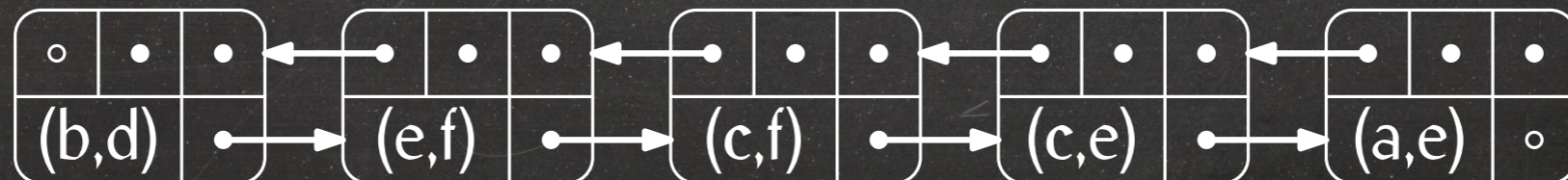
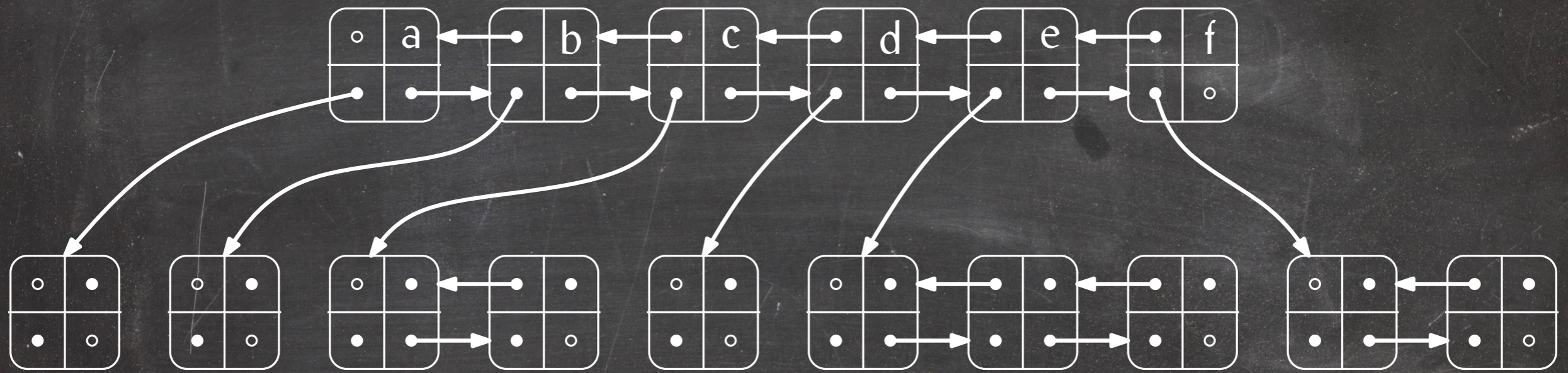
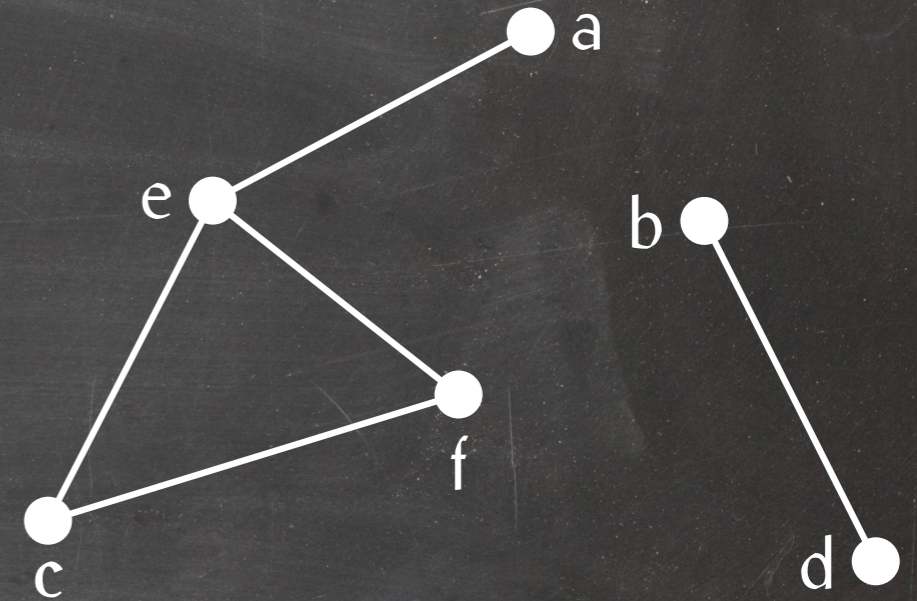
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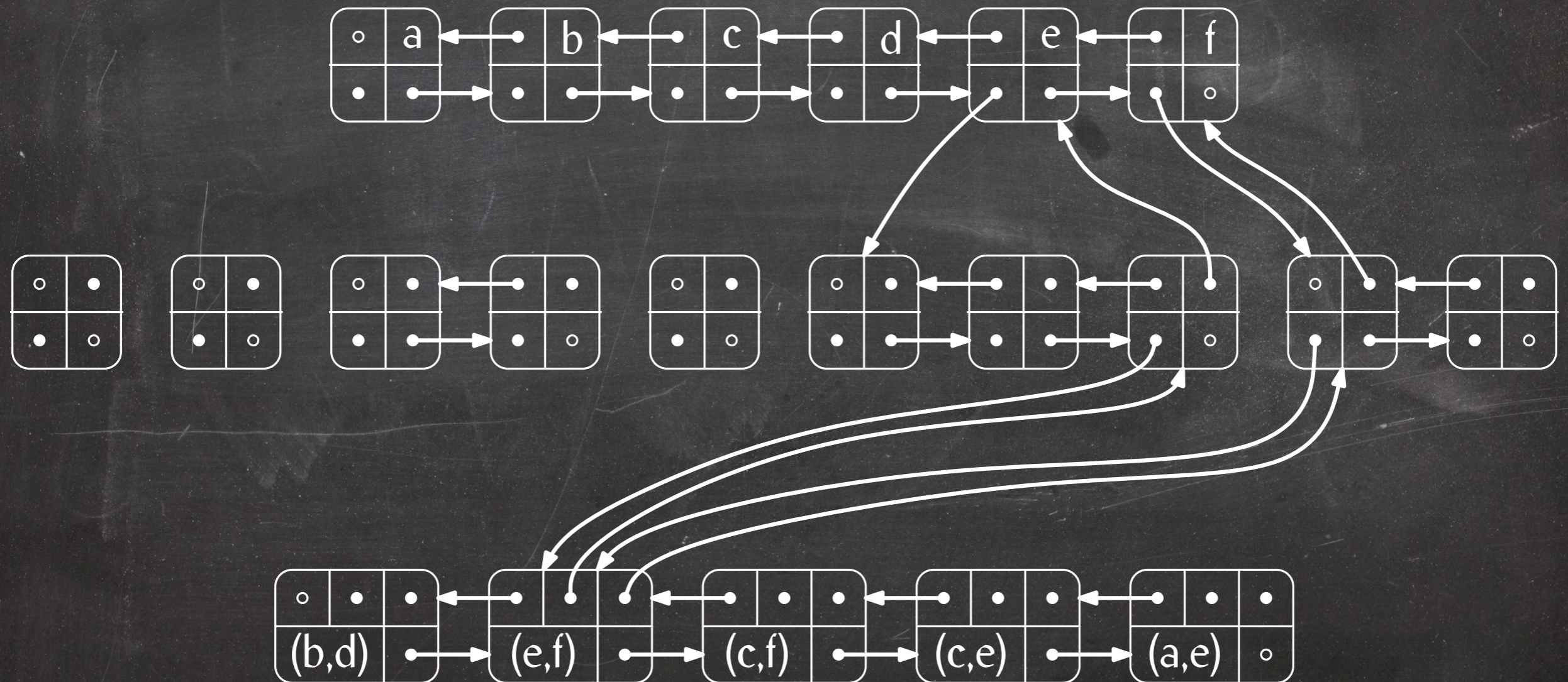
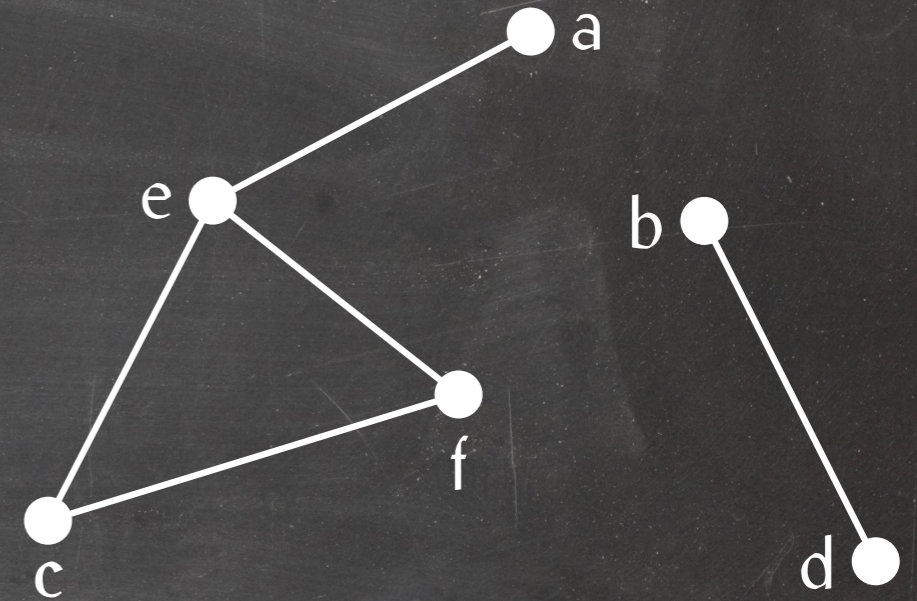
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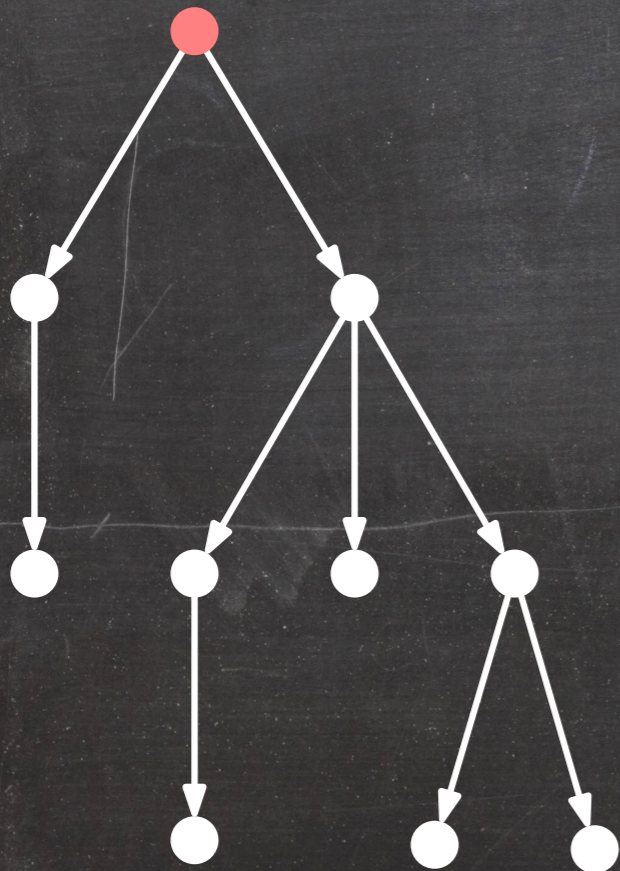


Representing Rooted Trees

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There exists a path from r to every vertex in T .

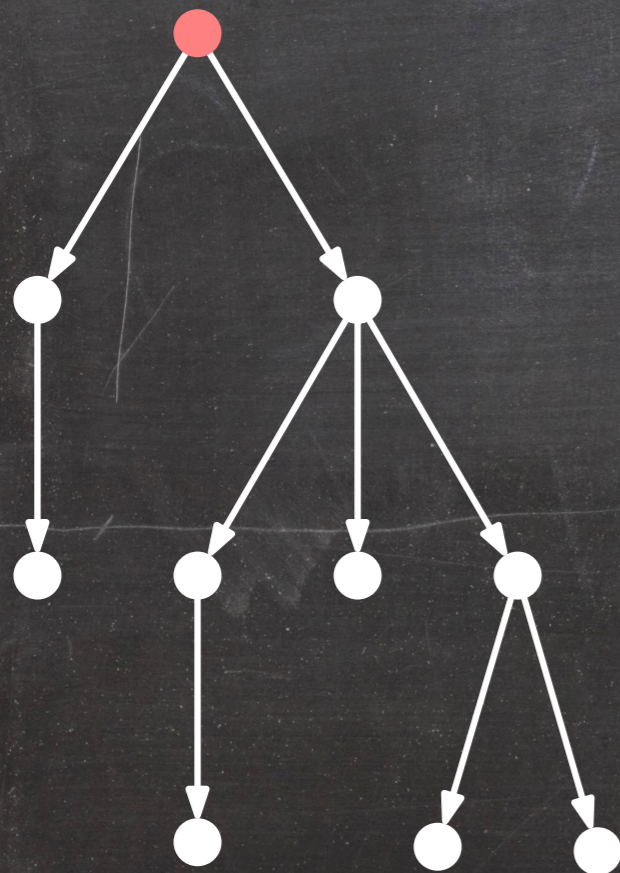


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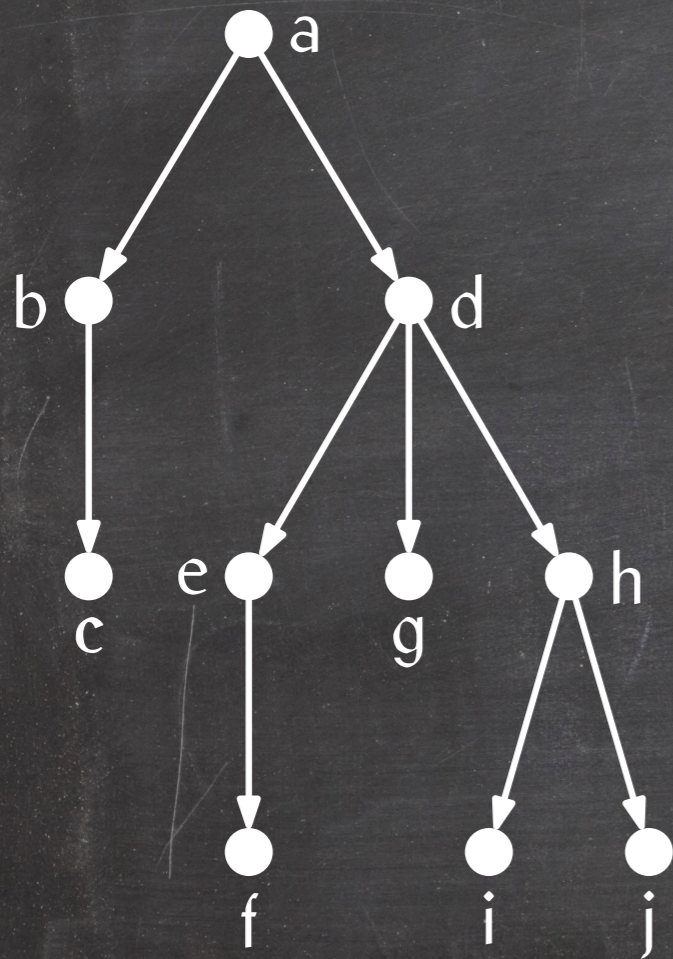
Representation:

Tree = root

Every node stores

- an arbitrary **key**
- a (doubly-linked) list of its **children**.

Standard Tree Orderings

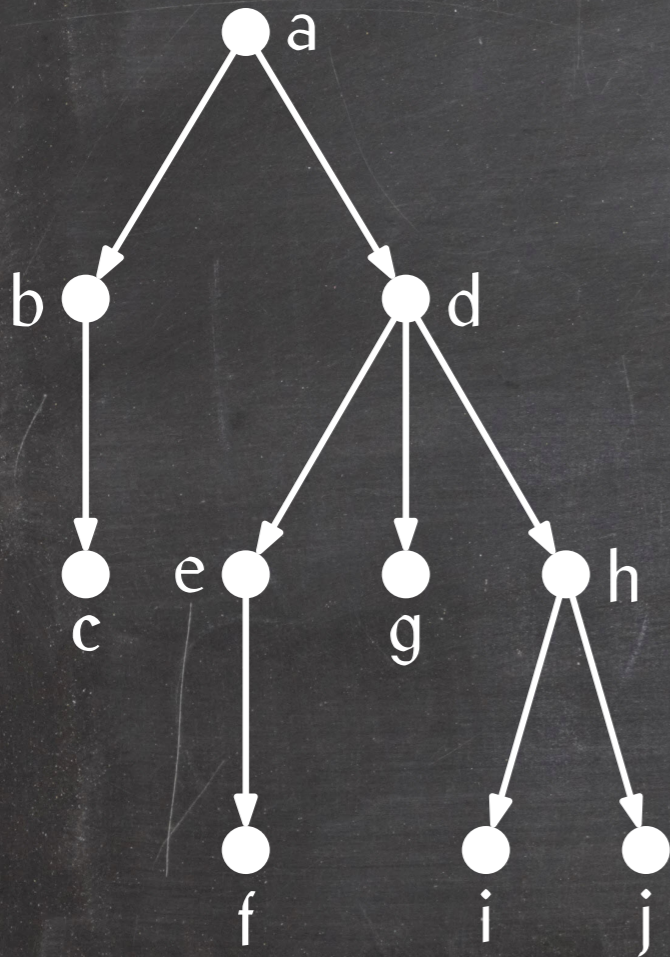


Preorder:

- Every vertex appears before its children.
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- The vertices in each subtree appear consecutively.

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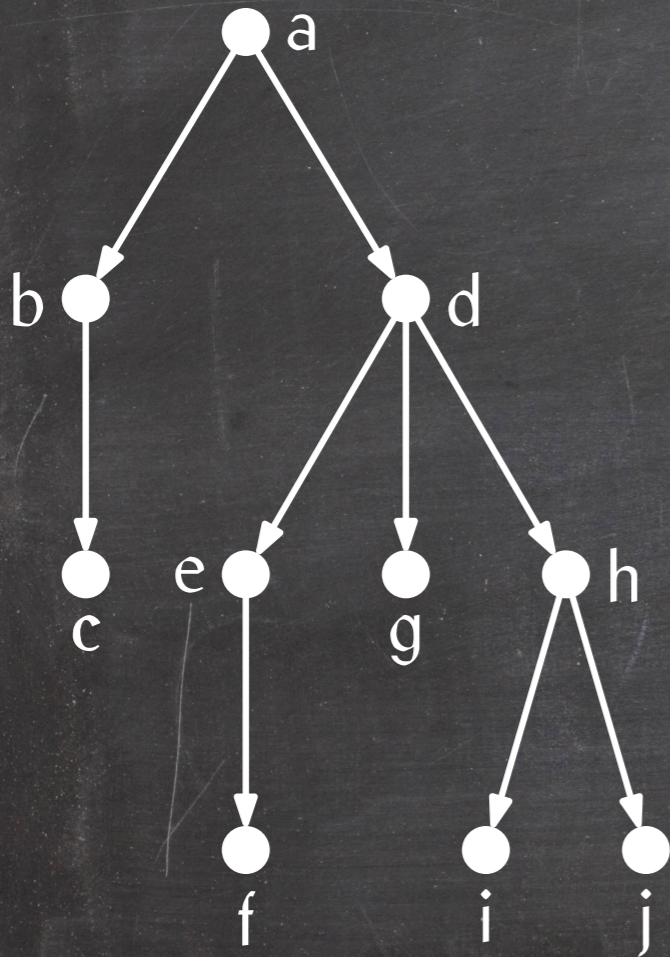
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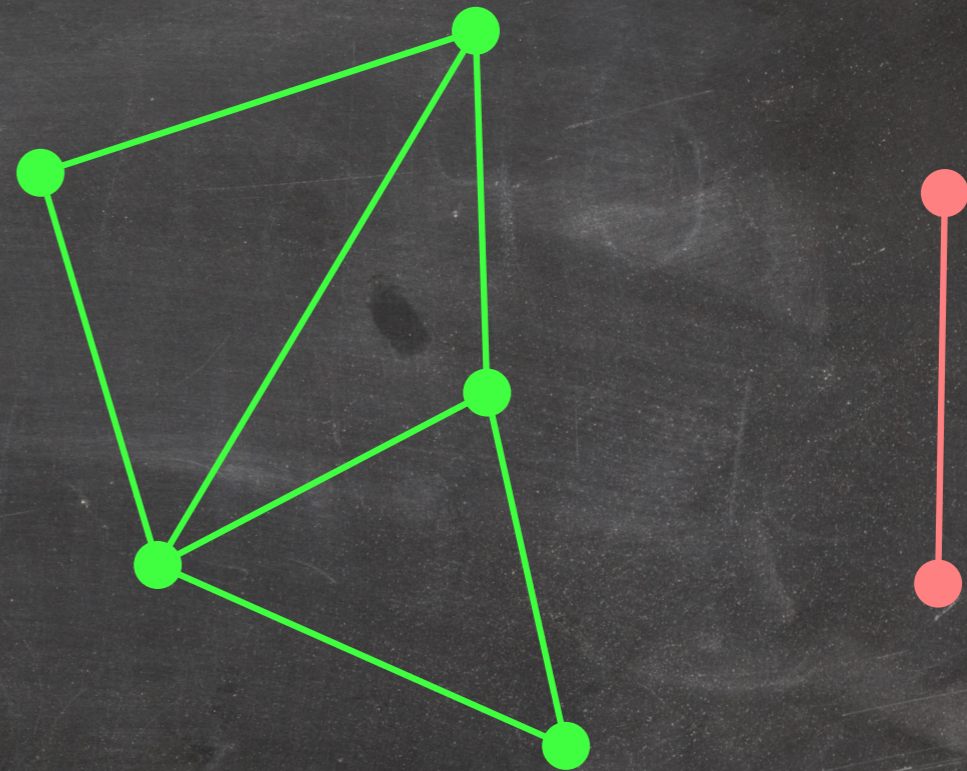
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Lemma: It takes linear time to arrange the vertices of a forest in preorder or postorder.

Connected Components and Spanning Forests

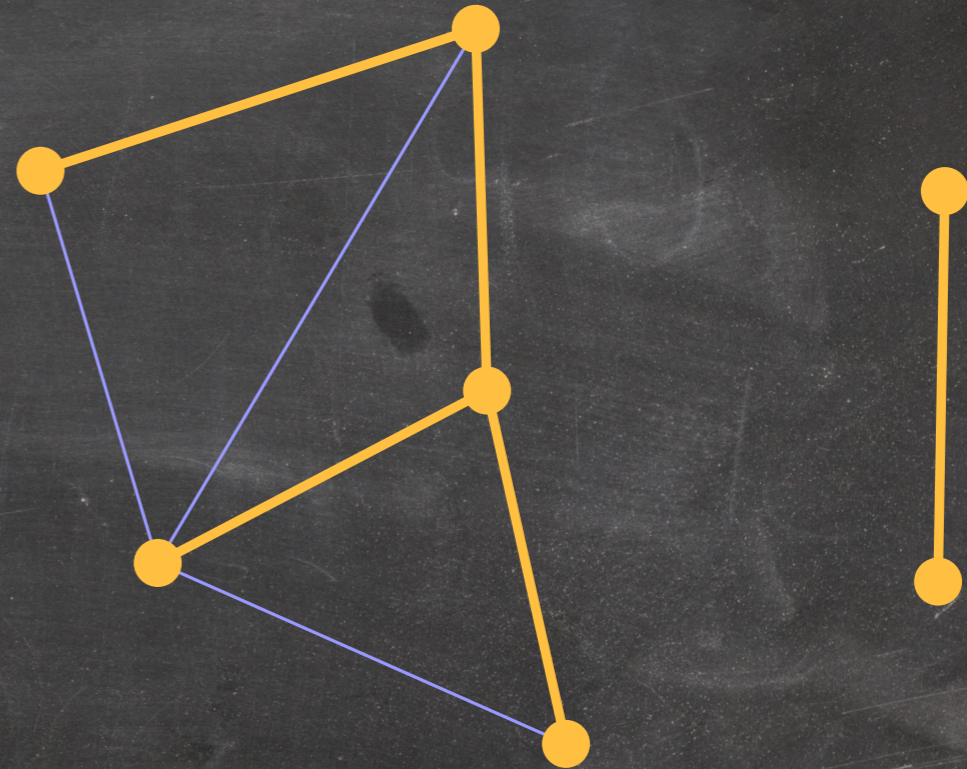
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A **spanning forest** of a graph G is a subgraph $F \subseteq G$ with the same number of connected components and which is a forest.



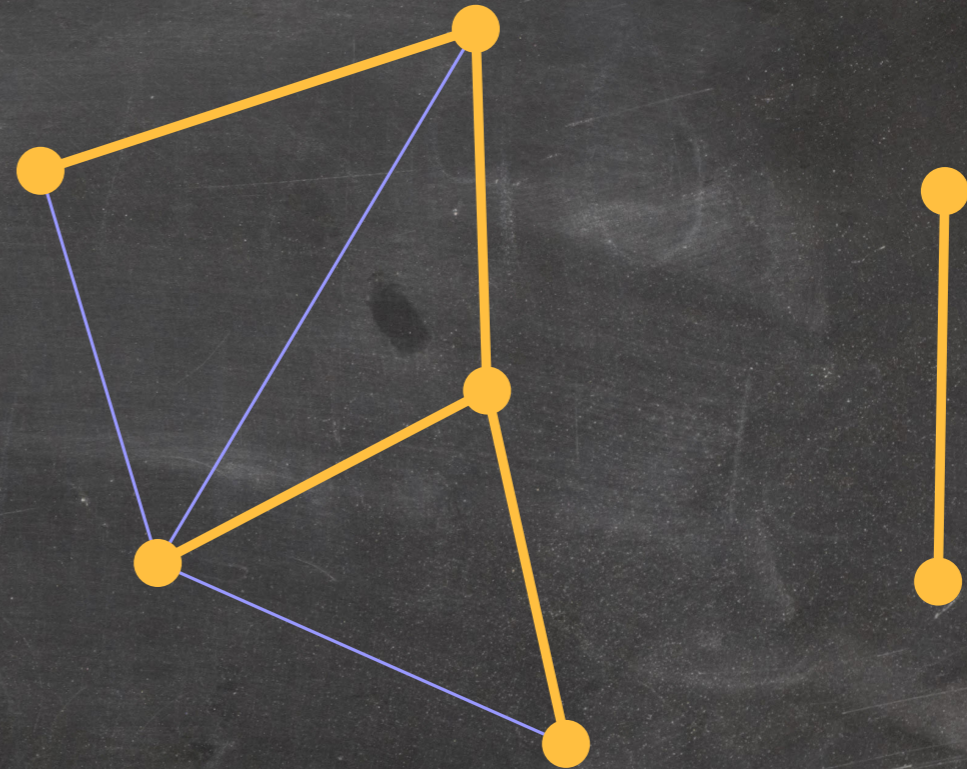
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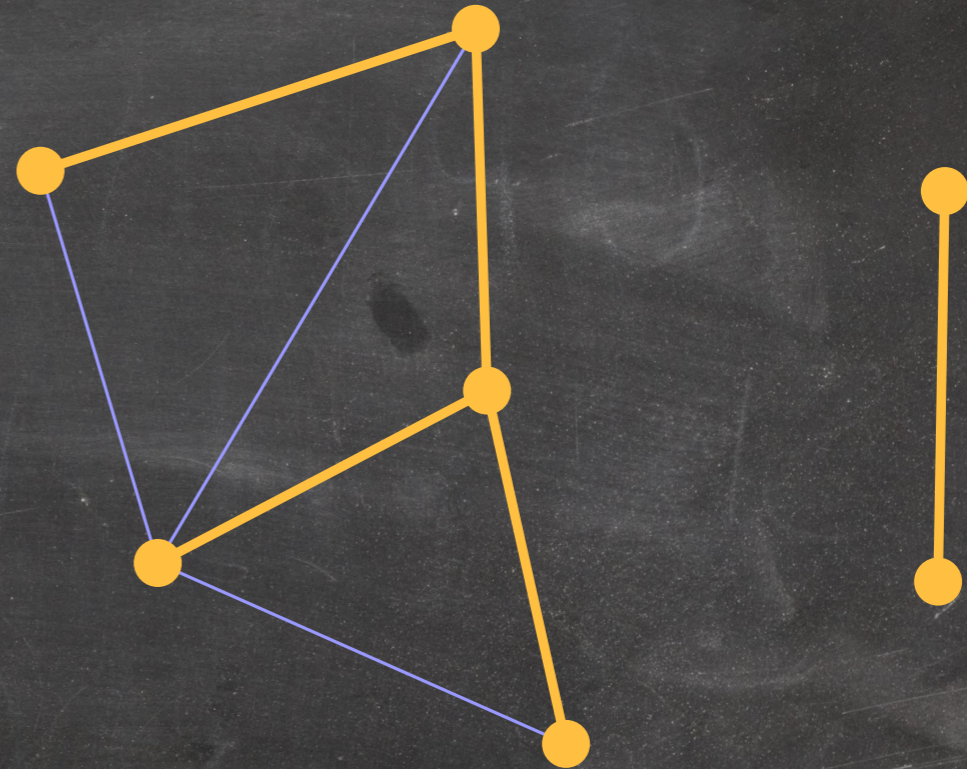
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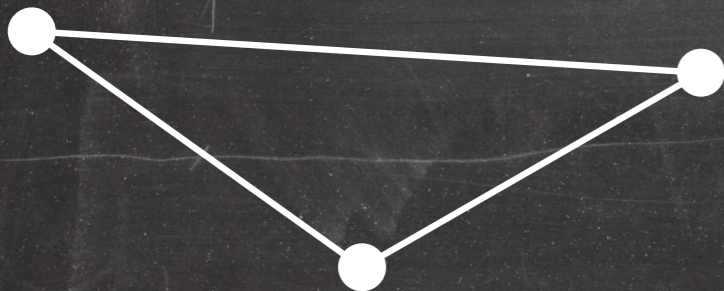
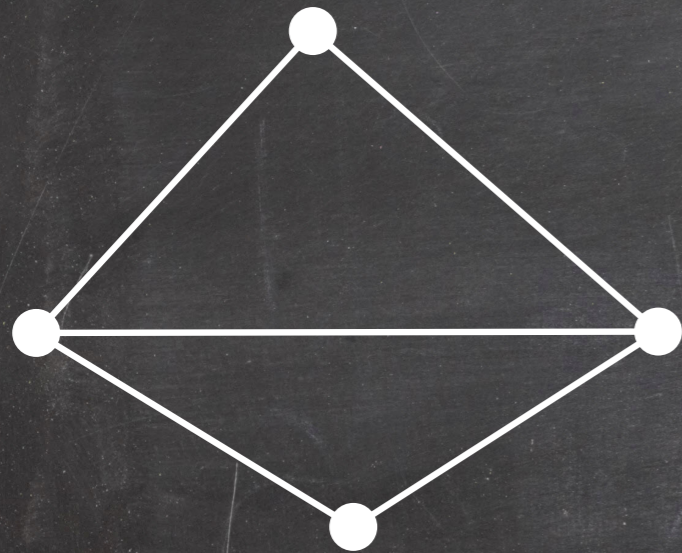
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Representation: List of rooted trees



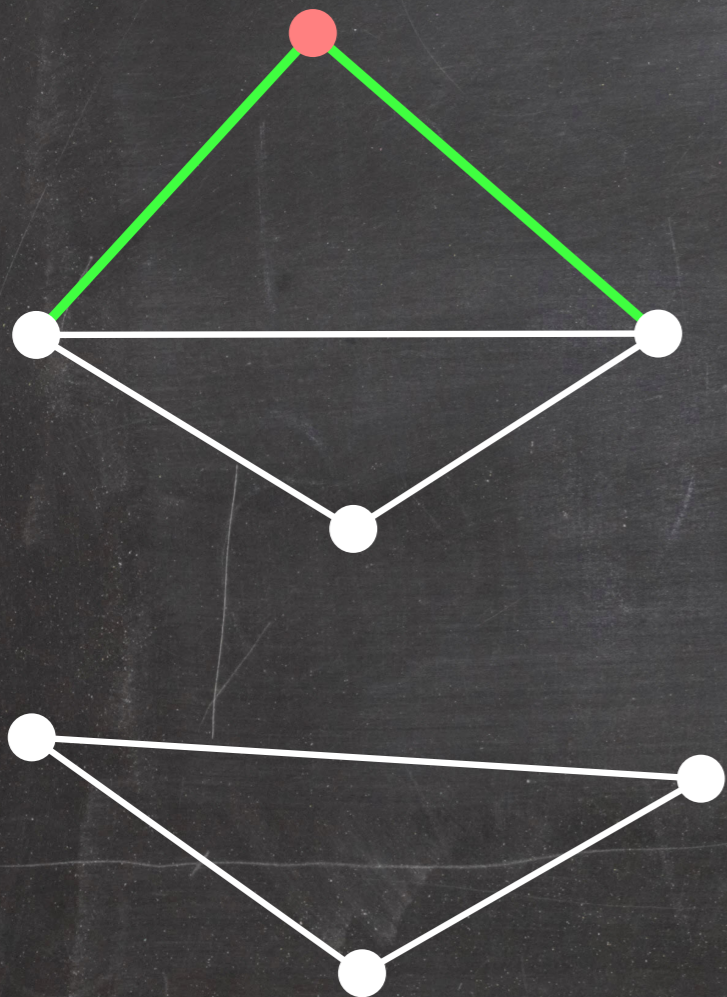
Graph Traversal

We use graph traversal to build a spanning forest of G .



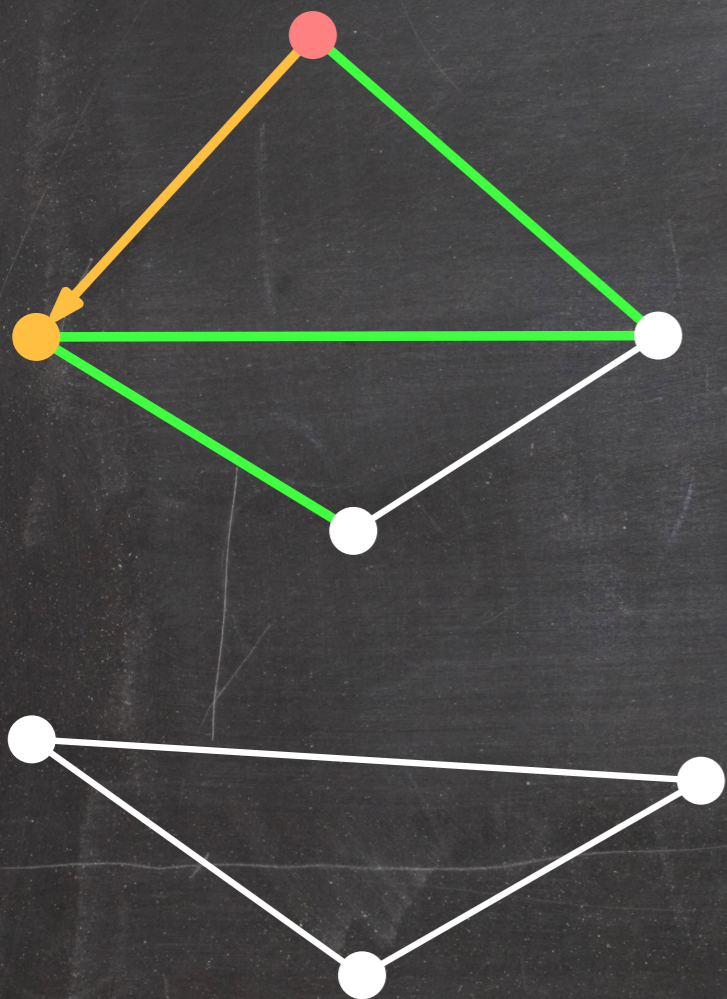
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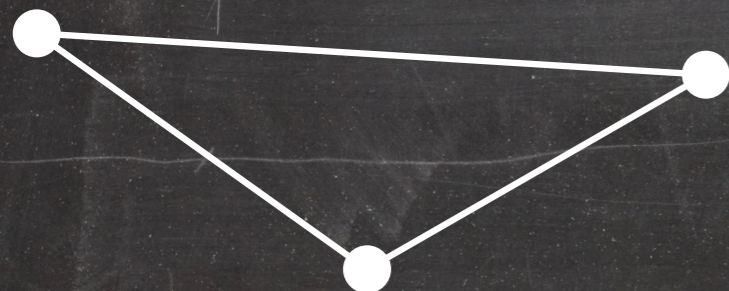
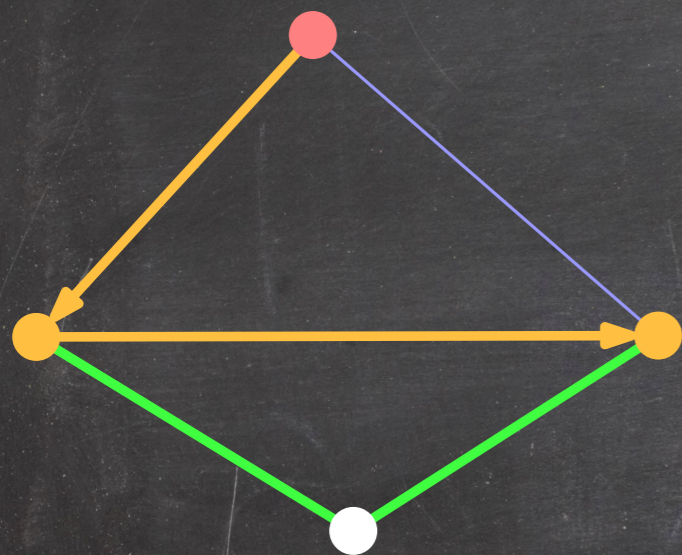
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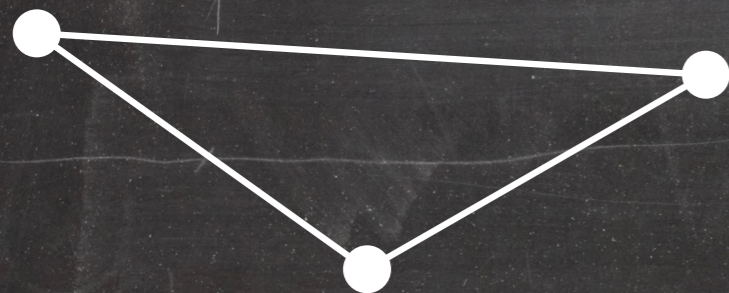
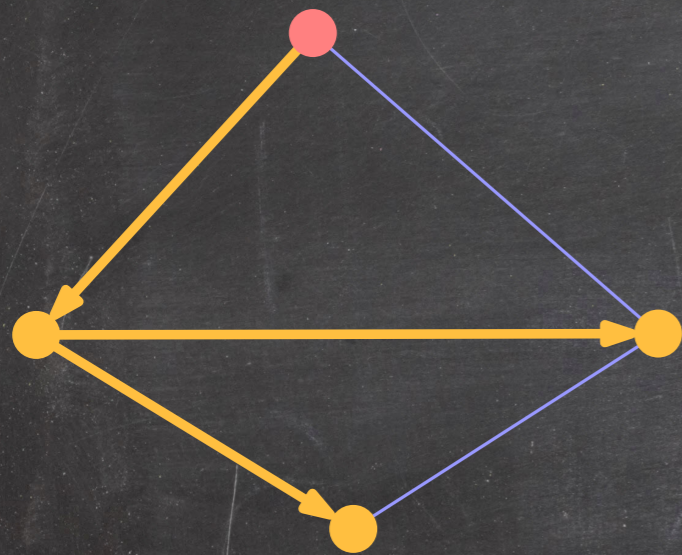
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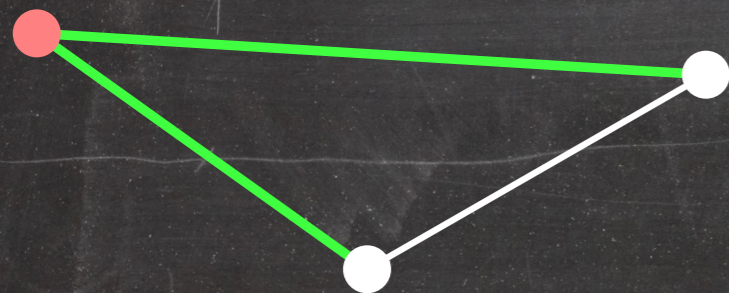
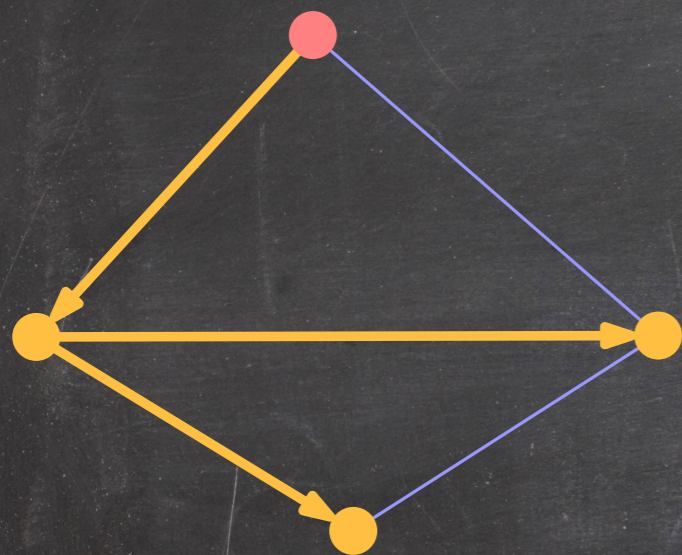
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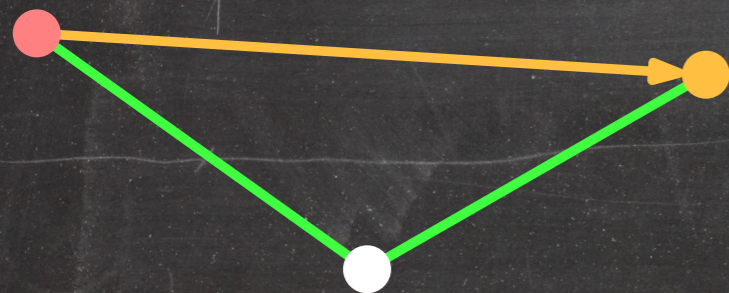
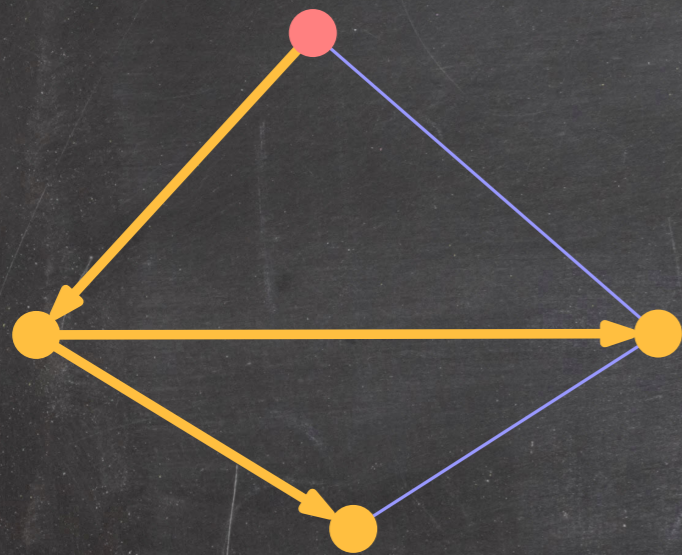
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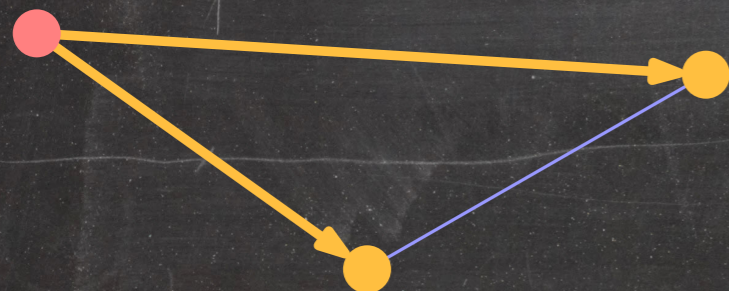
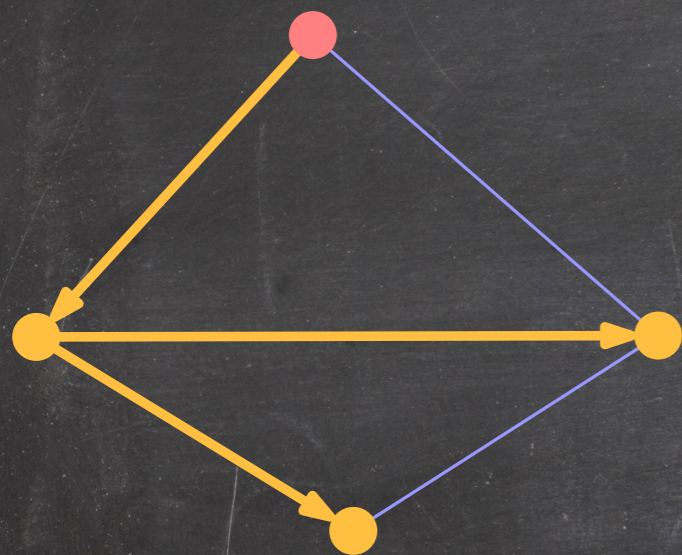
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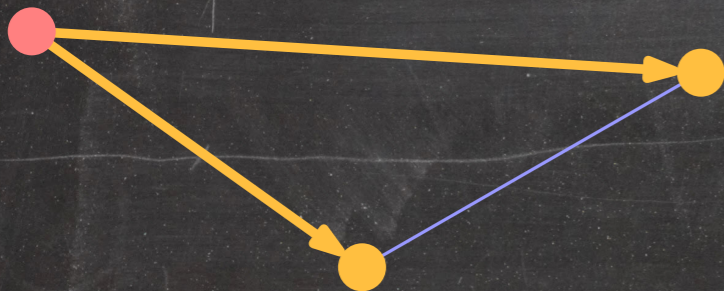
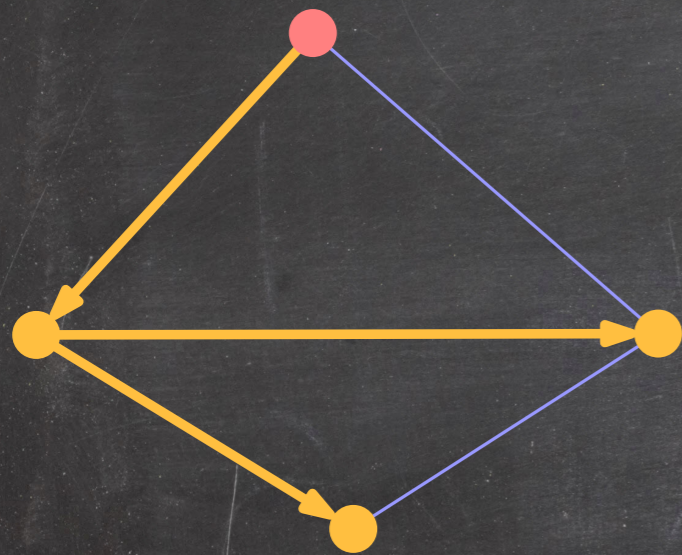
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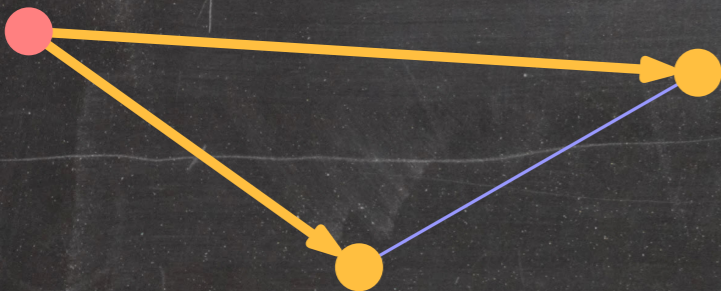
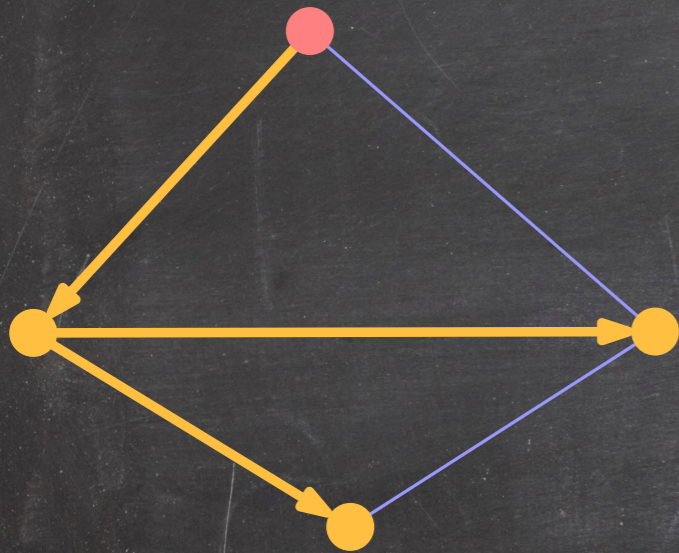
Different traversal strategies lead to different spanning forests:

- **Breadth-first search**
- **Depth-first search**
- Prim's algorithm for computing minimum spanning trees
- Dijkstra's algorithm for computing shortest paths

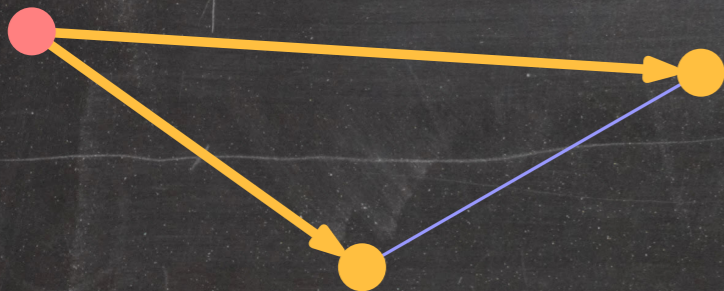
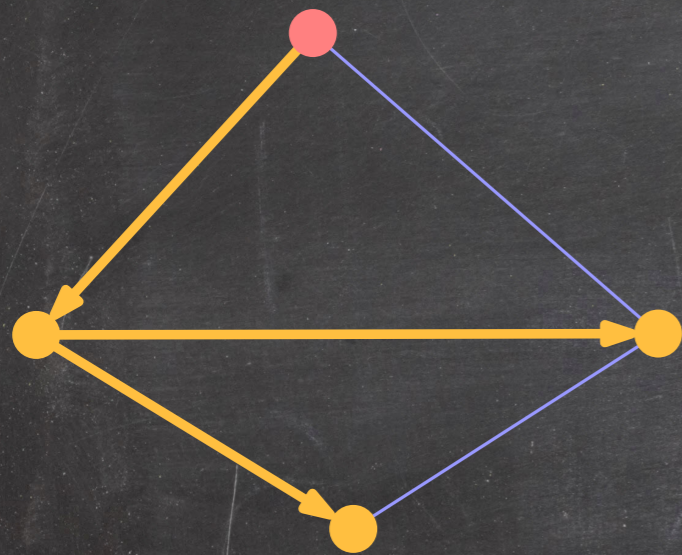
Graph Traversal

TraverseGraph(G)

- 1 Mark every vertex of G as unexplored
- 2 $F = []$
- 3 **for** every vertex $u \in G$
- 4 **do if not** $u.explored$
- 5 **then** $F.append(TraverseFromVertex(G, u))$
- 6 **return** F



Graph Traversal



TraverseFromVertex(G, u)

```
1 u.explored = True
2 u.tree = Node(u, [])
3 Q = an empty edge collection
4 for every out-edge (u, v) of u
5   do Q.add((u, v))
6 while not Q.isEmpty()
7   do (v, w) = Q.remove()
8     if not w.explored
9       then w.explored = True
10        w.tree = Node(w, [])
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12 for every out-edge (w, x) of w
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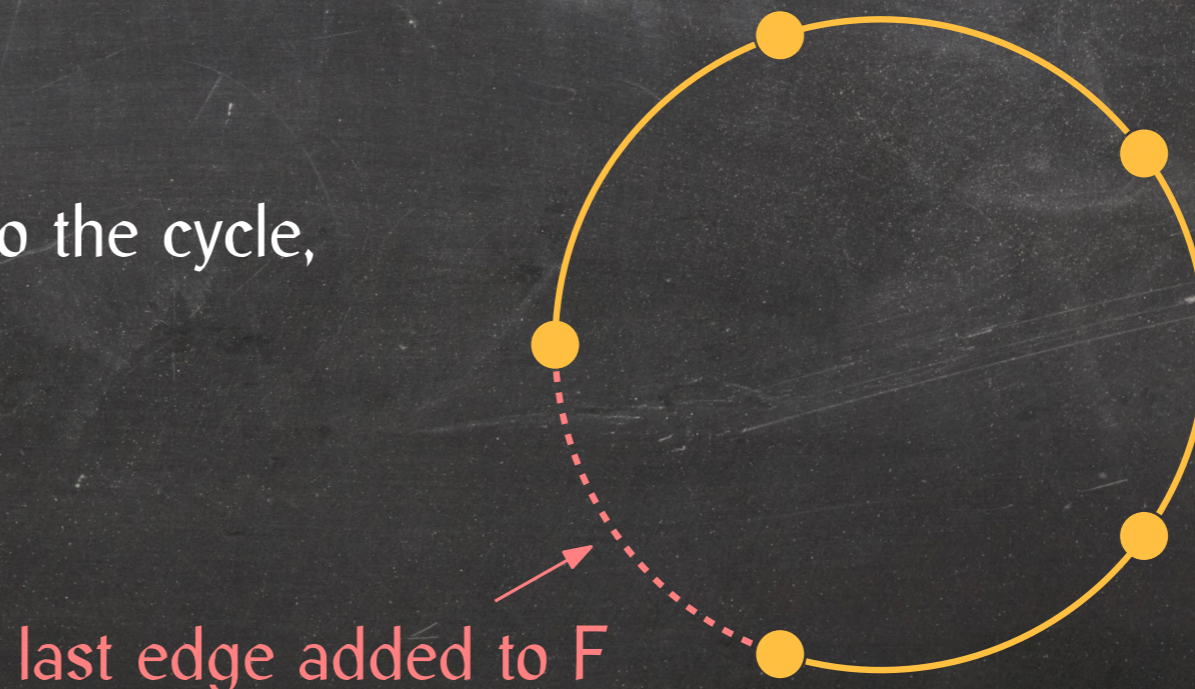
Corollary: Both endpoints of every edge in F are explored.

Corollary: F contains no cycle.

Proof by contradiction:

By the time we add the last edge to the cycle, both its endpoints are explored.

\Rightarrow We would not have added it.



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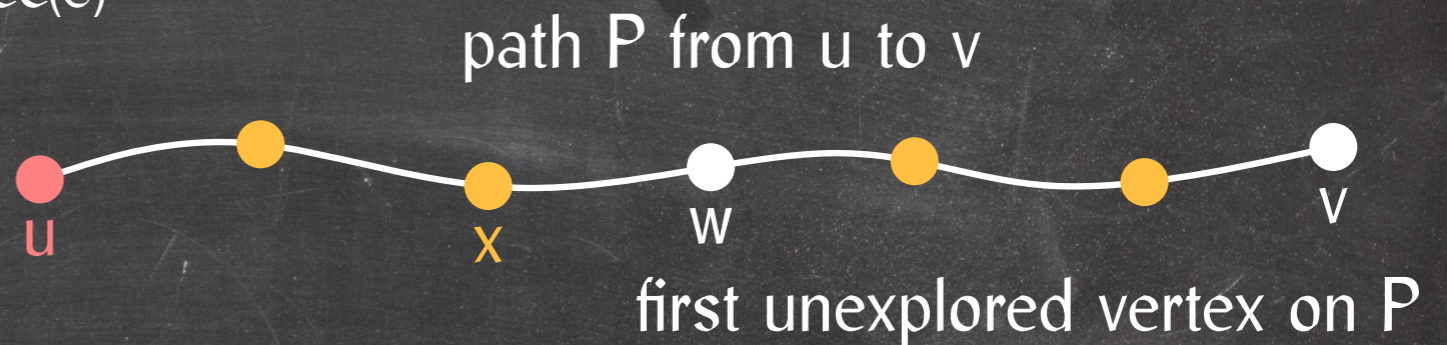
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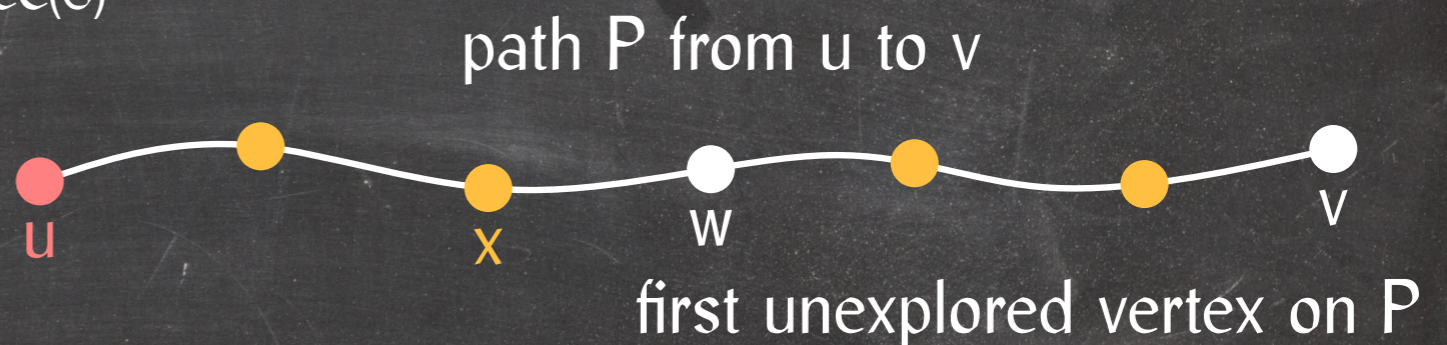
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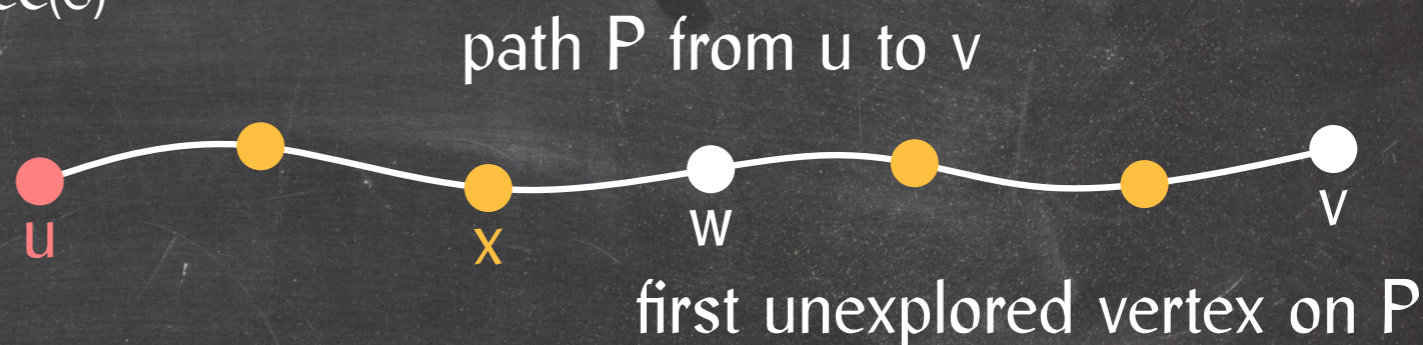
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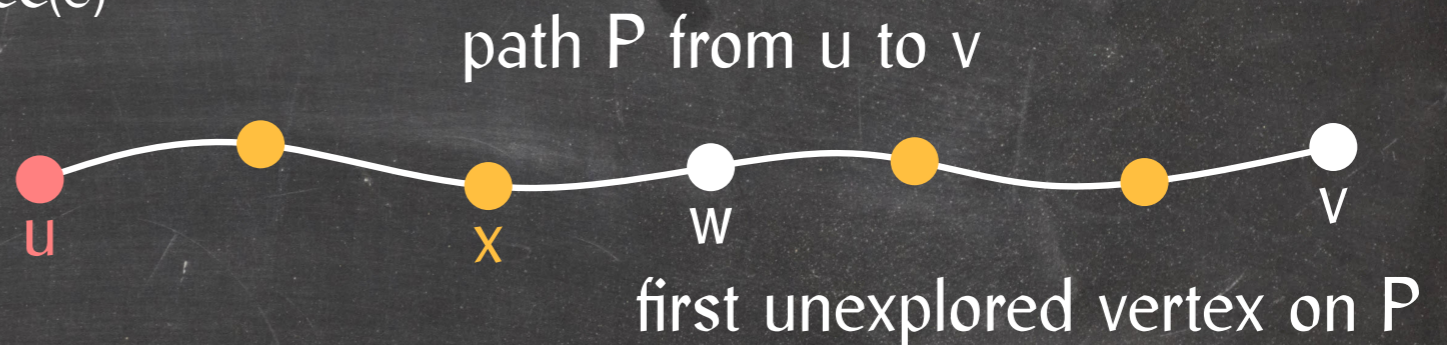
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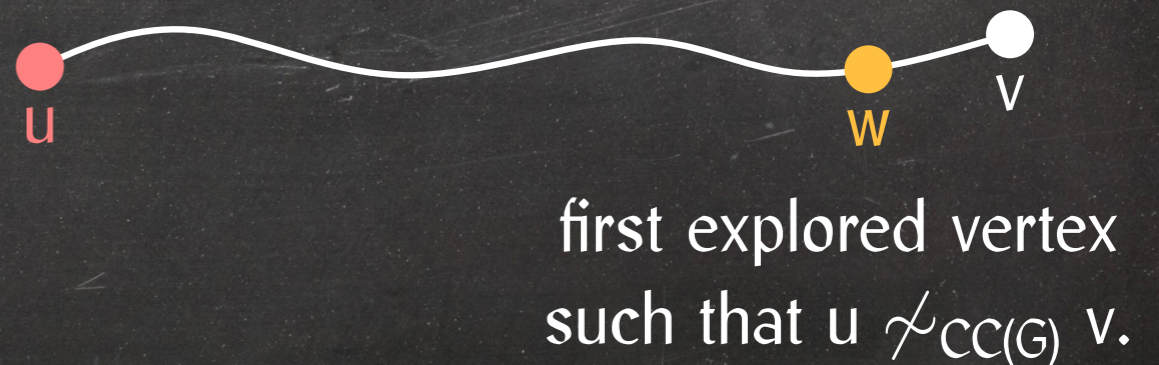
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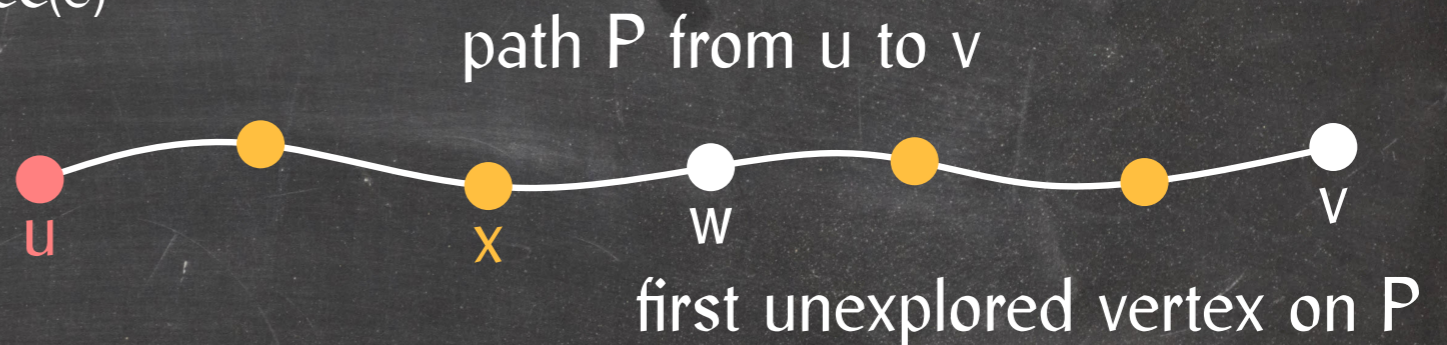
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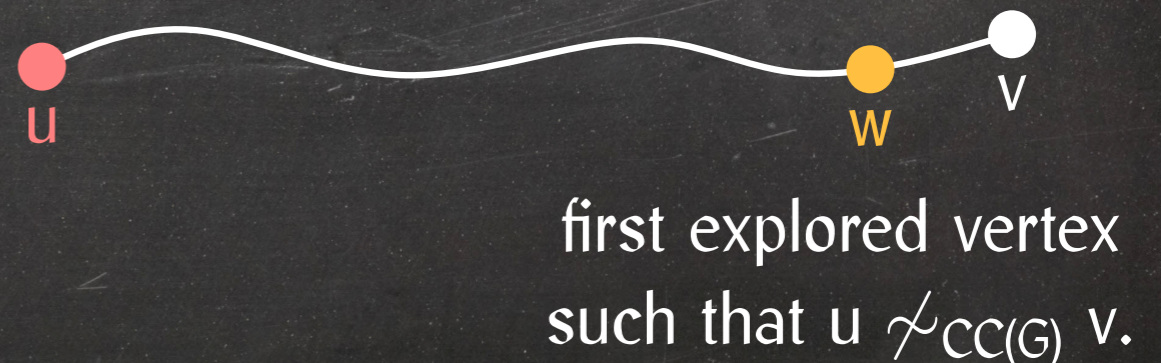
We do not visit a vertex v such that $u \not\sim_{\text{CC}(G)} v$:

• v explored because of edge $(w, v) \in Q$.

• w explored before v .

$\Rightarrow w \sim_{\text{CC}(G)} u$.

$\Rightarrow v \sim_{\text{CC}(G)} u$.



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The Cost of Graph Traversal

TraverseGraph(G)

```
1  Mark every vertex of G as unexplored
2  F = []
3  for every vertex u ∈ G
4    do if not u.explored
5      then F.append(TraverseFromVertex(G, u))
6  return F
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Lemma: Collecting the vertices of all components takes $O(n)$ time.

Computing Connected Components

Representation using vertex labels:

ComponentLabels(L)

```
1  i = 0
2  for every list L' ∈ L
3      do i = i + 1
4          for every vertex v ∈ L'
5              do v.cc = i
```

Cost: $O(n)$

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We already have the right adjacency lists for the vertices.

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Vertex lists:

BuildVertexLists(L)

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1 VL = []
2 for every list L' ∈ L
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5       do VL'.append(v)
6   VL.append(VL')
7 return VL
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Computing Connected Components

Edge lists:

BuildEdgeLists(G, L)

```
1  EL = []
2  for every edge e ∈ G
3    do e.collected = False
4  for every list L' ∈ L
5    do EL' = []
6      for every vertex v ∈ L'
7        do for every edge e incident with v
8          do if not e.collected
9            then e.collected = True
10           EL'.append(e)
11     EL.append(EL')
12 return EL
```


Computing Connected Components

Lemma: The connected components of a graph can be computed in $O(n + m)$ time.

- Building a spanning forest takes $O(n + m + m \cdot (t_a + t_r))$ time.
- Computing the vertex labelling or list of graphs then takes $O(n + m)$ time.
- Using a stack or queue to represent Q , we get $t_a \in O(1)$ and $t_r \in O(1)$.

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BFS forest = spanning forest computed using BFS

Let the **depth** $d_F(v)$ of a vertex v in a rooted forest F be the distance from the root of its tree.

Lemma: BFS visits the vertices of each component of F in order of increasing depth.

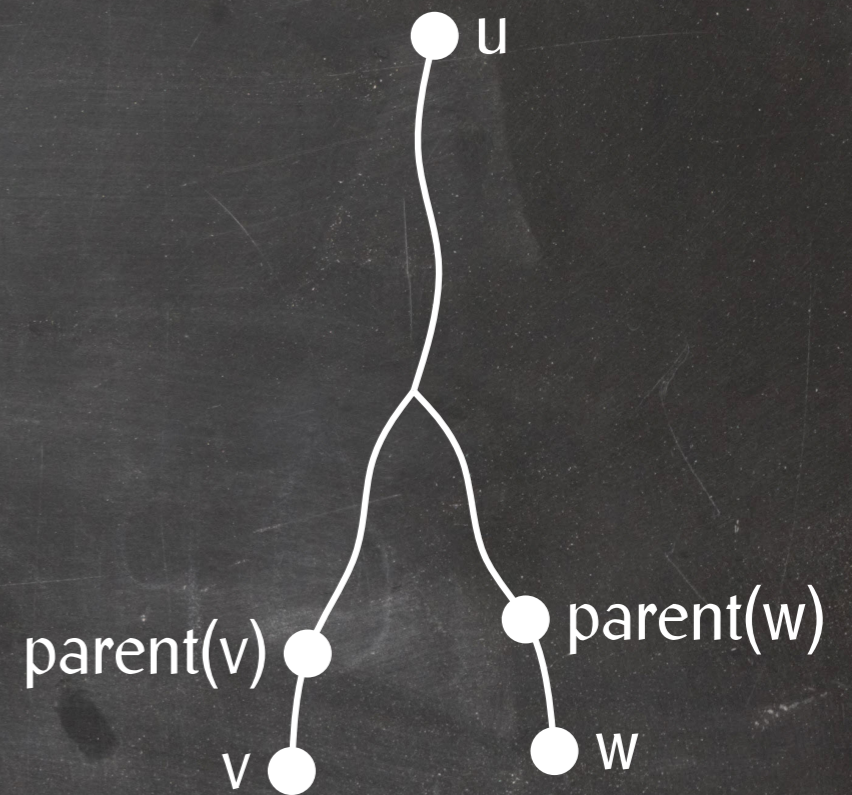
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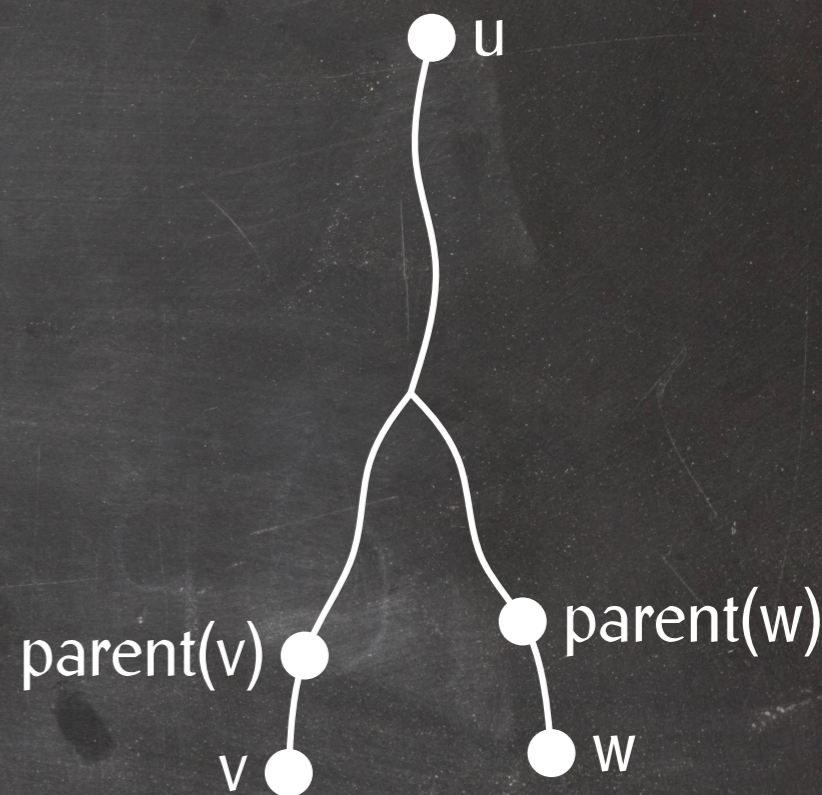
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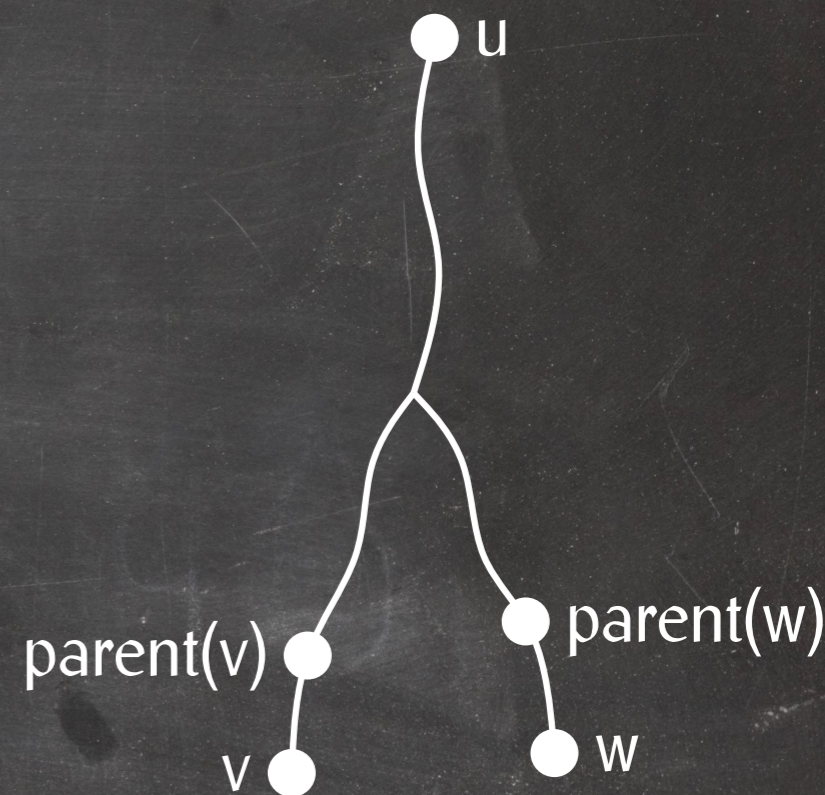
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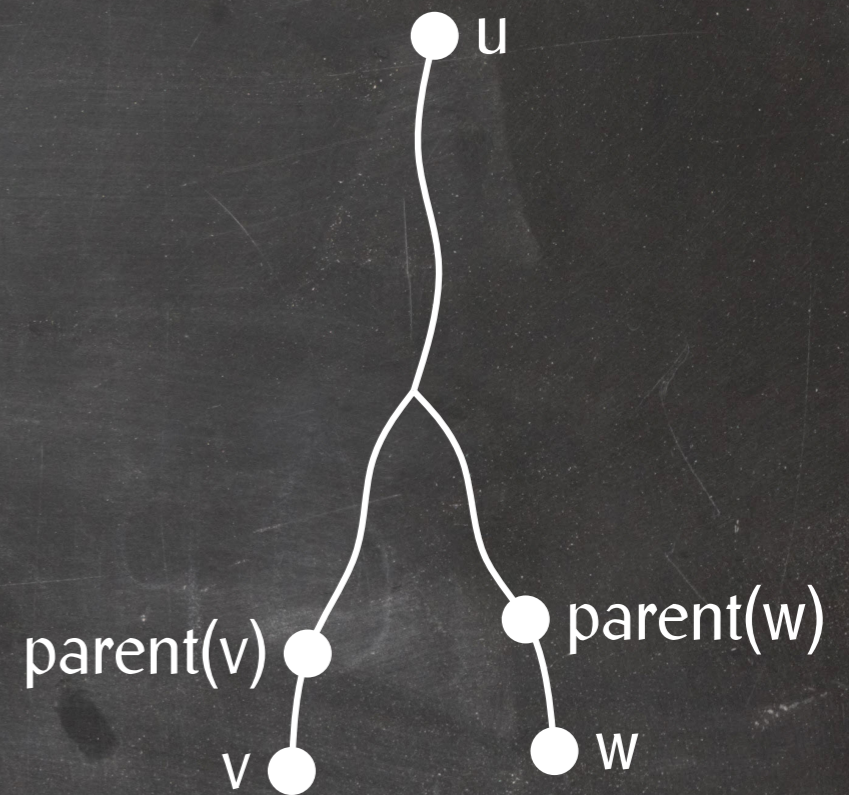
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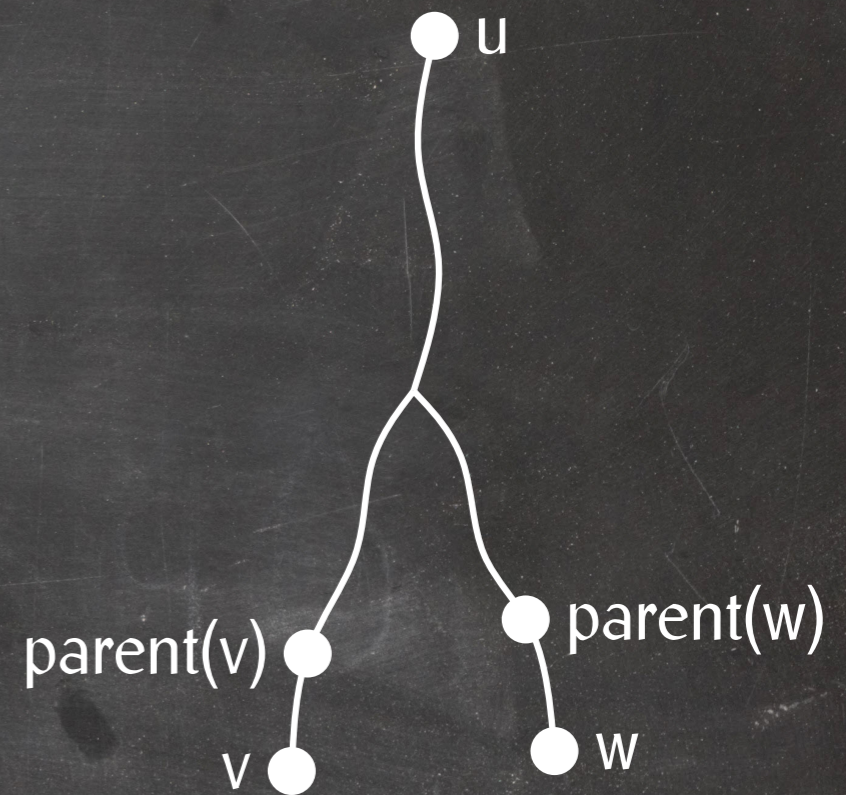
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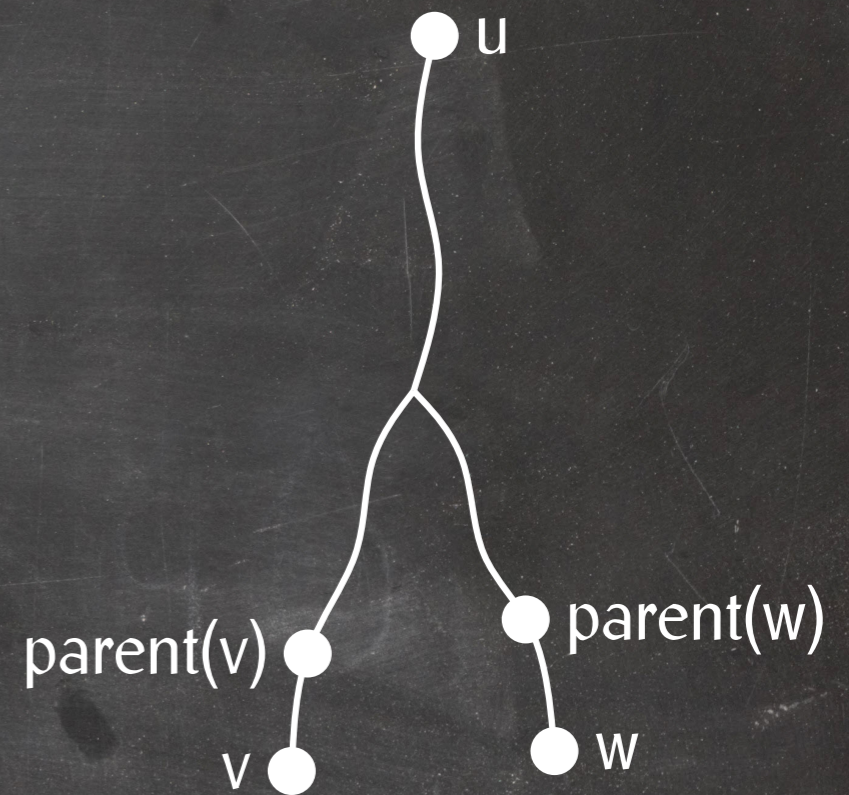
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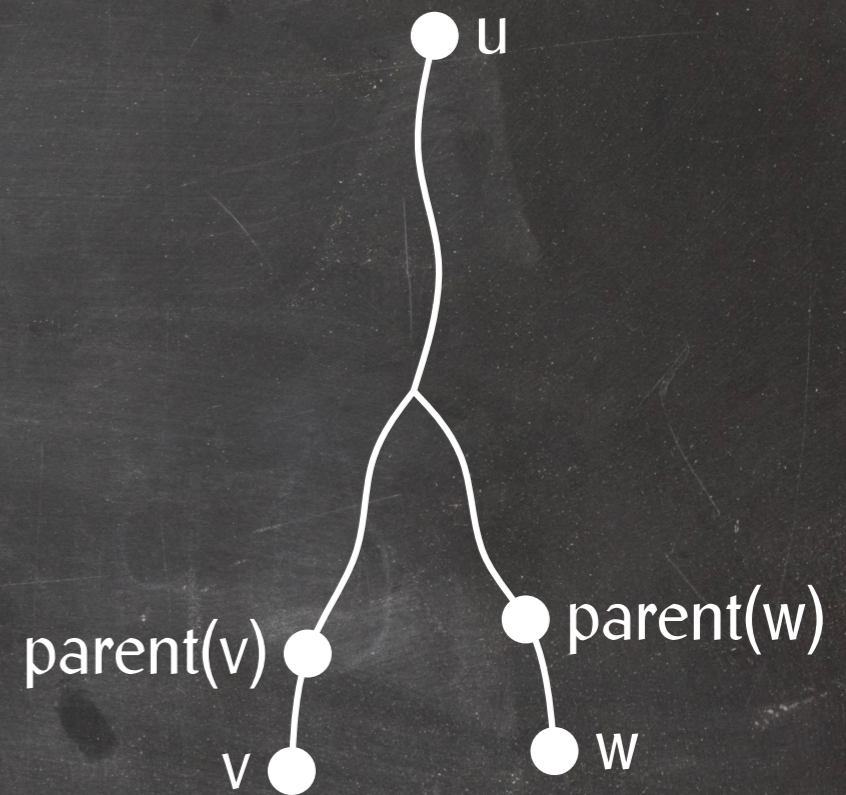
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A Property of Undirected BFS Forests

BFS forest = spanning forest computed using BFS

Let the **depth** $d_F(v)$ of a vertex v in a rooted forest F be the distance from the root of its tree.

Lemma: BFS visits the vertices of each component of F in order of increasing depth.

Assume $d_F(v) < d_F(w)$ and w is visited before v .

Choose such a pair (v, w) so that $d_F(w)$ is minimized.

$w \neq u$ because $d_F(w) > d_F(v) \geq 0$ and $d_F(u) = 0$.

$v \neq u$ because u is visited before any other vertex in the same tree.

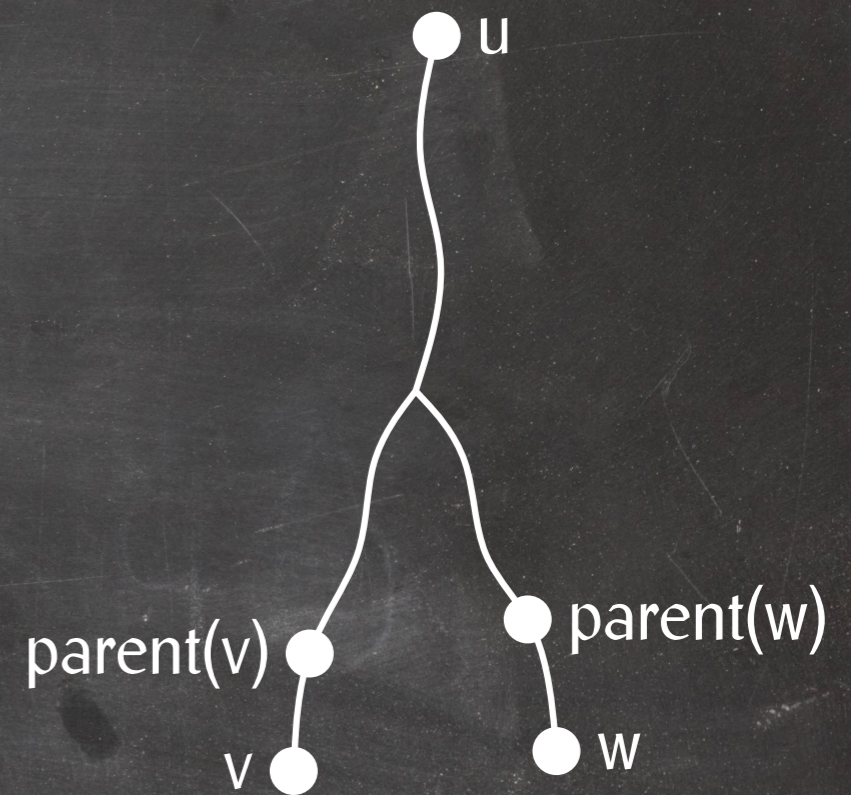
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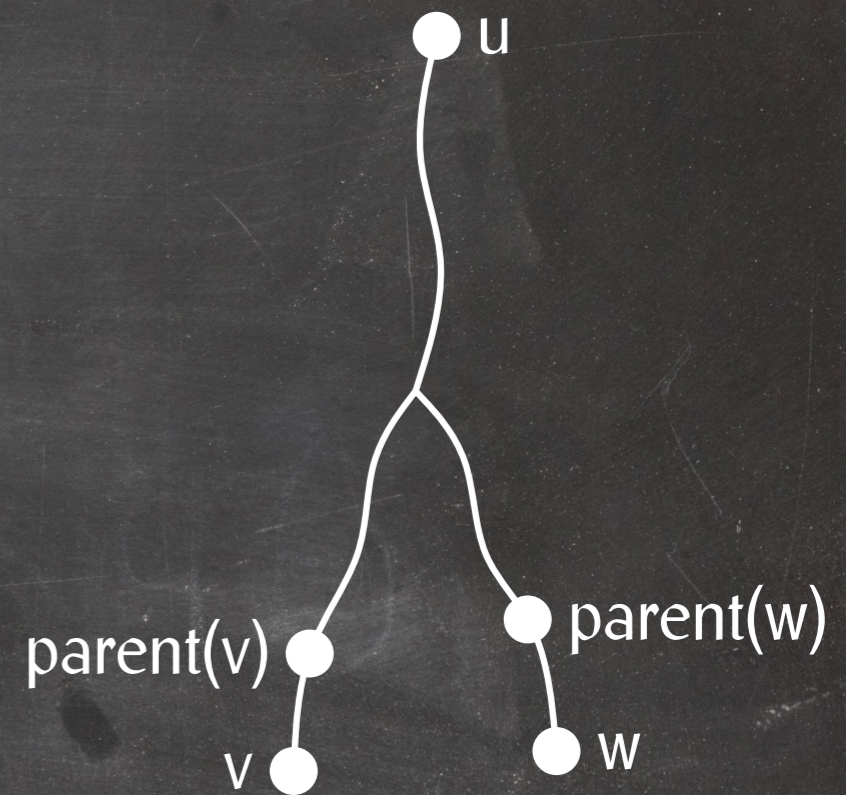
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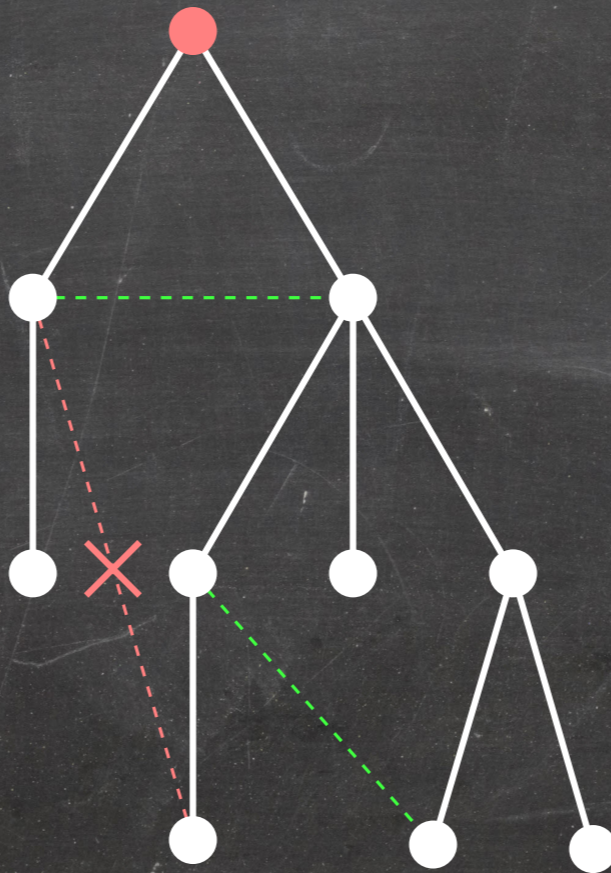
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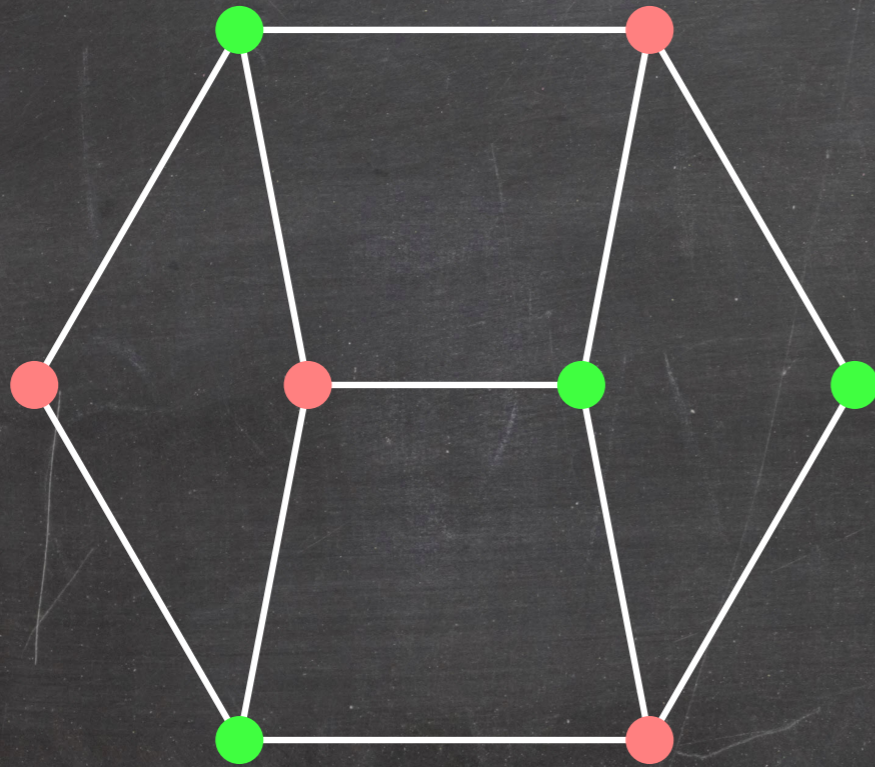
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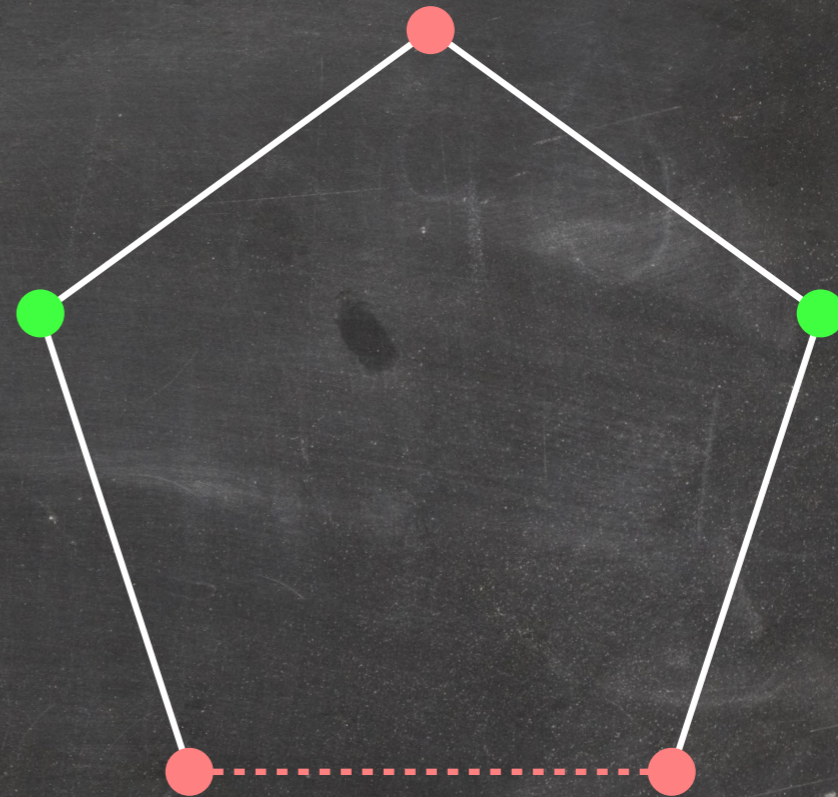
$\Rightarrow w$ would be added to the list of v 's children, a contradiction.

Bipartite Graphs

A graph is **bipartite** if its vertices can be partitioned into two sets (U, W) such that every edge has one endpoint in U and one endpoint in W .



bipartite



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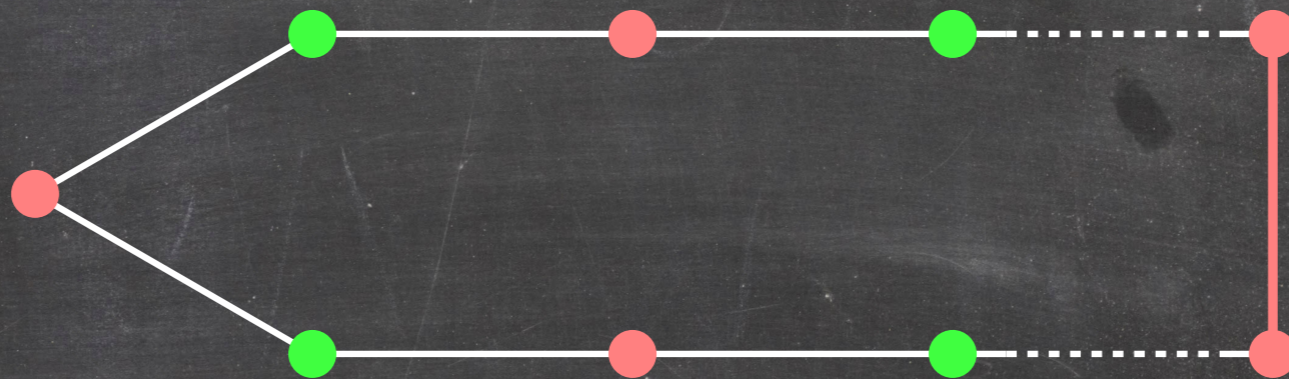
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Assume there exists an odd cycle in G .

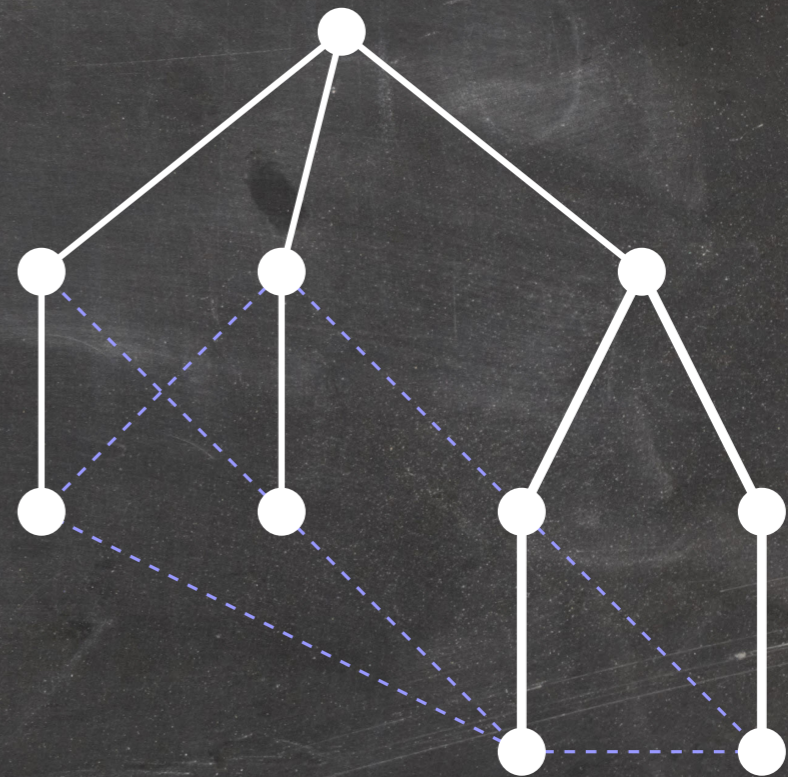


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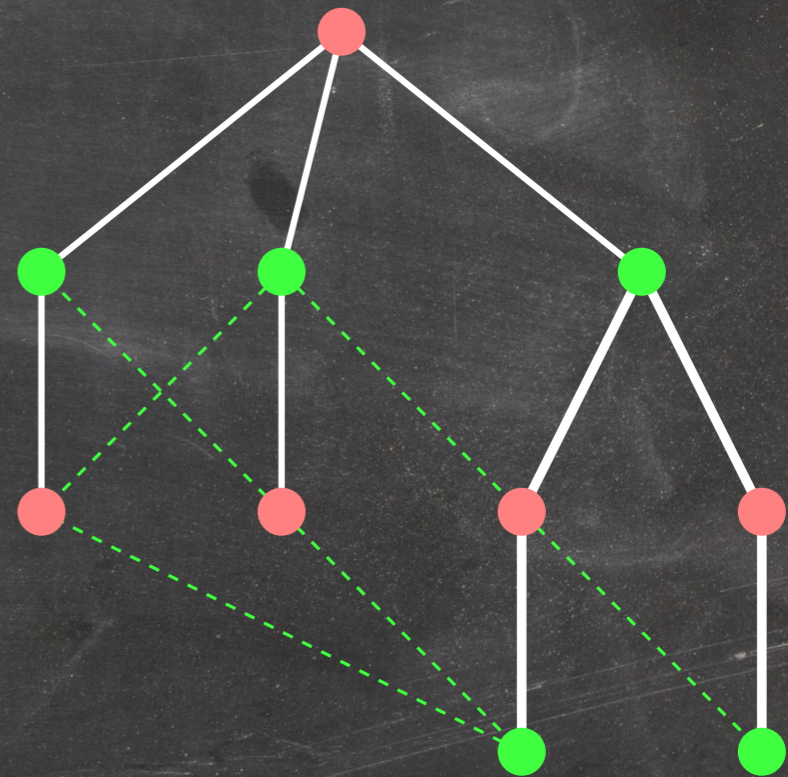
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Let F be a BFS forest of G .

Add vertices on odd levels to U , on even levels to W .

This is the only partition that satisfies the edges of F !

\Rightarrow G is bipartite if and only if there is no edge with both endpoints on the same level.



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Lemma: Given a BFS forest F of G , G is bipartite if and only if there is no edge in G with both endpoints on the same level in F .

Bipartiteness Testing

- Compute BFS forest F of G .
- Collect vertices on alternating levels of F into two sets (U, W) .
- Test whether any edge has both endpoints in the same set, U or W .
- If so, report the odd cycle induced by such an edge.
- Otherwise, report the bipartition (U, W) .

Collecting vertices on alternating levels:

AlternatingLevels(F)

```
1  U = W = []
2  for every tree T in F
3      do AlternatingLevels'(T, U, W)
4  return (U, W)
```

AlternatingLevels'(T, U, W)

```
1  U.append(T.key)
2  for every child T' of T
3      do AlternatingLevels'(T', W, U)
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Testing for an “odd edge”:

OddEdge(G, U, W)

```
1  A = an array of size n
2  for every vertex  $u \in U$ 
3      do  $A[u] = "U"$ 
4  for every vertex  $w \in W$ 
5      do  $A[w] = "W"$ 
6  for every edge  $(u, w) \in G$ 
7      do if  $A[u] = A[w]$ 
8          then return  $(u, w)$ 
9  return Nothing
```

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Finding the ancestor edges of all vertices:

AncestorEdges(F)

- 1 $L =$ an empty list of vertex-vertex list pairs
- 2 **for** every tree $T \in F$
- 3 **do** AncestorEdges'($T, [], L$)
- 4 **return** L

AncestorEdges'(T, A, L)

- 1 $L = L.append([(T.key, A)])$
- 2 **for** every child T' of T
- 3 **do** AncestorEdges'($T', [(T.key, T'.key)] ++ A, L$)

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Reporting an odd cycle:

OddCycle($L, (u, w)$)

```
1 Find  $(u, A_u)$  and  $(w, A_w)$  in  $L$ 
2  $C_u = C_w = []$ 
3 while  $A_u.head \neq A_w.head$ 
4   do  $C_u.append(A_u.head)$ 
5      $C_w.append(A_w.head)$ 
6      $A_u = A_u.tail$ 
7      $A_w = A_w.tail$ 
8  $C_u.reverse().concat([(u, w)]).concat(C_w)$ 
9 return  $C_u$ 
```

Bipartiteness Testing

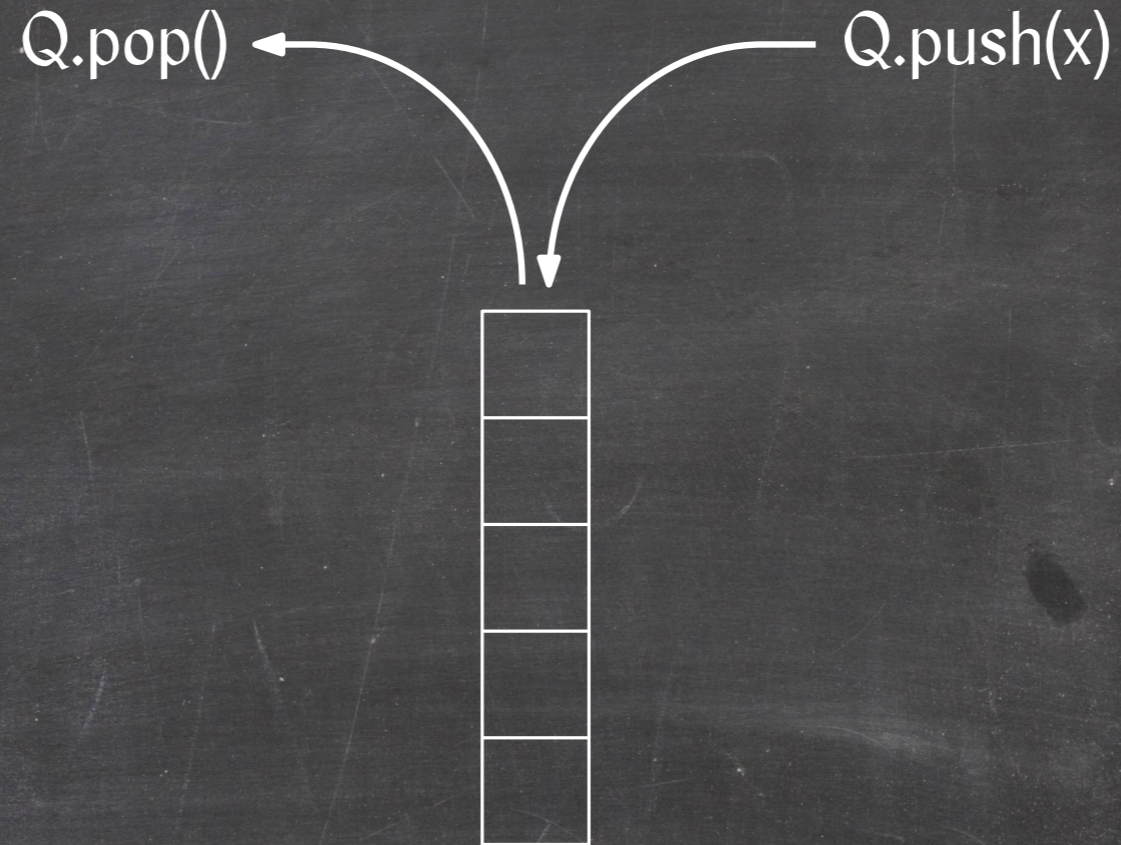
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Lemma: It takes linear time to test whether a graph G is bipartite and either report a valid bipartition or an odd cycle in G .

Depth-First Search

Depth-first search (DFS) = graph traversal using a **stack** to implement Q.

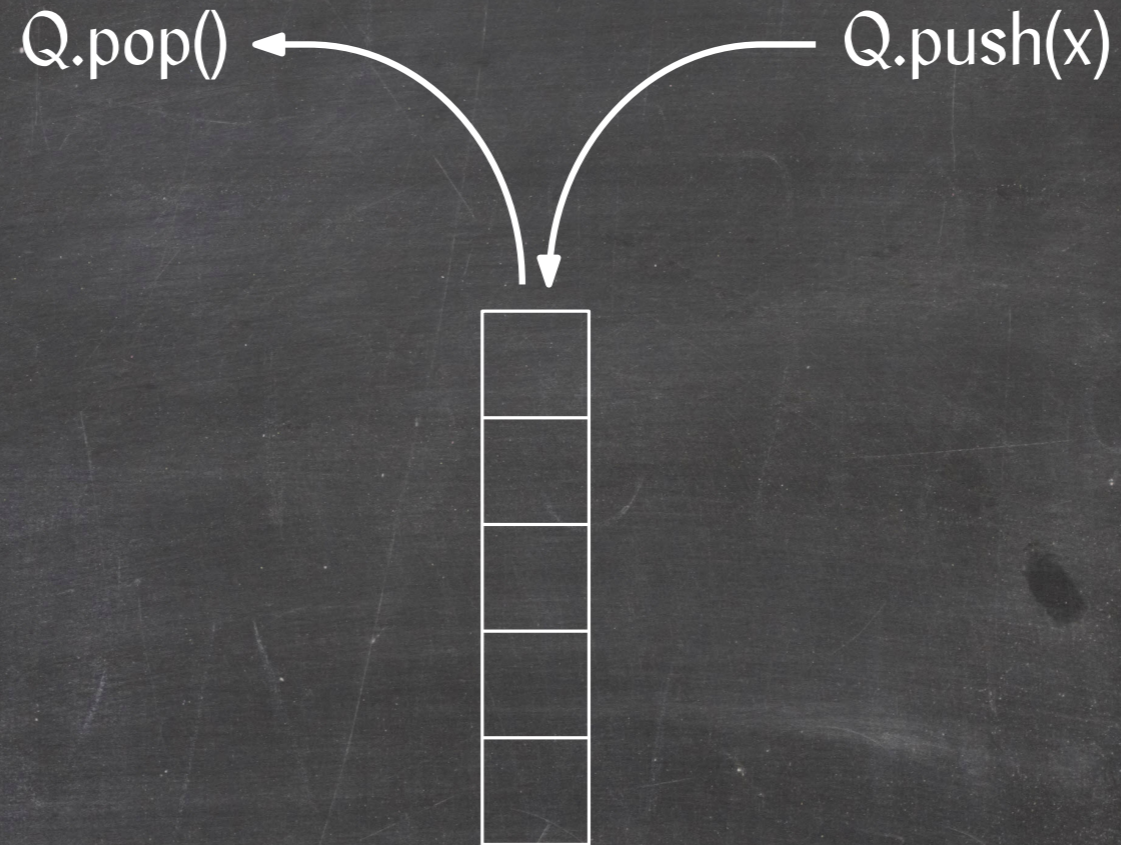
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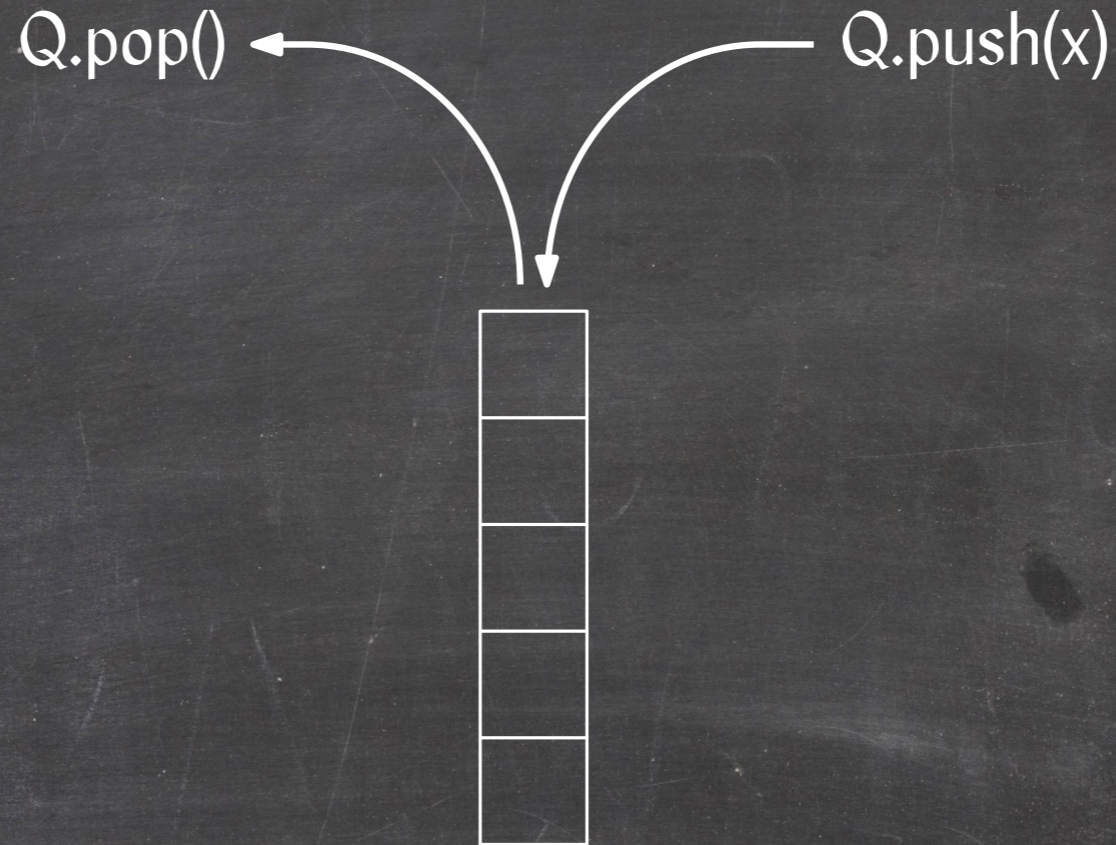
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Lemma: Depth-first search takes $O(n + m)$ time.

Depth-First Search and Preorder

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It visits every node after its parent:

- v is visited when the edge $(\text{parent}(v), v)$ is popped.
- The edge $(\text{parent}(v), v)$ must be pushed before this can happen.
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Observation: An edge with one explored and one unexplored endpoint is on the stack.

Depth-First Search and Preorder

Assume there exist two vertices x and y such that

- y is not a descendant of x ,
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Choose y and z so that

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Case 1: y is a root.

Cannot happen because the edge $(\text{parent}(z), z)$ is on the stack when y is visited and the stack is empty when a root is visited.

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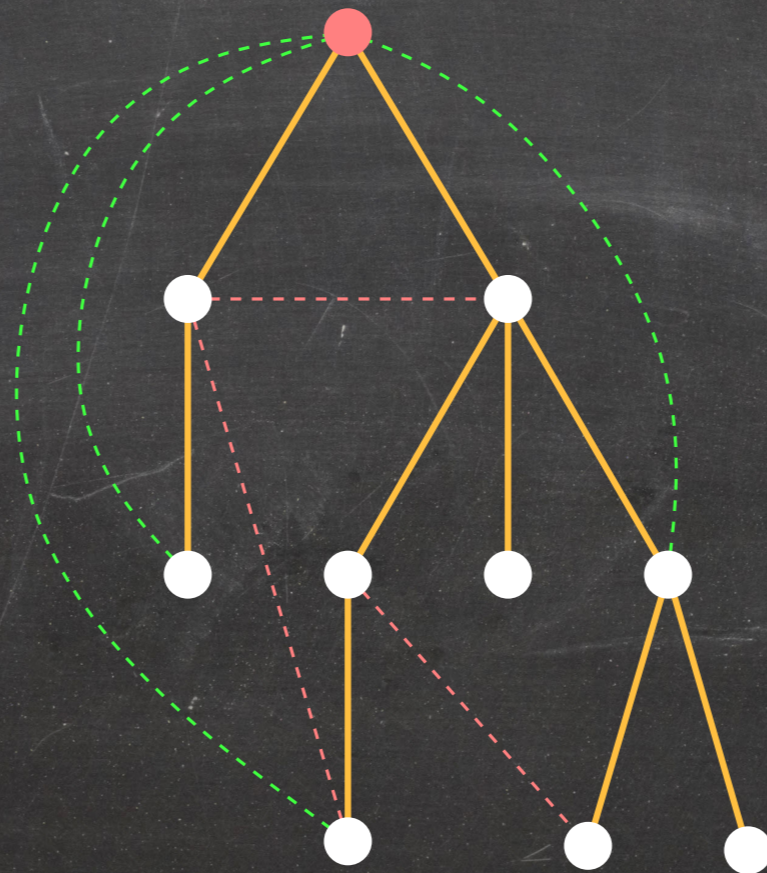
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A Property of Undirected DFS Forests

Three types of edges:

- **Tree edge** (u, w) : u is w 's parent in F .
- **Cross edge** (u, w) : Neither u nor w is an ancestor of the other.
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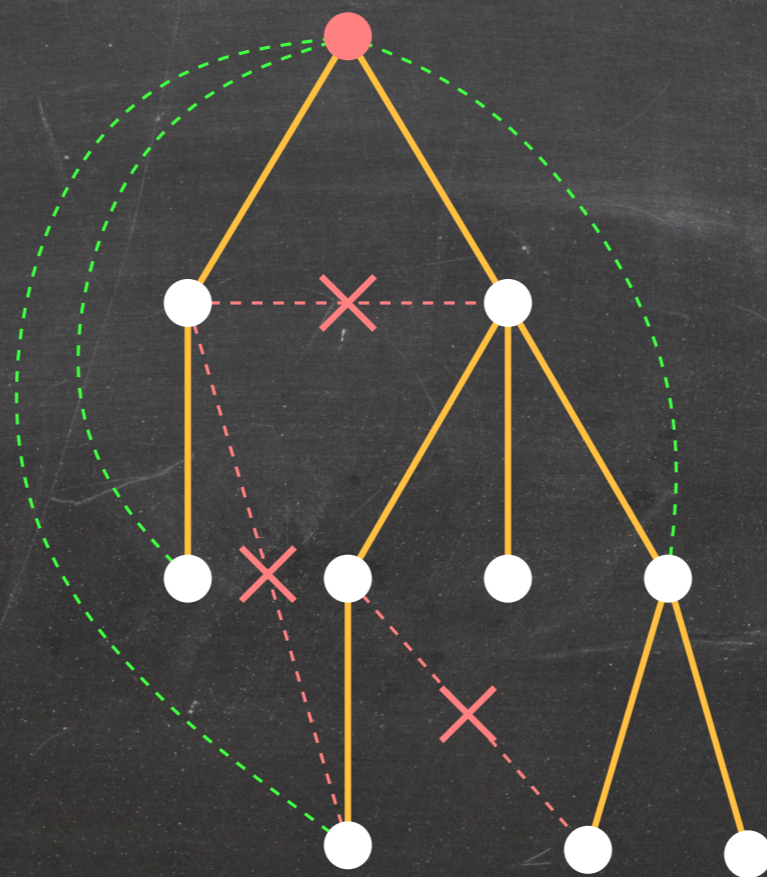


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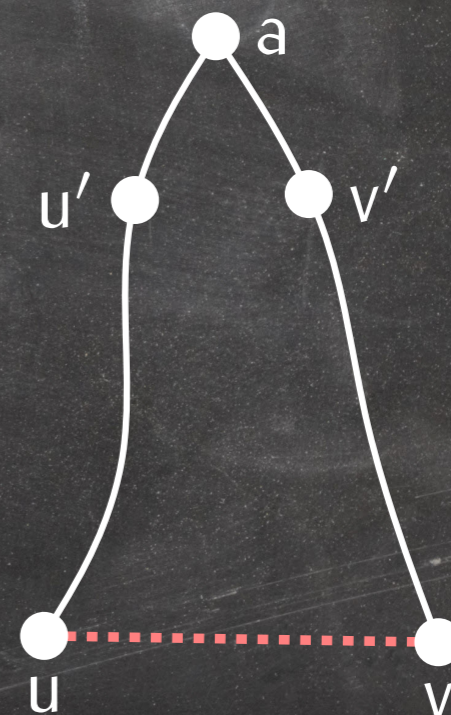
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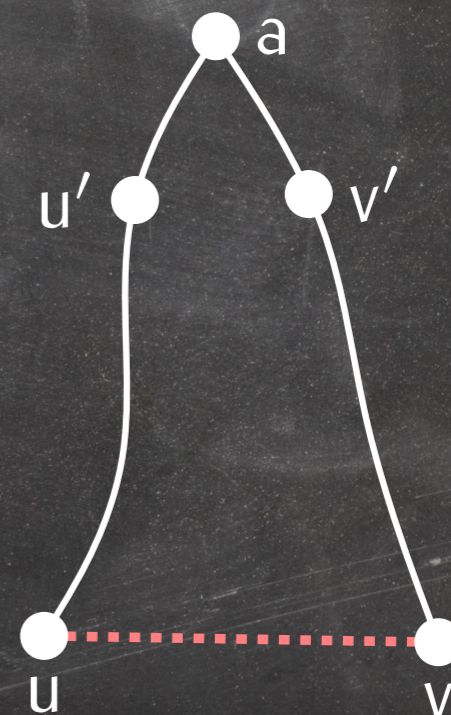
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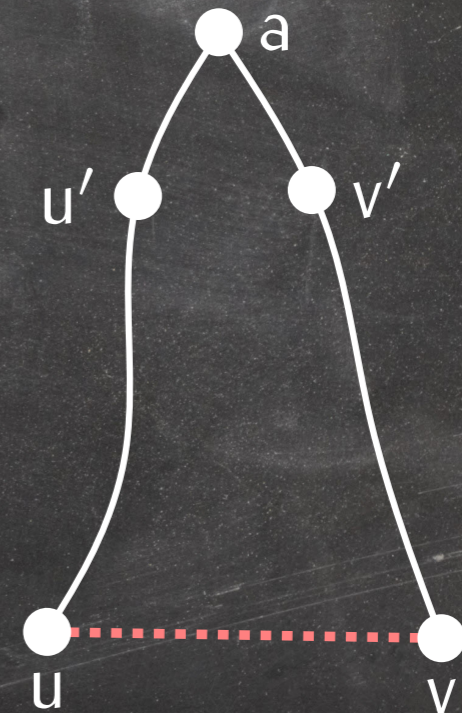
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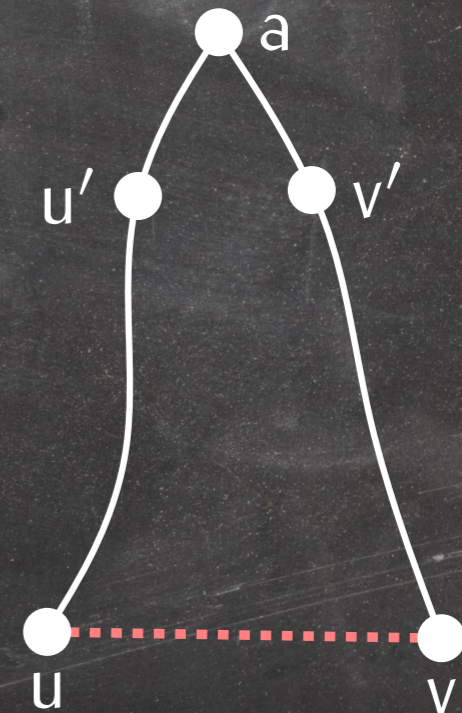
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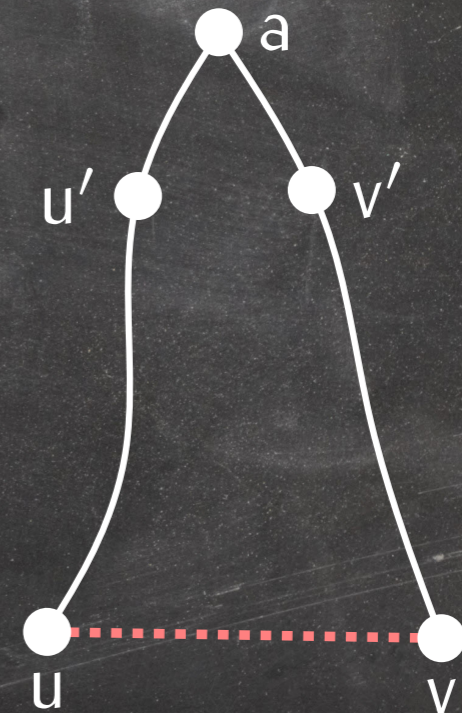
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A Property of Undirected DFS Forests

Three types of edges:

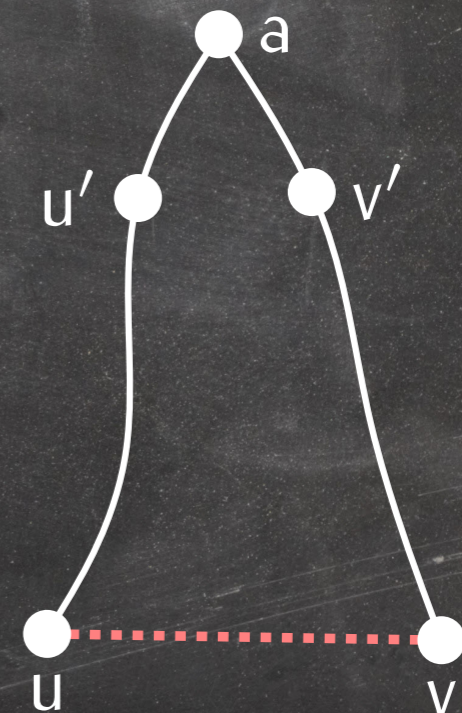
- **Tree edge** (u, w) : u is w 's parent in F .
- **Cross edge** (u, w) : Neither u nor w is an ancestor of the other.
- **Back edge** (u, w) : u is an ancestor of w but not its parent.

Lemma: All edges of an undirected graph G are tree or back edges with respect to a DFS forest of G .

Let a be the LCA of u and v and let u' and v' be the children of a that are ancestors of u and v .

Assume $u < v$ in preorder.

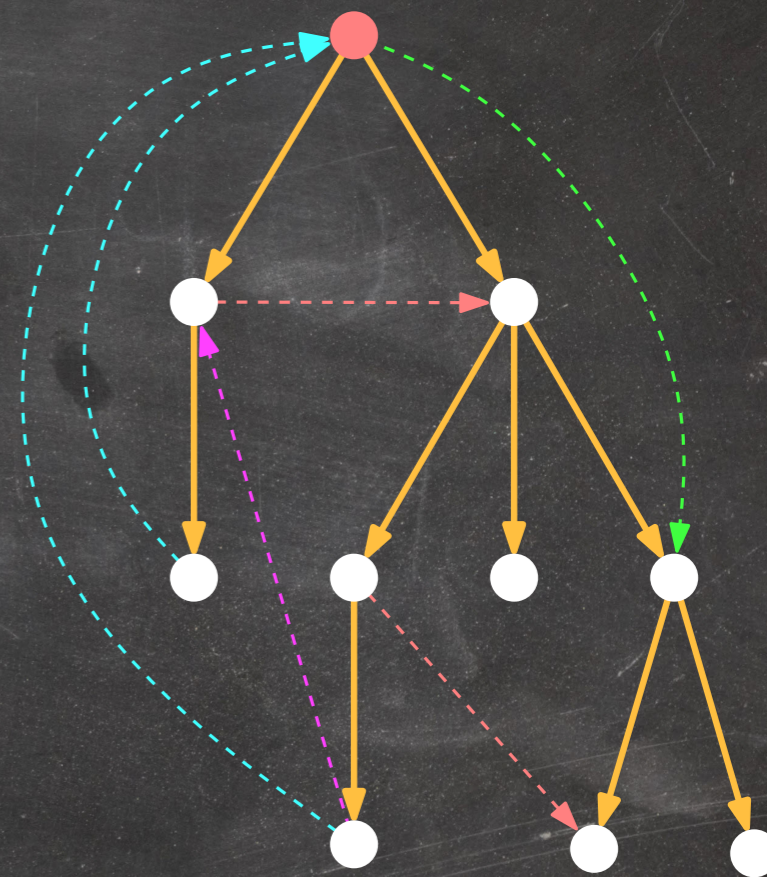
- \Rightarrow Vertices a, u', u, v', v are visited in this order.
- \Rightarrow The edge (a, v') is pushed before u is visited and popped after u is visited.
- \Rightarrow The edge (u, v) is pushed after (a, v') is pushed and before (a, v') is popped.
- \Rightarrow The edge (u, v) is popped before (a, v') is popped.
- \Rightarrow v is unexplored when the edge (u, v) is popped, a contradiction.



A Property of Directed DFS Forests

Five types of edges:

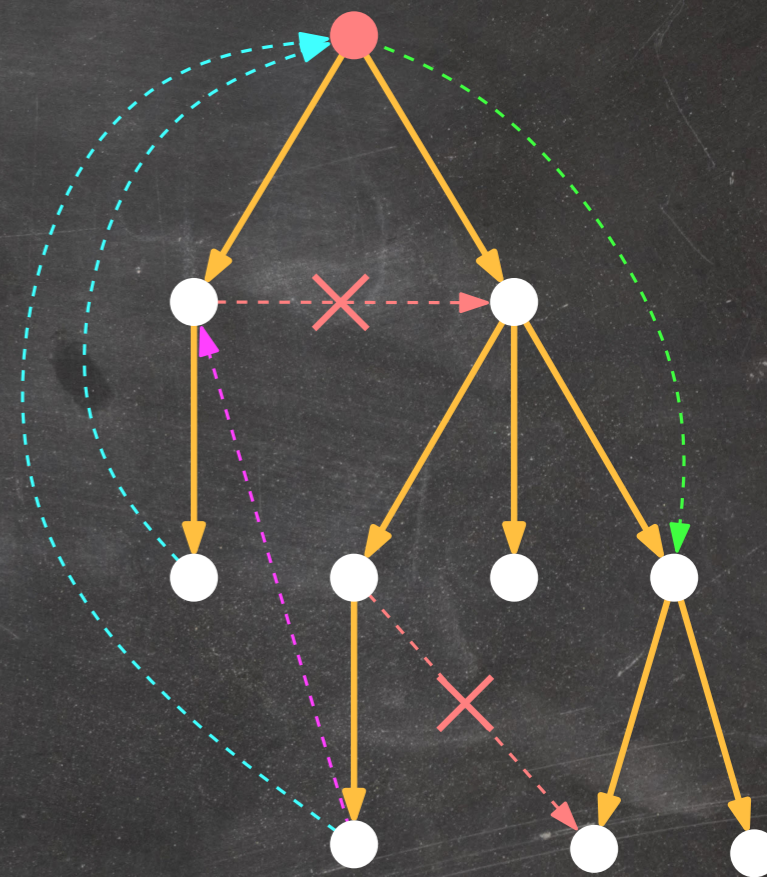
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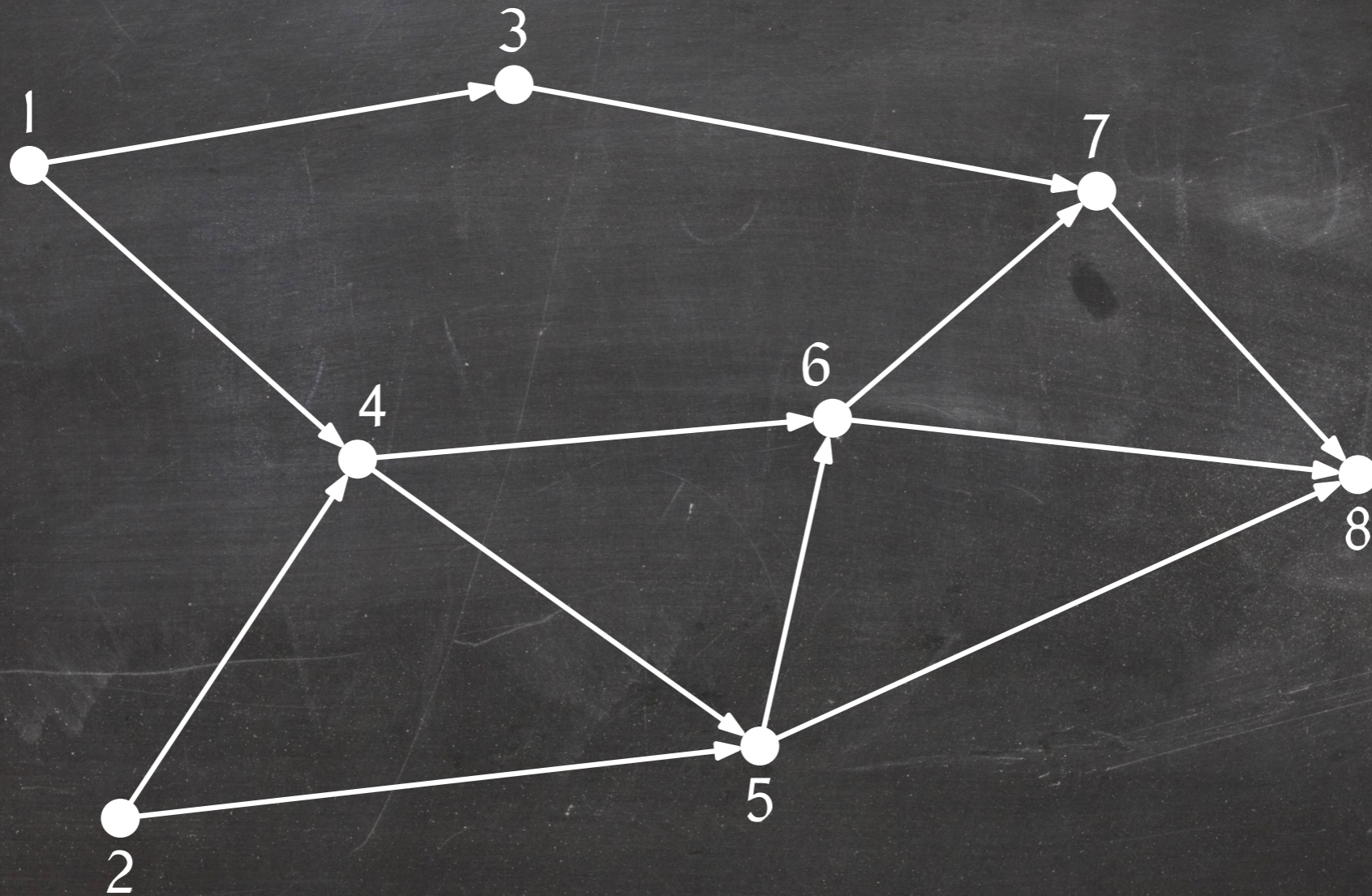
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Lemma: A directed graph G does not contain any forward cross edges with respect to a DFS forest of G .

Topological Sorting

A **topological ordering** of a directed graph is an ordering $<$ of the vertex set of G such that $u < v$ for every edge $(u, v) \in G$.



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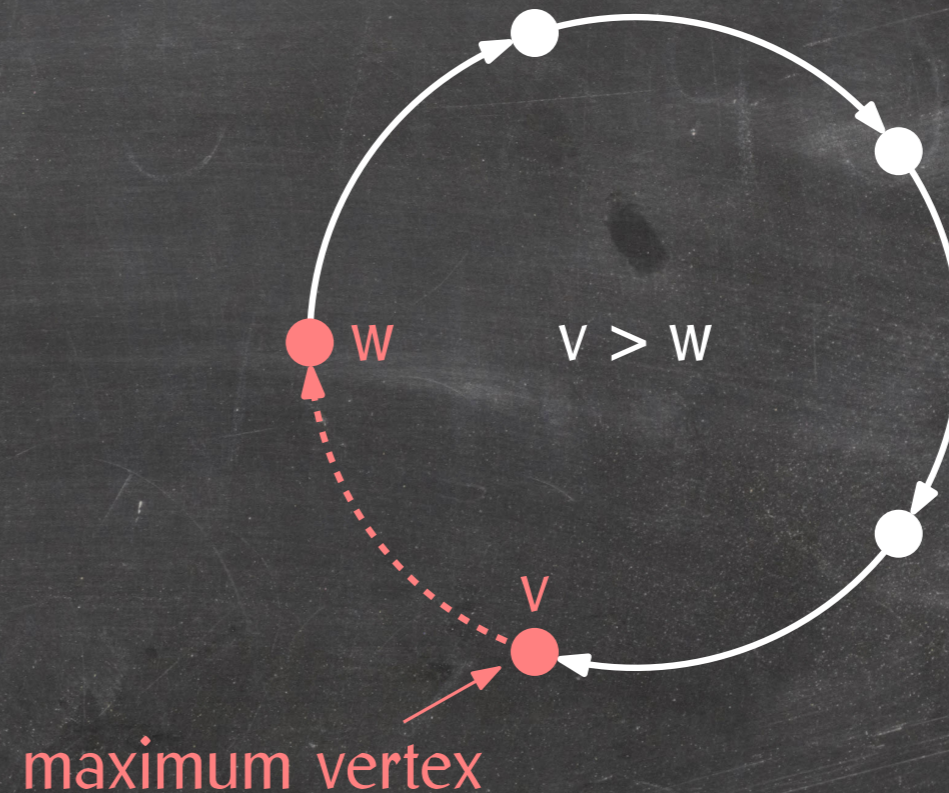
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If there's a cycle, there is no topological ordering.



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We prove that, if there is no cycle, there is always a source (vertex of in-degree 0).

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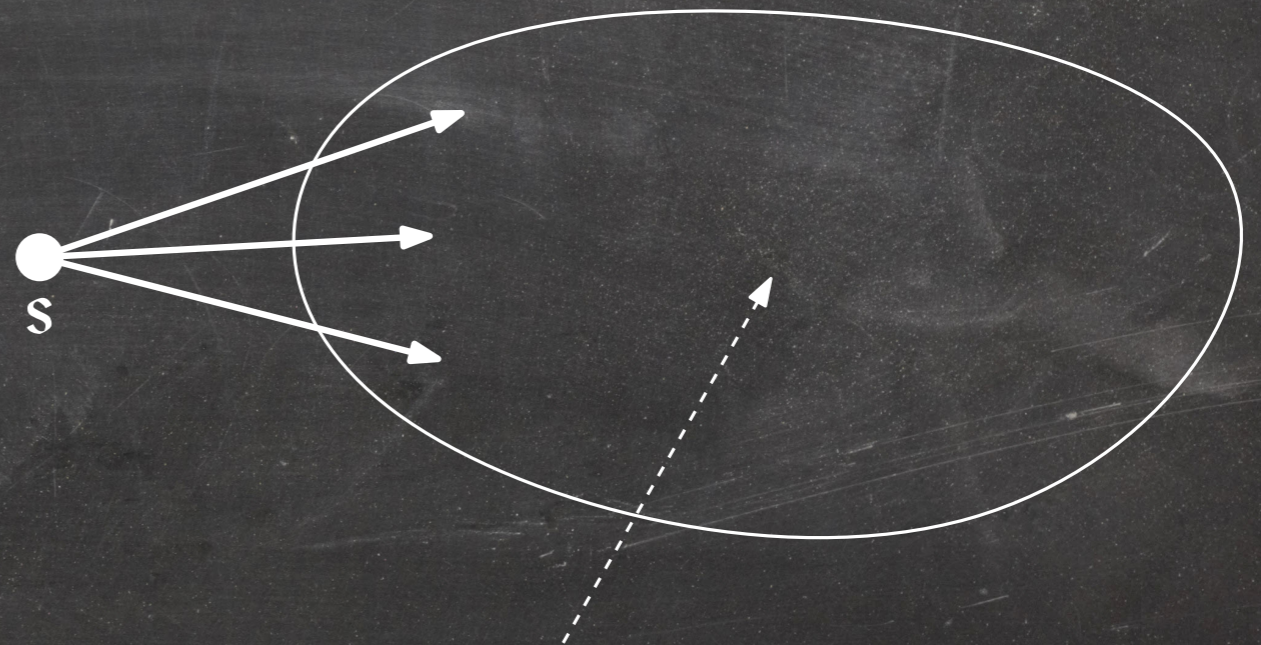
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\Rightarrow The following algorithm produces a topological ordering:

- Give s the smallest number.
- Recursively number the rest of the vertices.



Cannot contain a cycle since G contains no cycle.

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For an edge (u, v) ,

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$\Rightarrow R(u) \supset R(v)$.

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If s had an in-neighbour u , then $|R(u)| > |R(s)|$, a contradiction.

$\Rightarrow s$ is a source.

Topological Sorting

Lemma: A topological ordering of a directed acyclic graph G can be computed in $O(n + m)$ time.

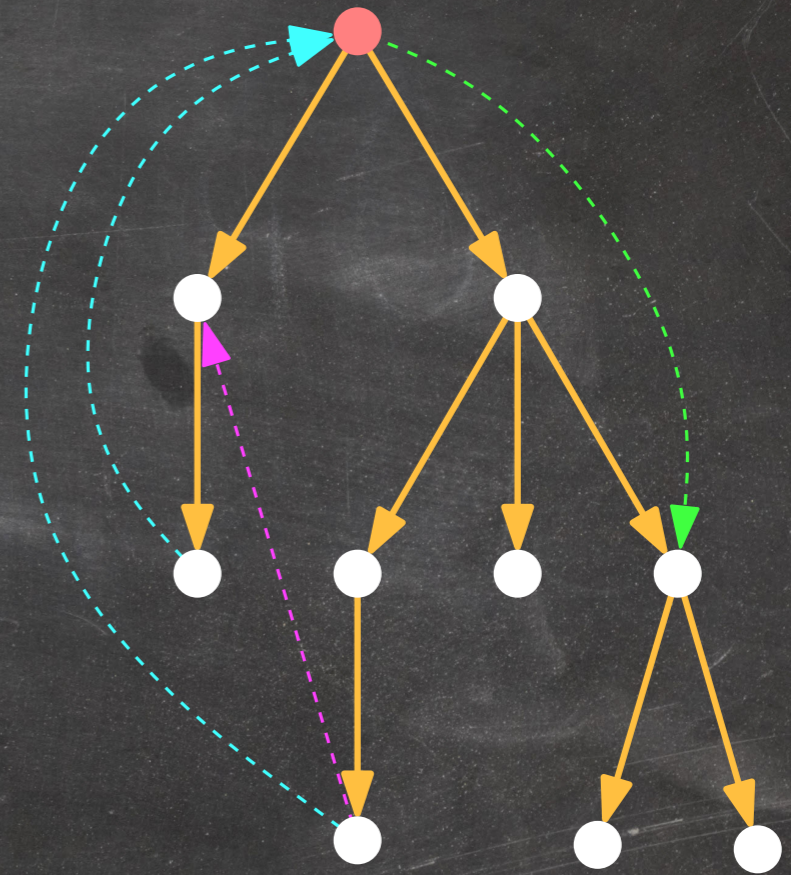
SimpleTopSort(G)

```
1  Q = an empty queue
2  for every vertex  $v \in G$ 
3      do label  $v$  with its in-degree
4          if  $\text{in-deg}(v) = 0$ 
5              then  $Q.\text{enqueue}(v)$ 
6  O = []
7  while not  $Q.\text{isEmpty}()$ 
8      do  $v = Q.\text{dequeue}()$ 
9          O.append( $v$ )
10     for every out-neighbour  $w$  of  $v$ 
11         do  $\text{in-deg}(w) = \text{in-deg}(w) - 1$ 
12             if  $\text{in-deg}(w) = 0$ 
13                 then  $Q.\text{enqueue}(w)$ 
14  return O
```

Topological Sorting Using DFS

Edges in a DFS forest:

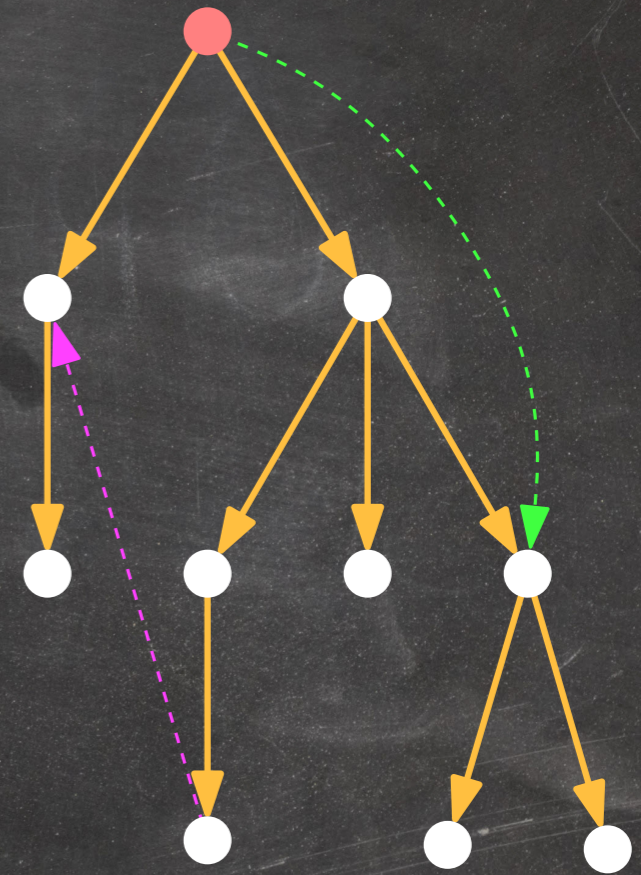
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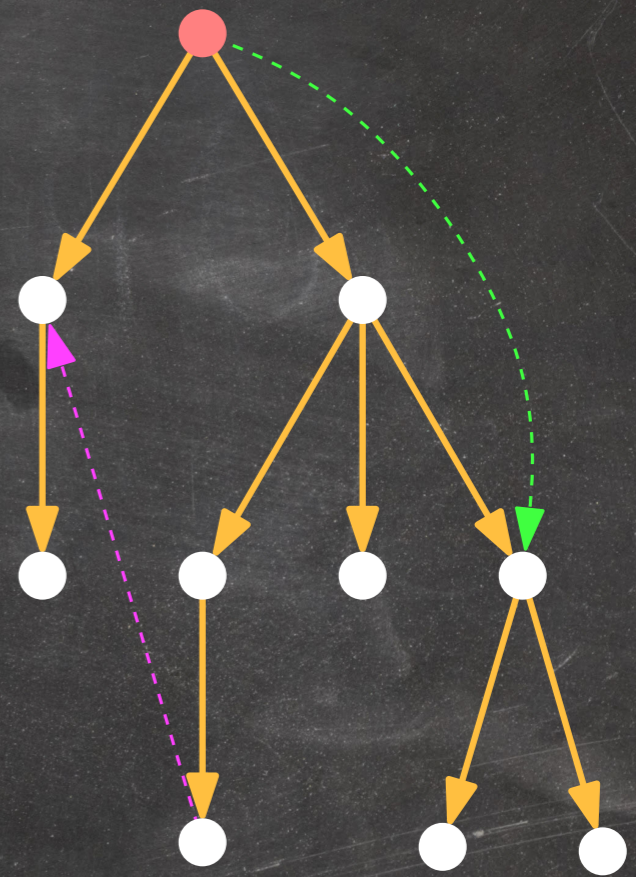


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For tree, forward, and backward cross edges (u, v) , $u > v$ in postorder.



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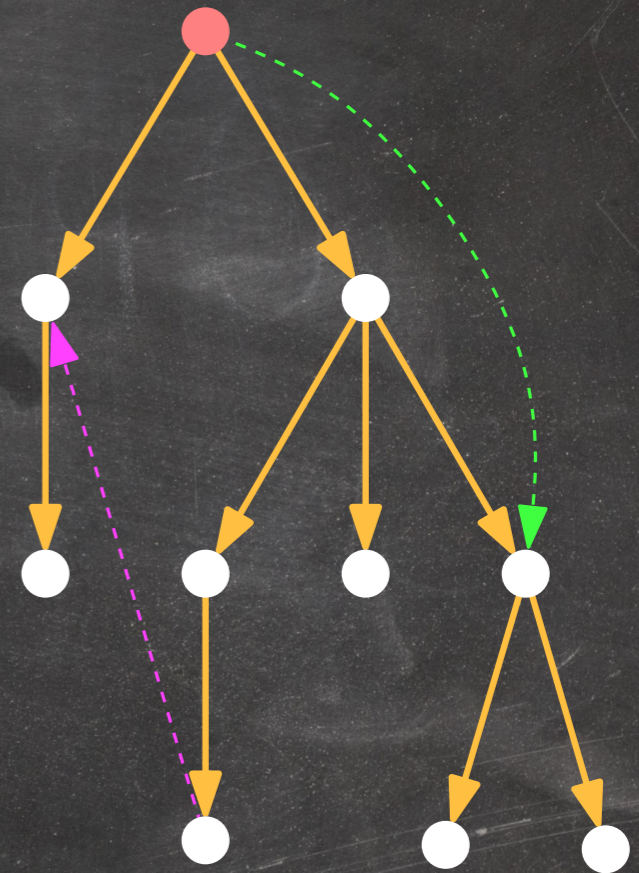
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⇒ Topological sorting algorithm:

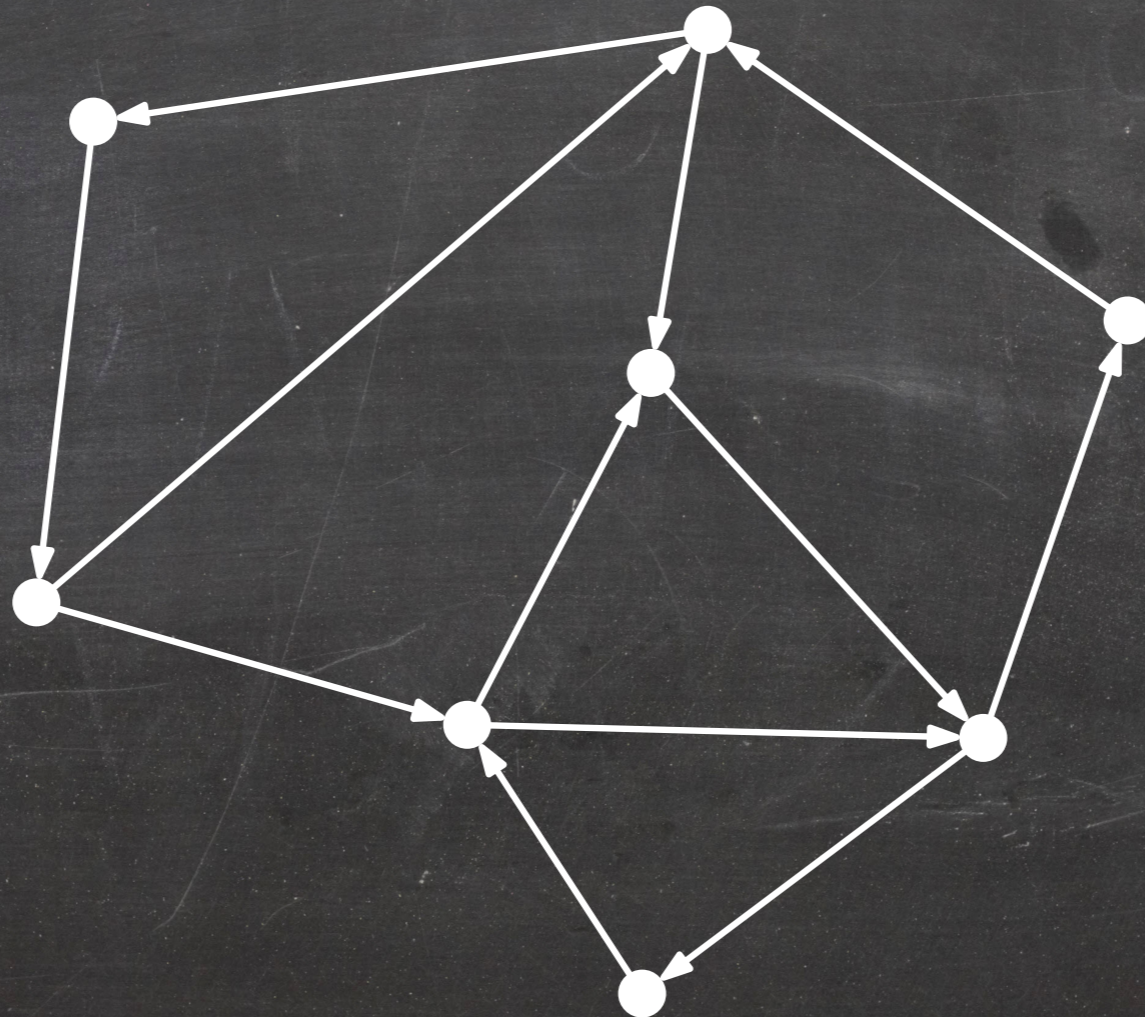
- Compute a DFS forest of G .
- Arrange the vertices in reverse postorder.

This takes $O(n + m)$ time.



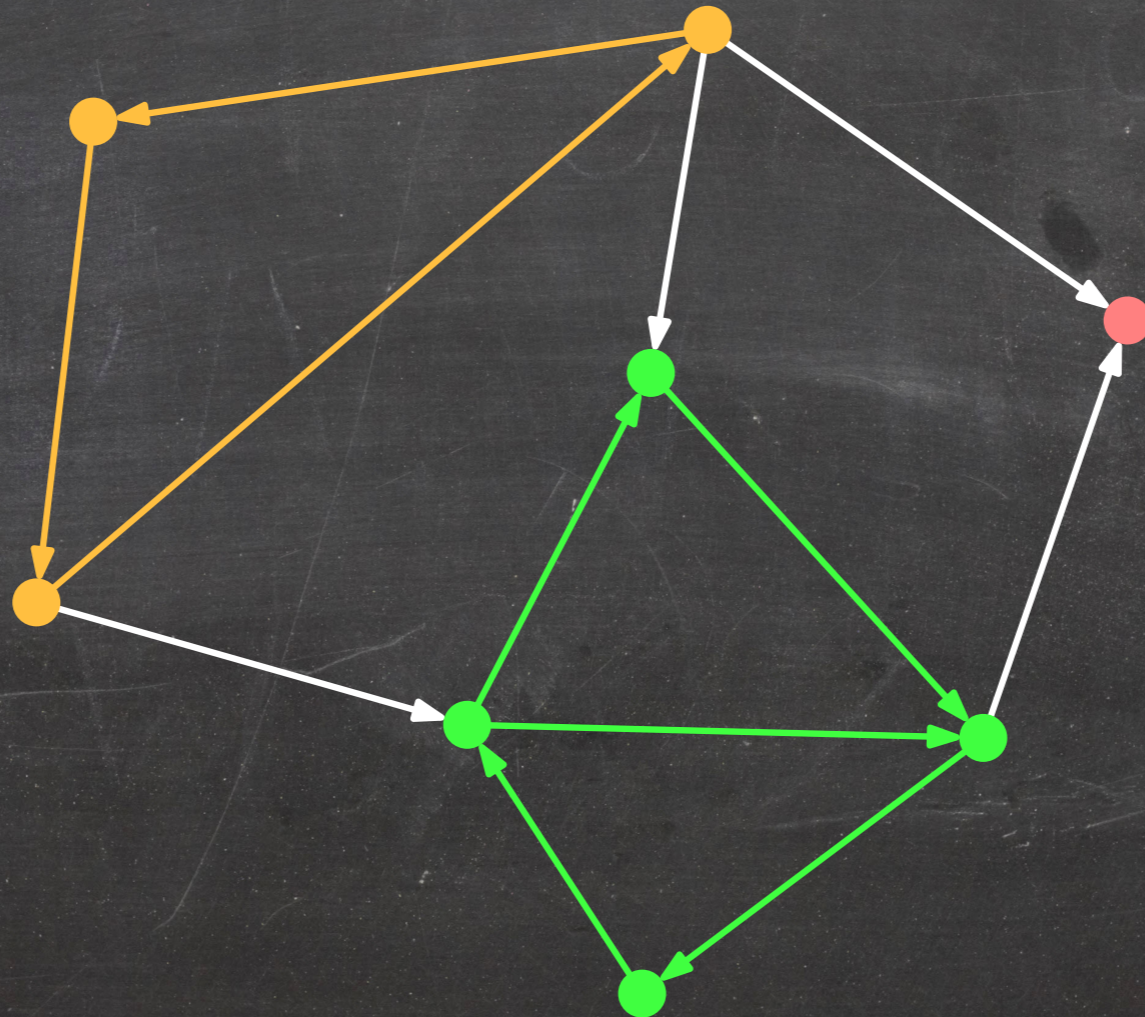
Strongly Connected Components

A graph is **strongly connected** if there exists a path from u to w and from w to u for every pair of vertices $u, w \in G$.



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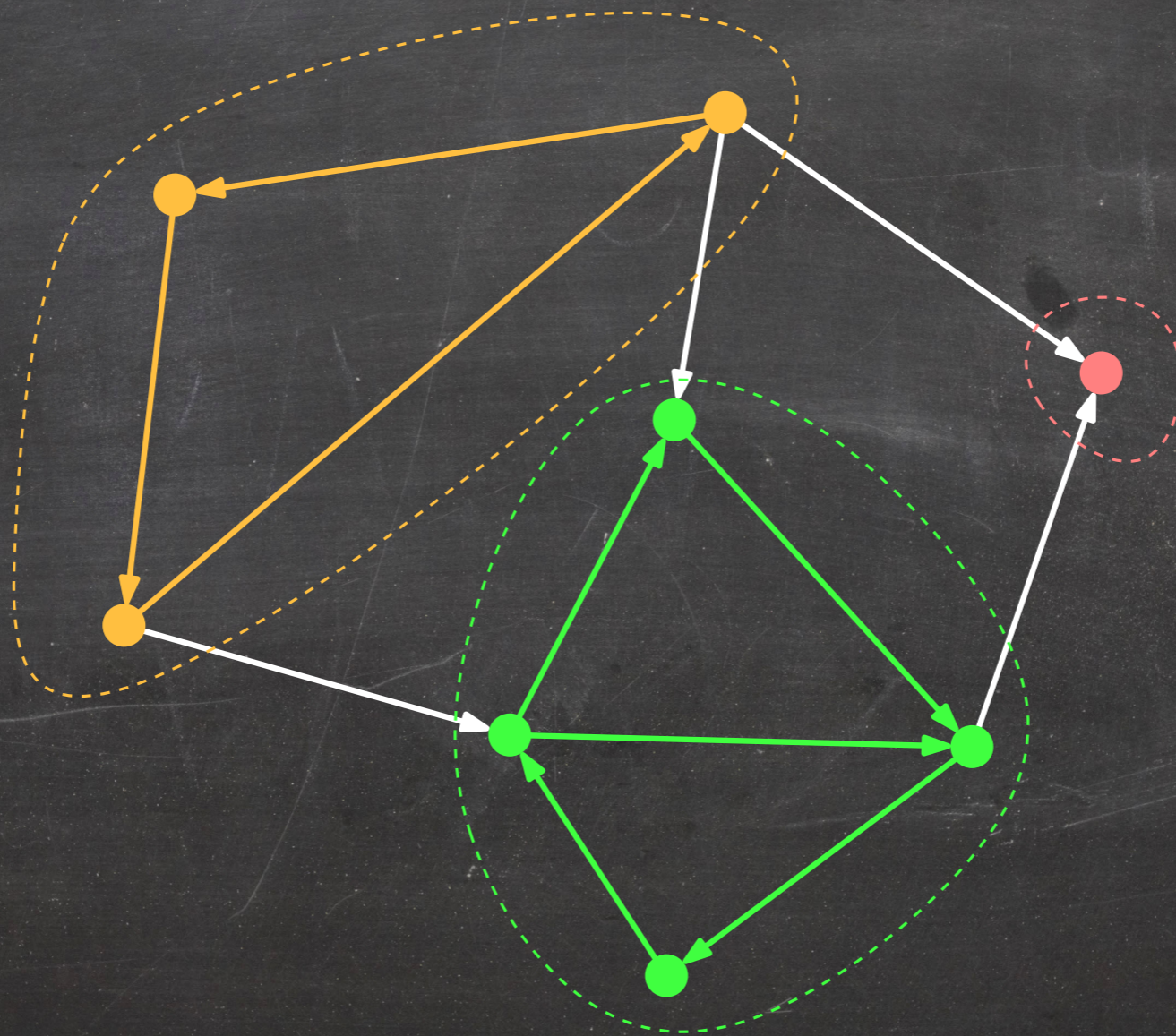
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Lemma: For a DFS forest F of G and any two vertices u and w of G ,
 $u \sim_{\text{SCC}(G)} w \Rightarrow u \sim_{\text{CC}(F)} w$. (The vertices of each strongly connected component of G belong to the same tree of any DFS forest F of G .)

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Let C be the strongly connected component containing u and w and let x be the first vertex in C visited during the construction of F .

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It suffices to prove that $x \sim_{\text{CC}(F)} v$ for every $v \in C$.

This follows from

Lemma: If there exists a path from x to v consisting of vertices that are unexplored when x is visited, then v is a descendant of x in F .

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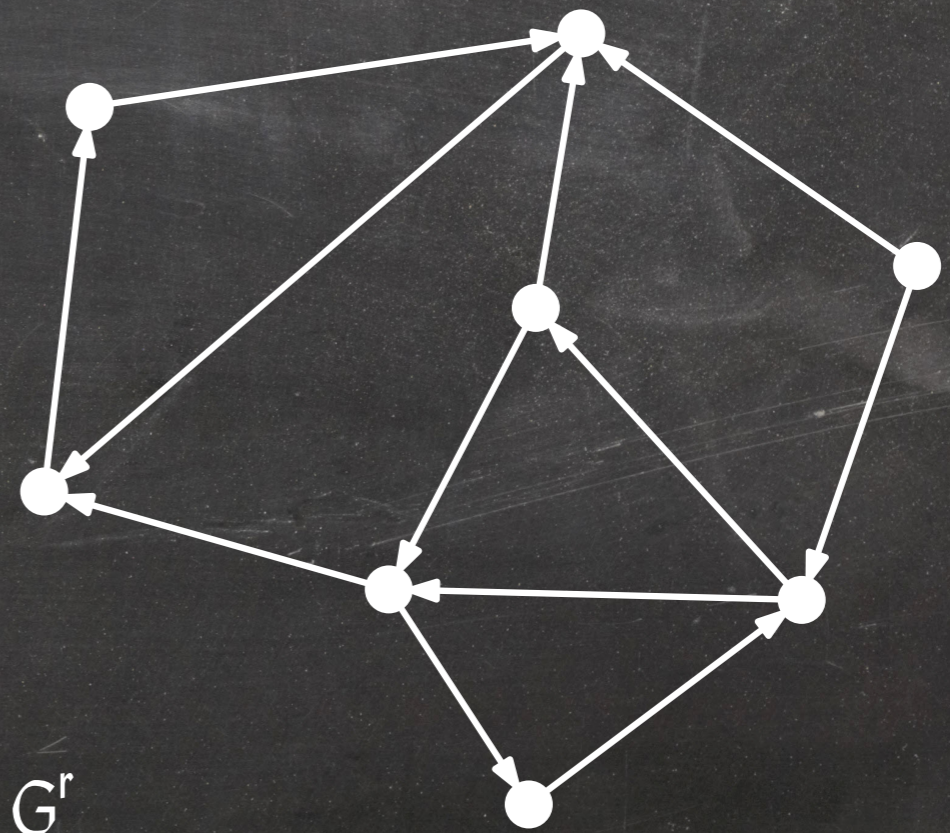
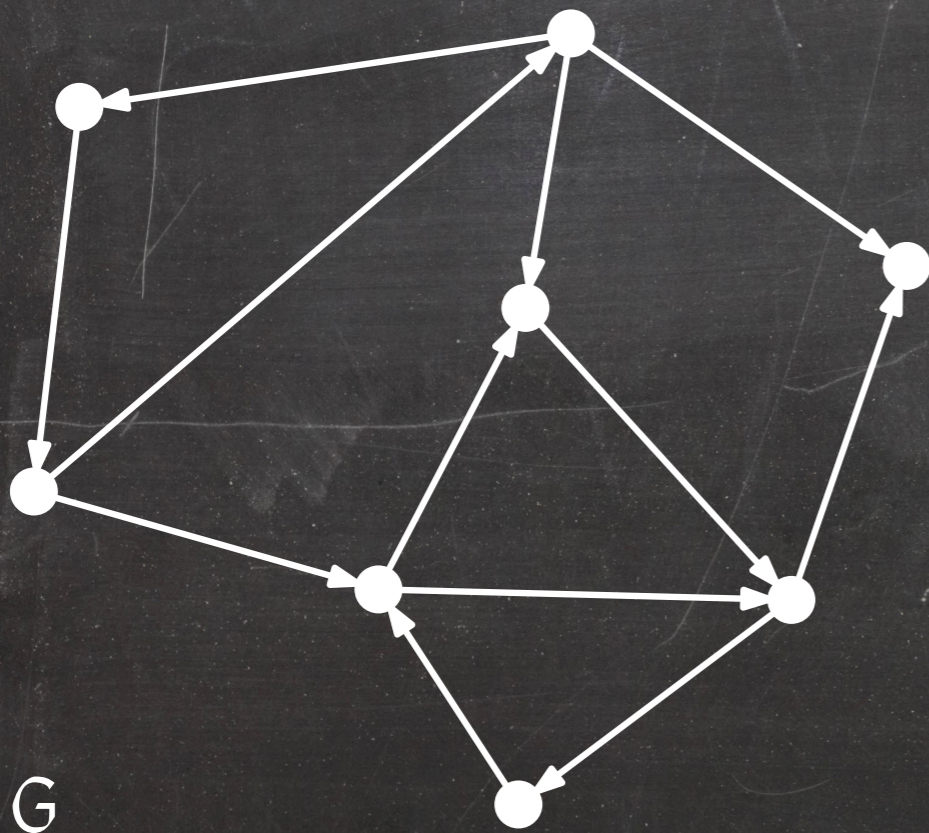
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Since $x_i < x_{i+1}$ in preorder, this implies that (x_i, x_{i+1}) is a forward cross edge, a contradiction.

Strongly Connected Components

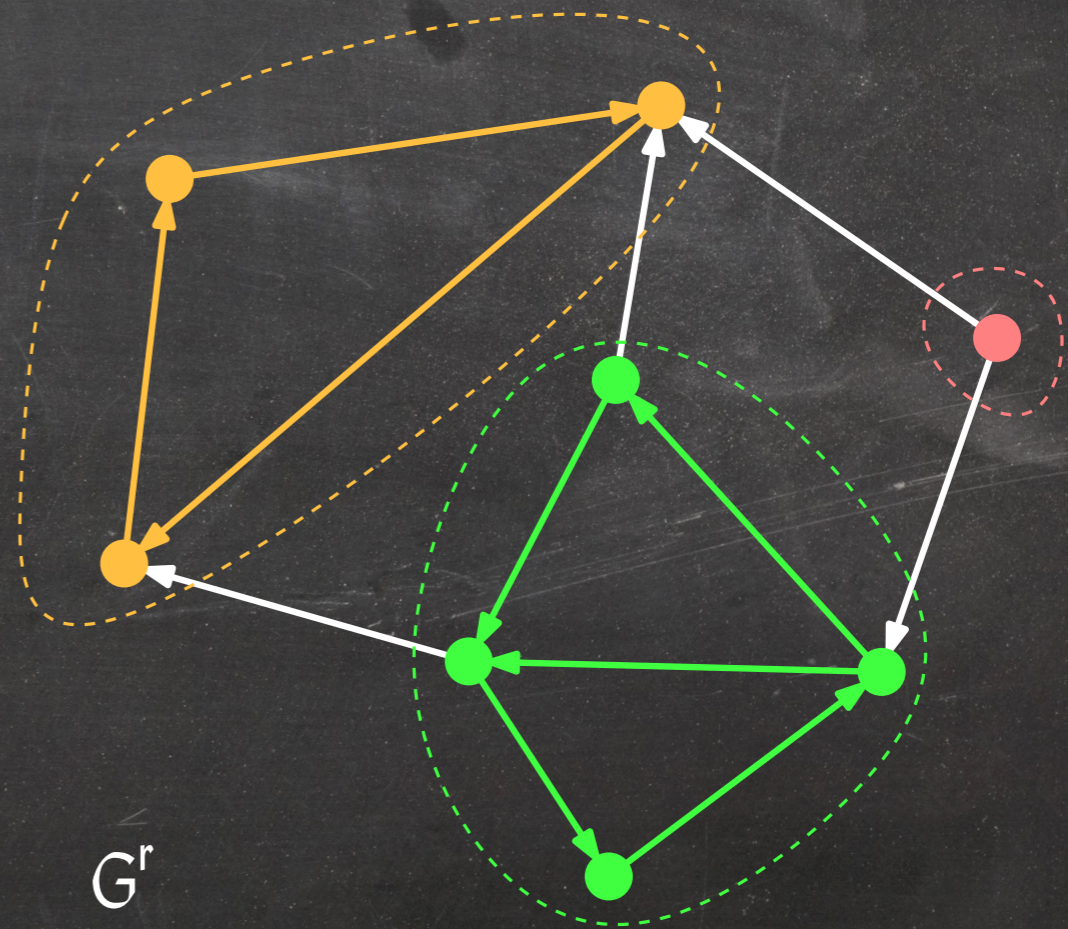
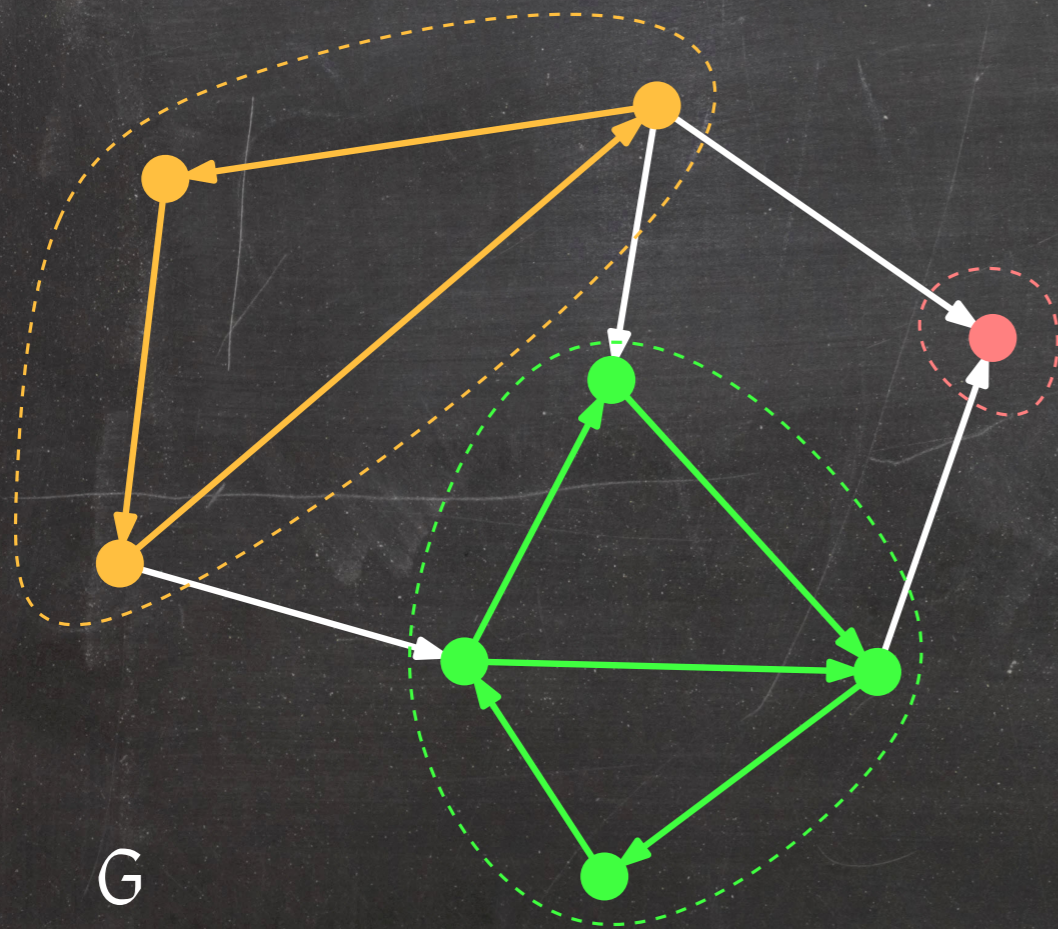
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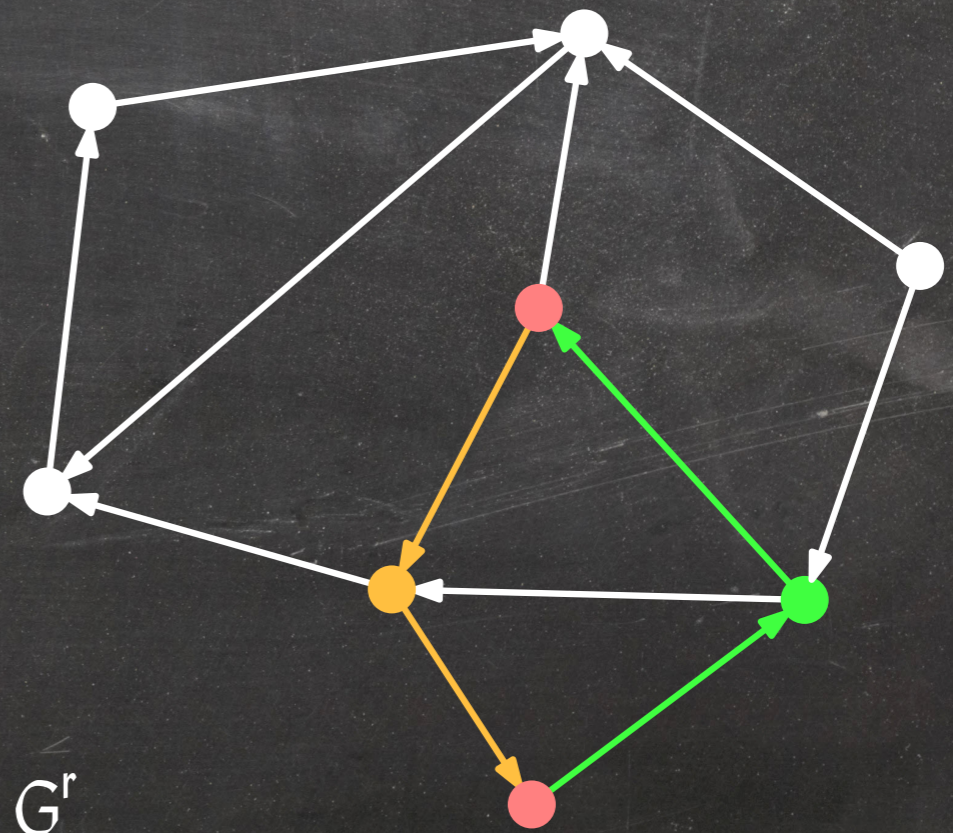
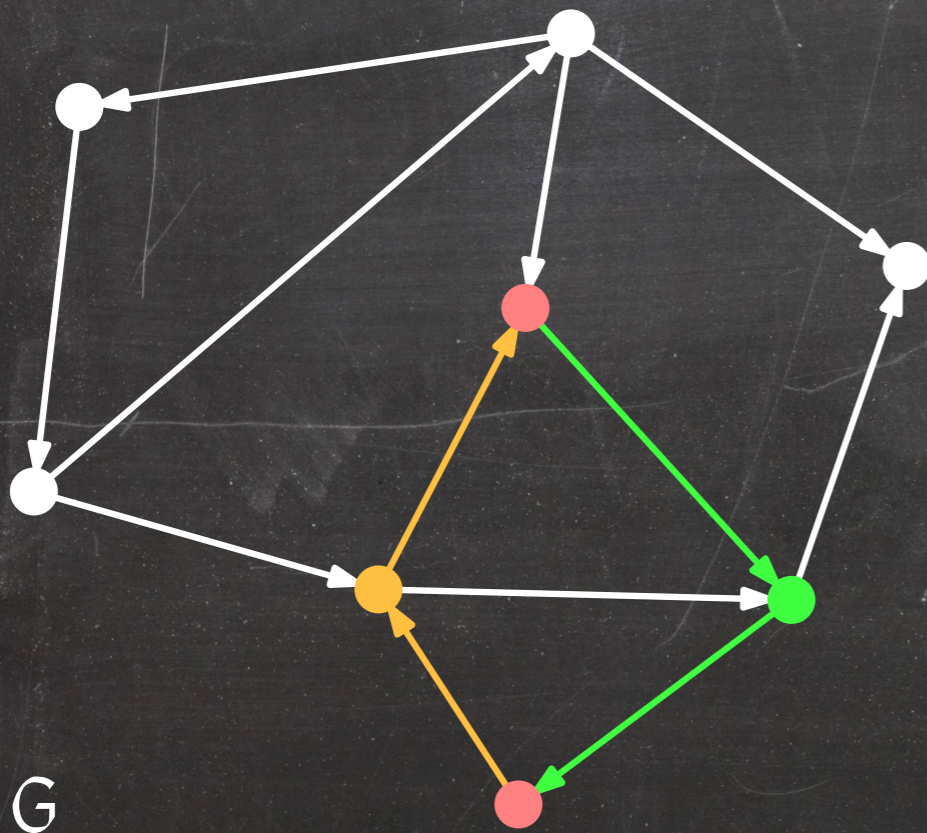


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Proof: We have $u \rightsquigarrow_G v$ if and only if $v \rightsquigarrow_{G^r} u$.



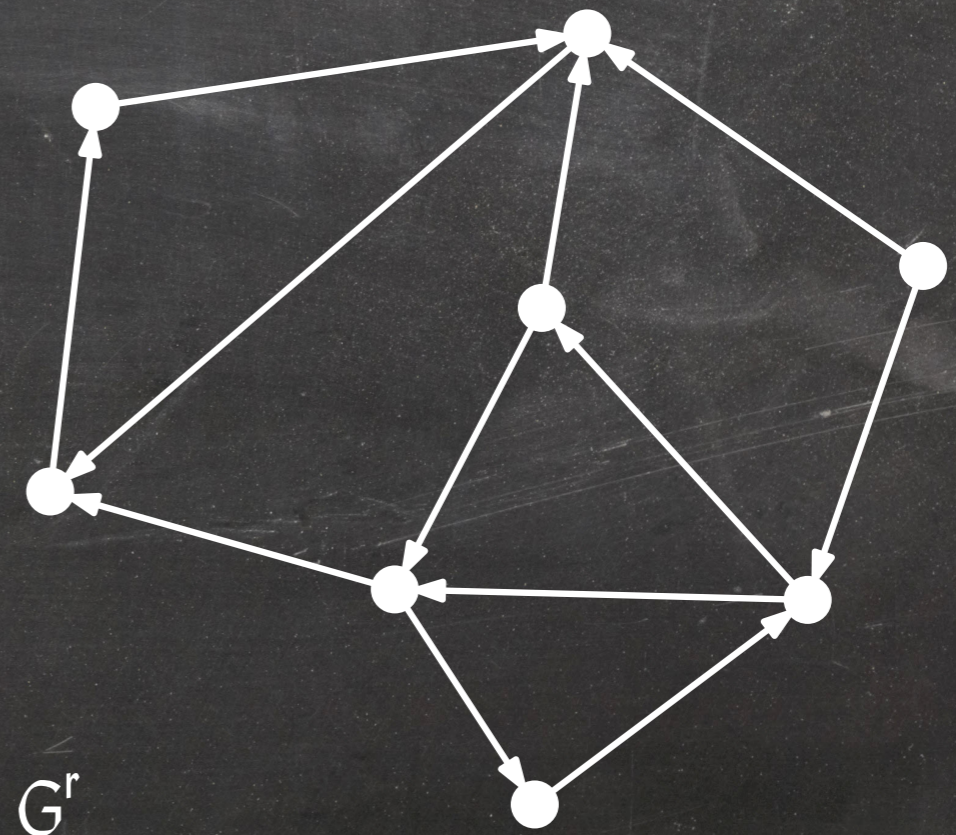
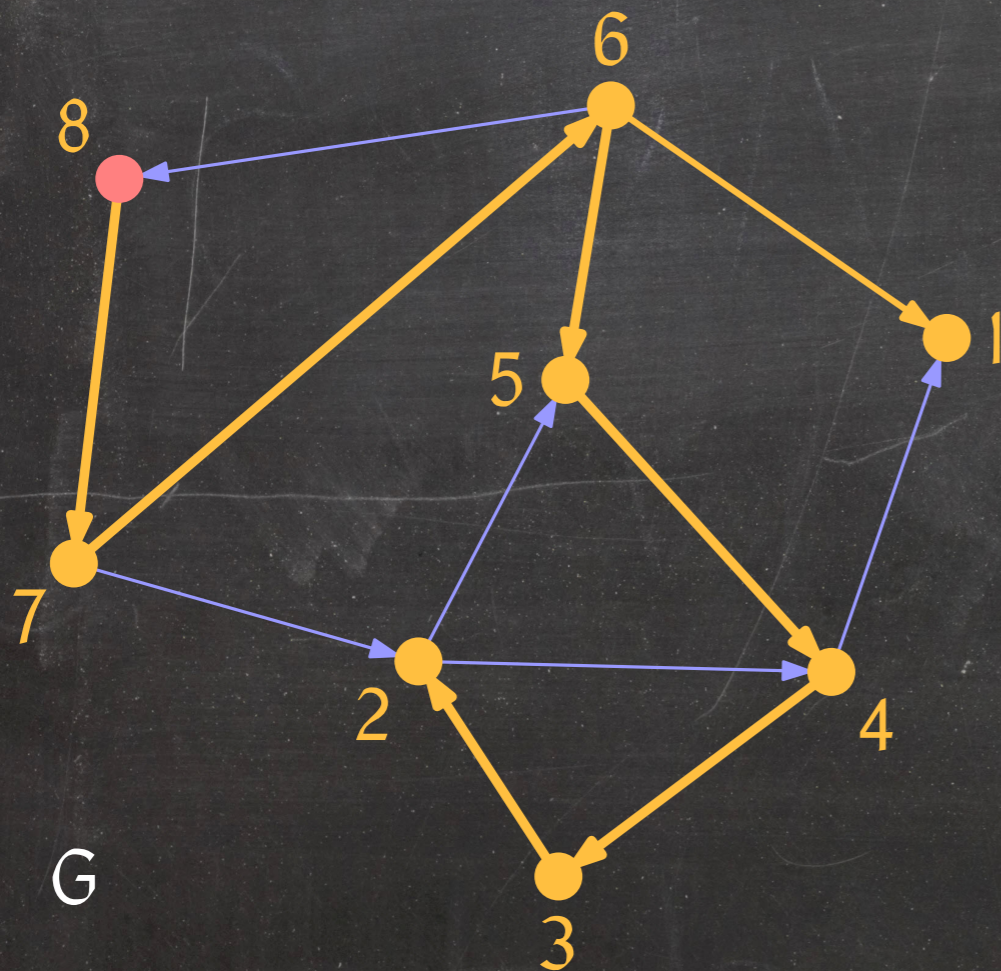
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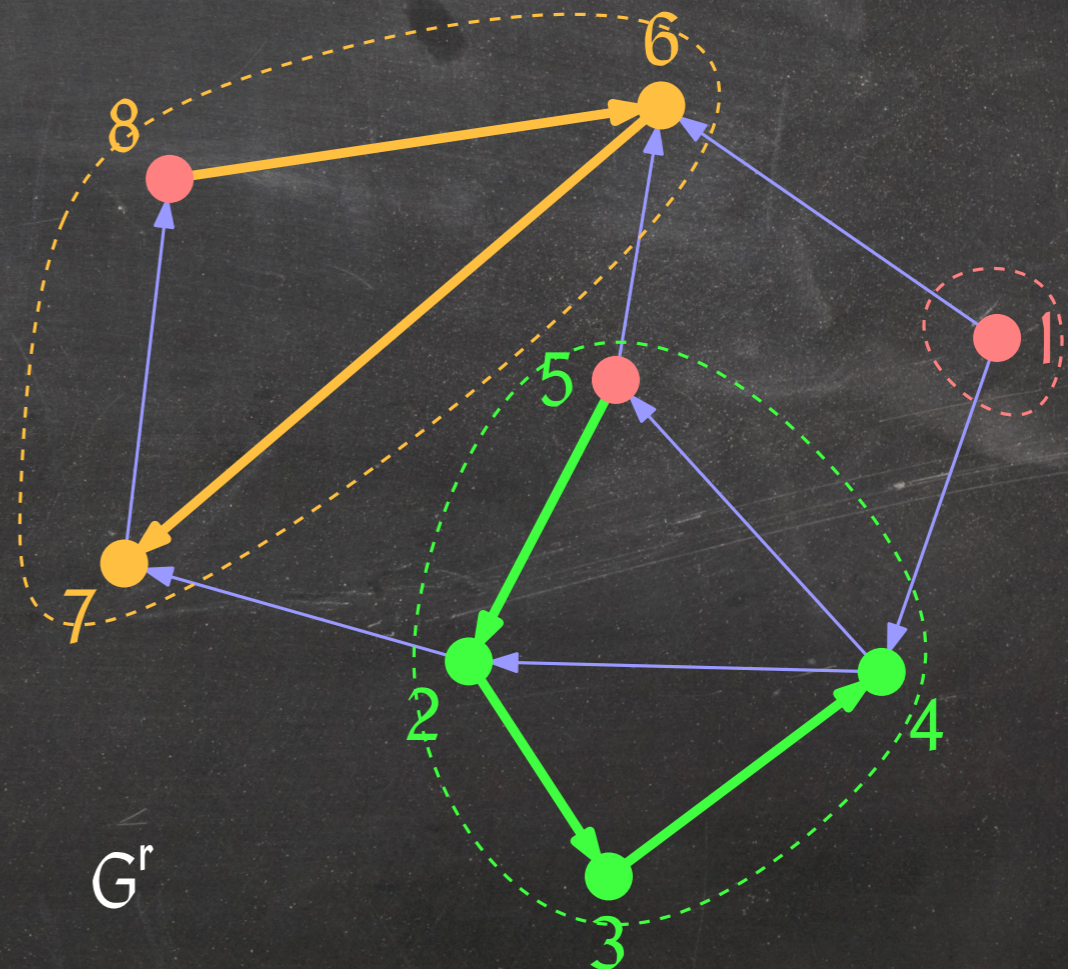
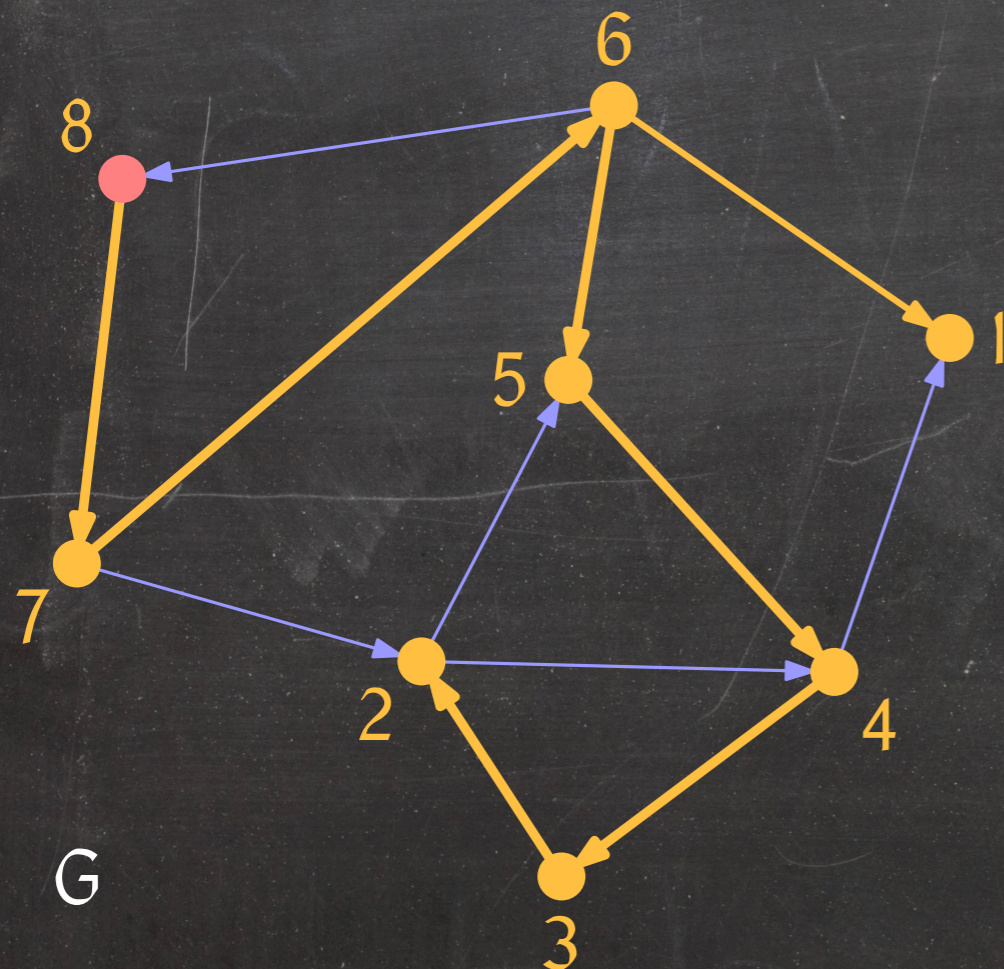
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Let $F'_>$ be the DFS forest of G^r obtained by calling `TraverseFromVertex` on unexplored vertices in the opposite order to $<$.



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Lemma: $u \sim_{\text{SCC}(G)} v \Leftrightarrow u \sim_{\text{CC}(F^r_{>})} v$.

\Rightarrow Kosaraju's strong connectivity algorithm:

- Compute a DFS forest F of G .
- Compute G^r and arrange the vertices in reverse postorder w.r.t. F .
- Compute a DFS forest F^r of G^r .
- Extract a component labelling of the vertices or the strongly connected components themselves from F^r (almost) as we did for computing connected components.

This takes $O(n + m)$ time.

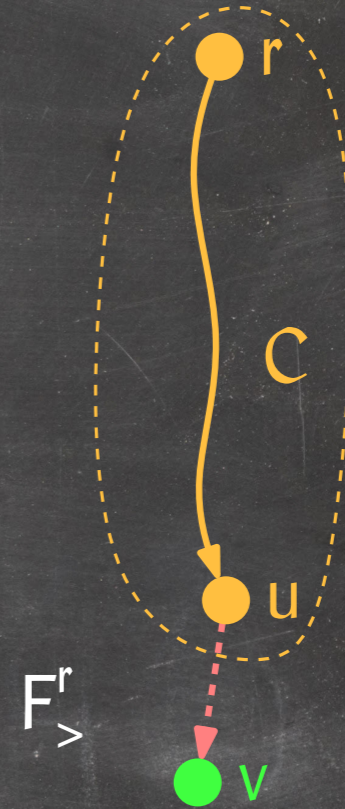
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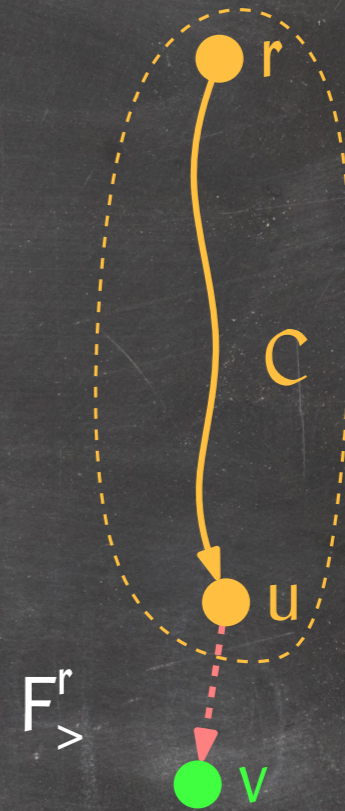
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Choose this edge so that each of its ancestor edges (x, y) satisfies $x \sim_{\text{SCC}(G)} y$.



Strongly Connected Components

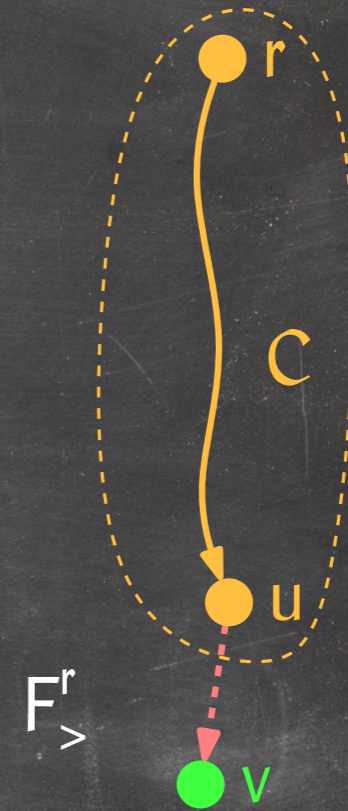
Lemma: $u \sim_{\text{SCC}(G)} v \Leftrightarrow u \sim_{\text{CC}(F_{>}^r)} v$.

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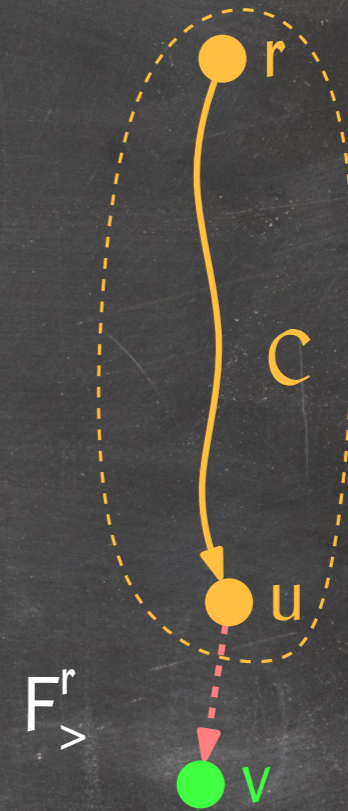
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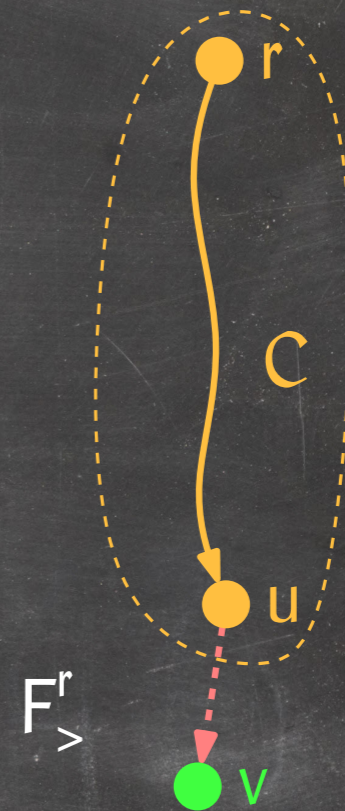
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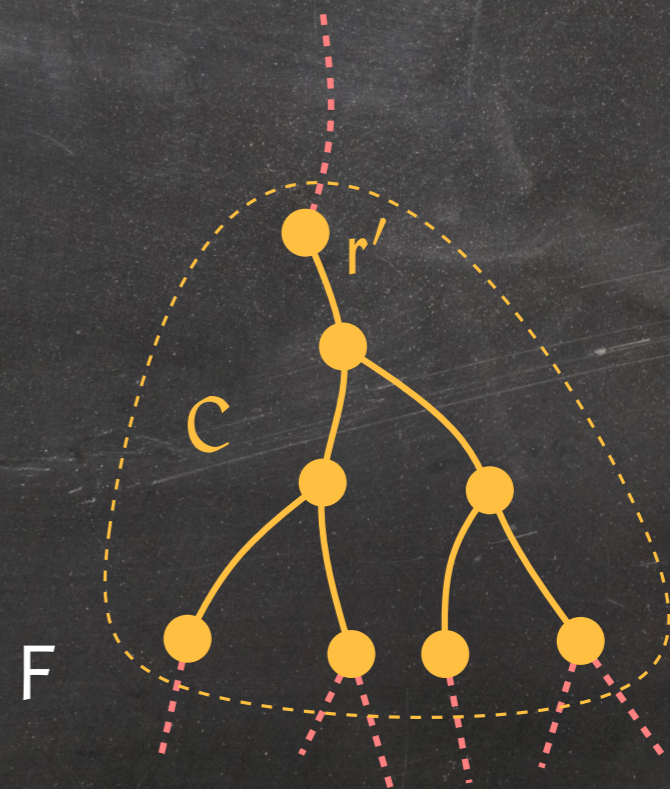
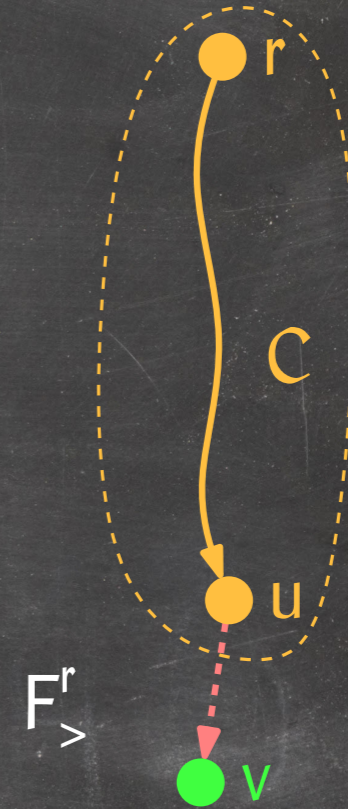
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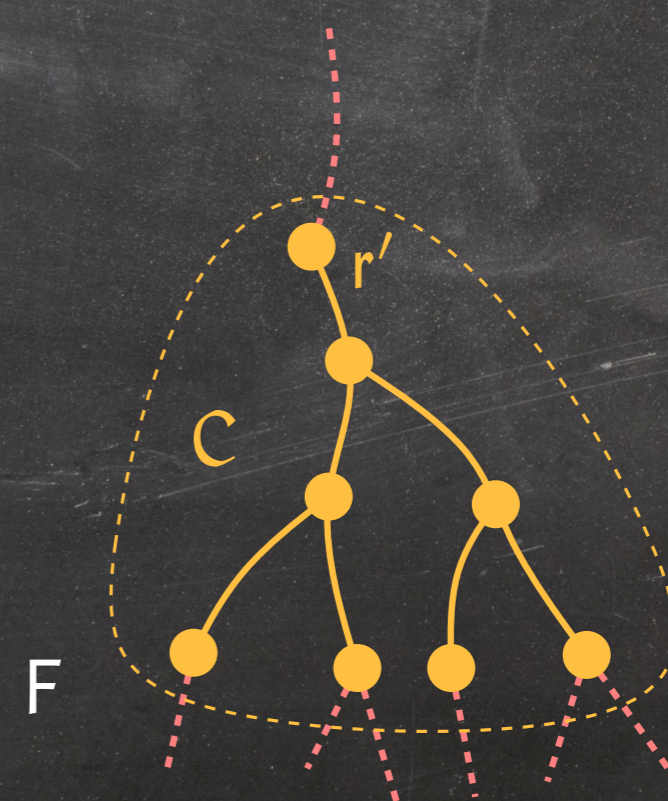
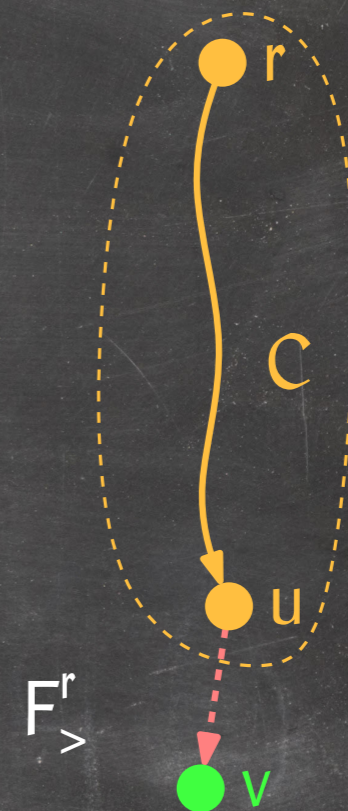
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$\Rightarrow r = r'$ and $u \leq r$.



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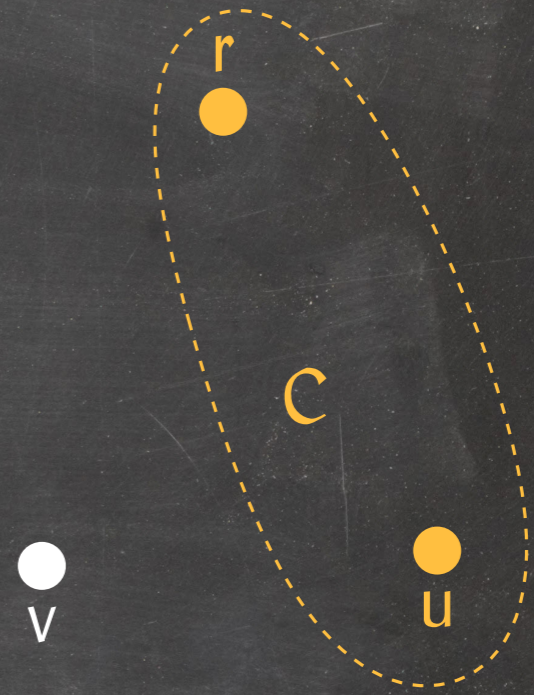
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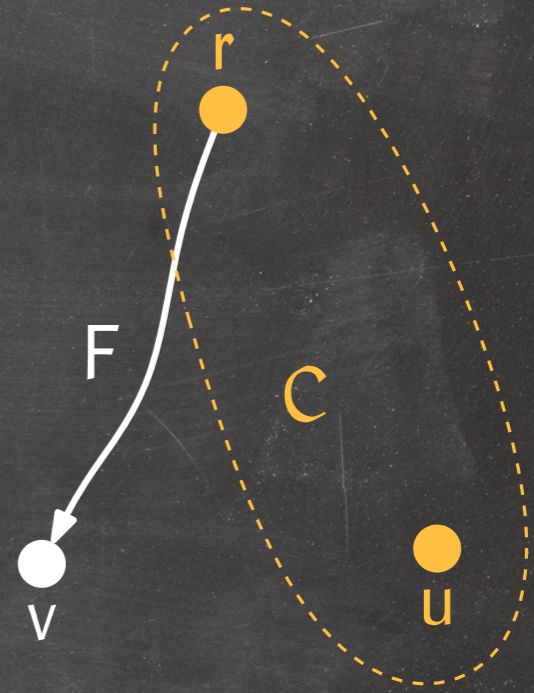
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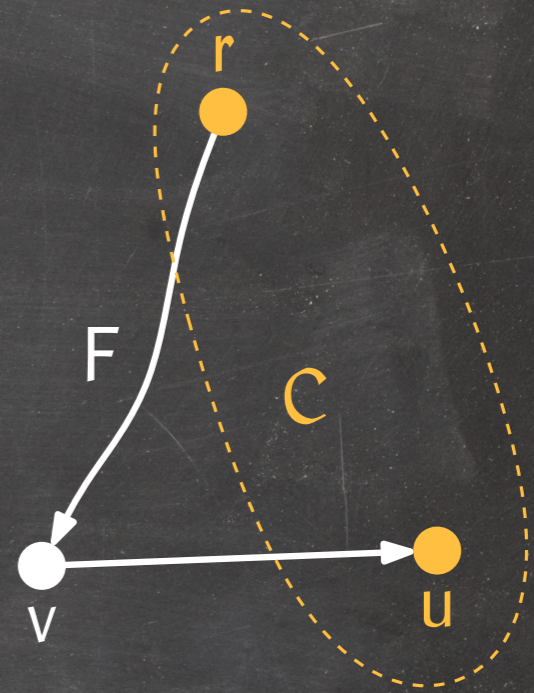
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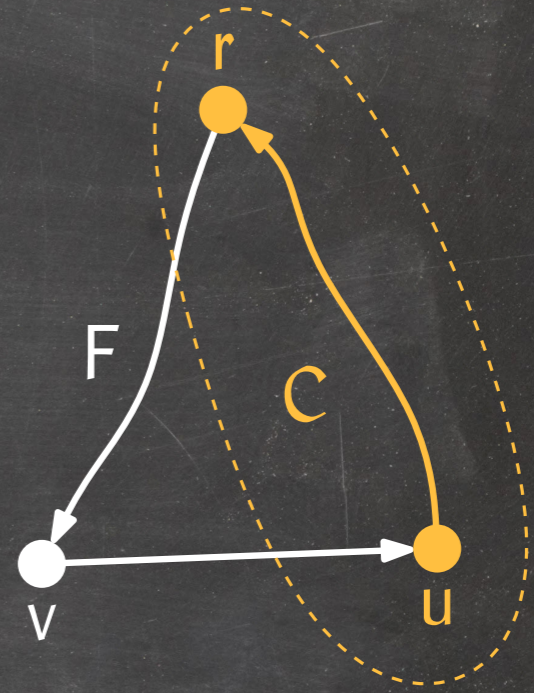
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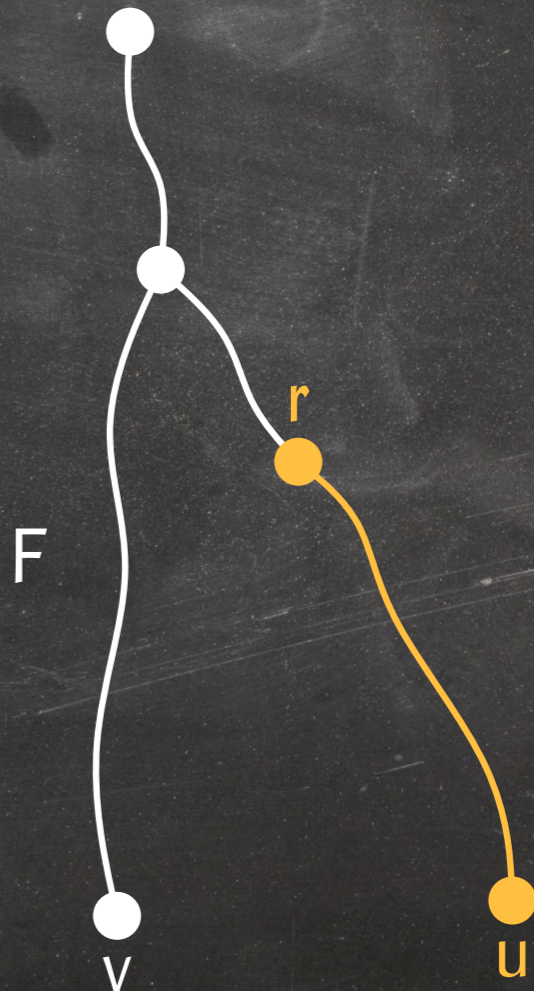
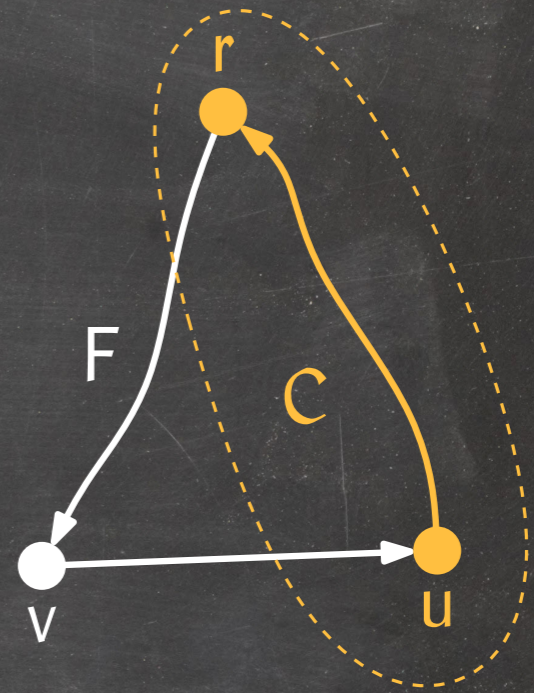


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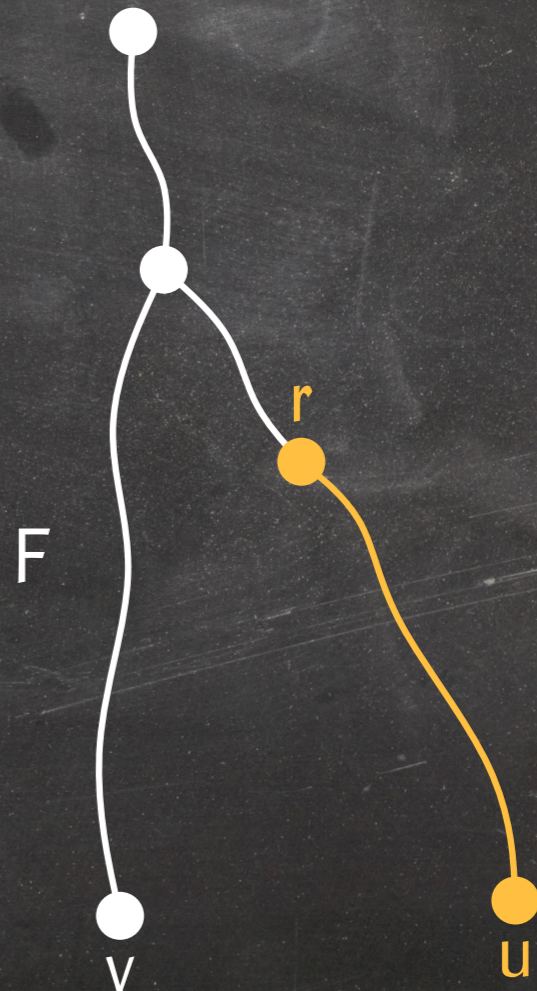
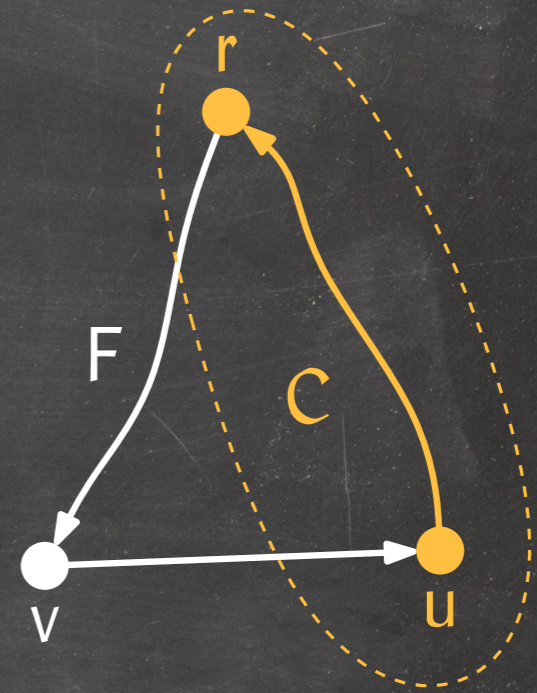
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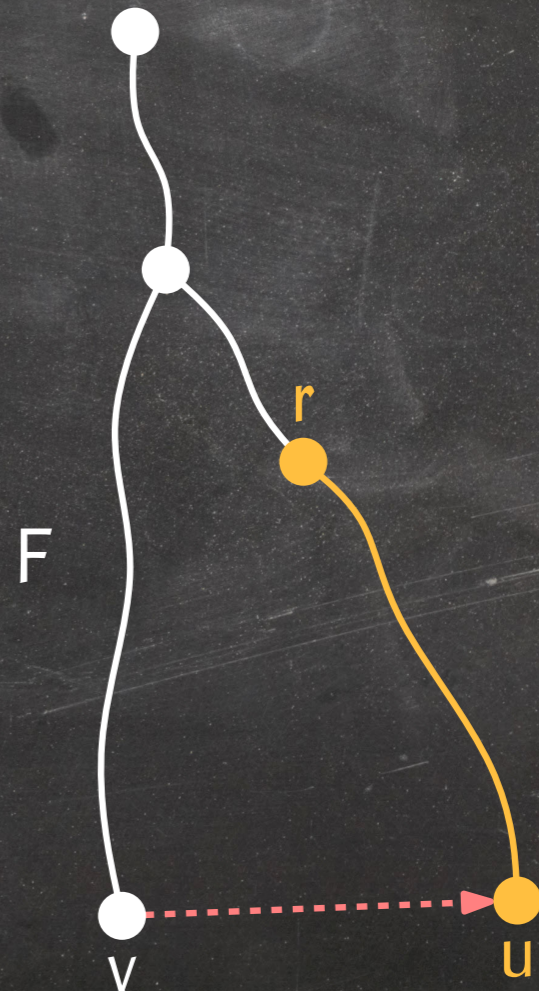
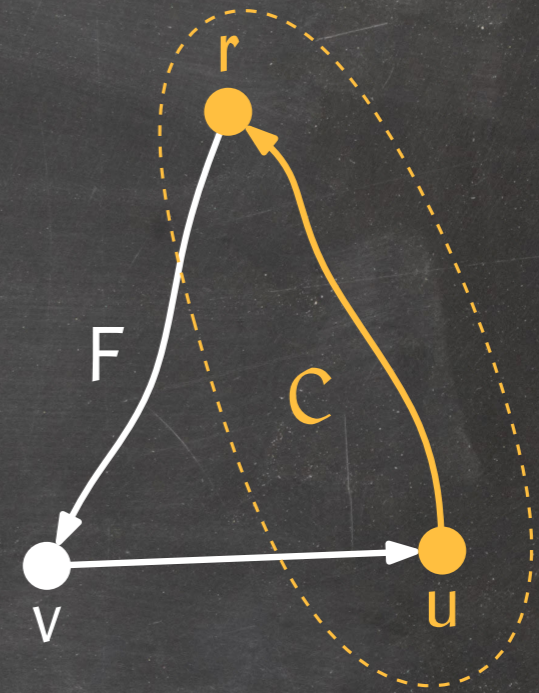
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$\Rightarrow (v, u)$ is a forward cross edge w.r.t. F , a contradiction.



Summary

Graphs are fundamental in Computer Science:

Many problems are quite natural to express as graph problems:

- Matching problems
- Scheduling problems
- ...

Data structures are graphs whose nodes store useful information.

Graph exploration lets us learn the structure of a graph:

- Connectivity problems
- Distances between vertices
- Planarity
- ...