

# Graph Algorithms

Textbook Reading  
Chapter 22

# Overview

## Design principle:

- Learn the structure of the graph by systematic exploration.

## Proof technique:

- Proof by contradiction

## Problems:

- Connected components
- Bipartiteness testing
- Topological sorting
- Strongly connected components

# Graphs, Vertices, and Edges

A **graph** is an ordered pair  $G = (V, E)$ .

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- The elements of  $E$  are pairs of vertices  $(v, w)$ .

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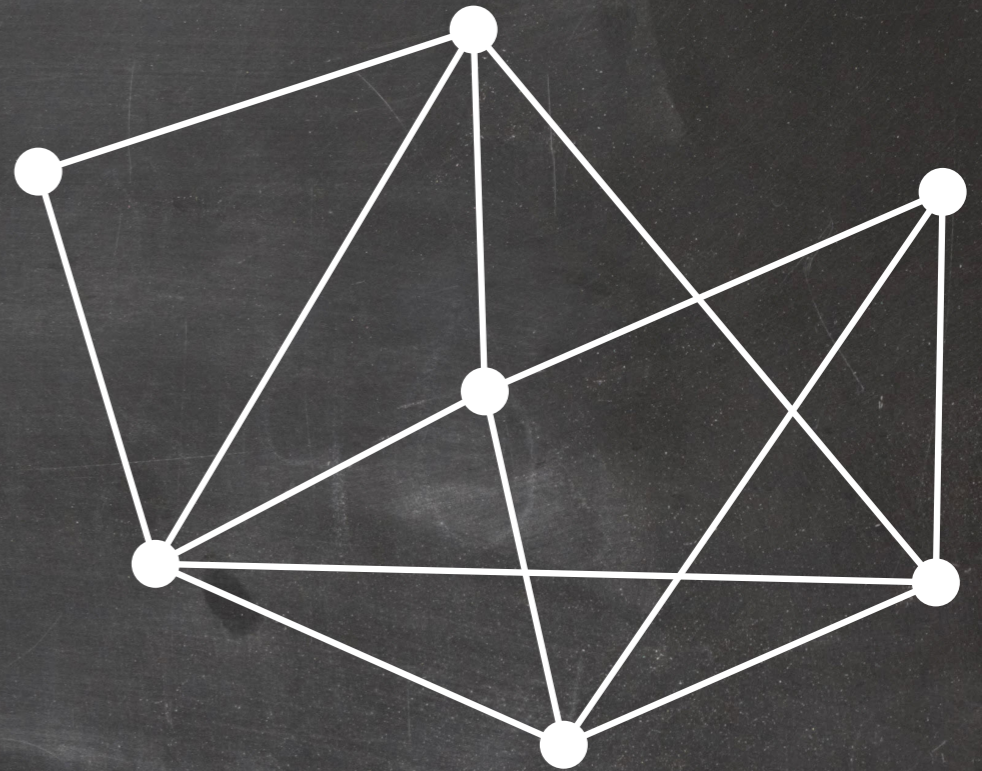
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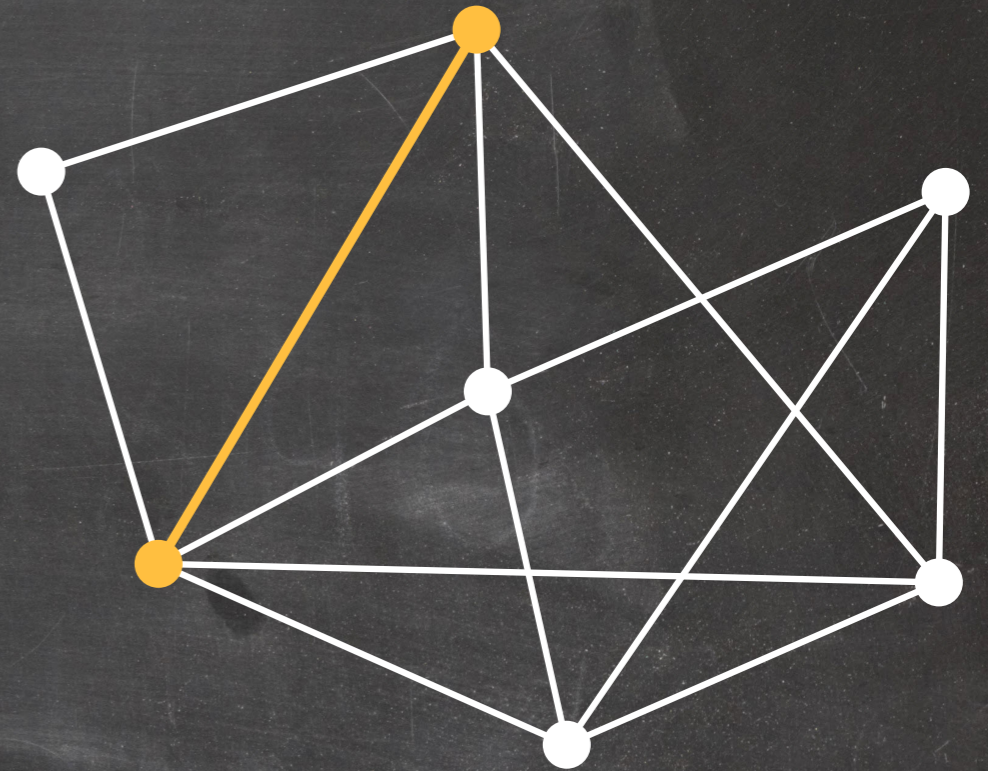
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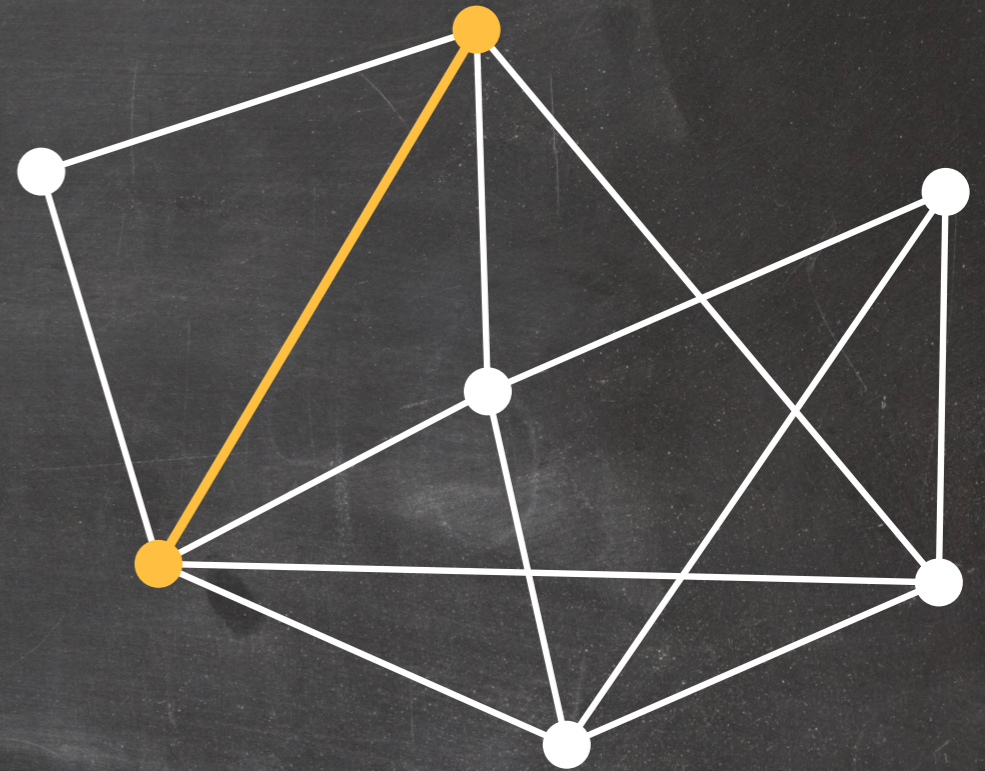


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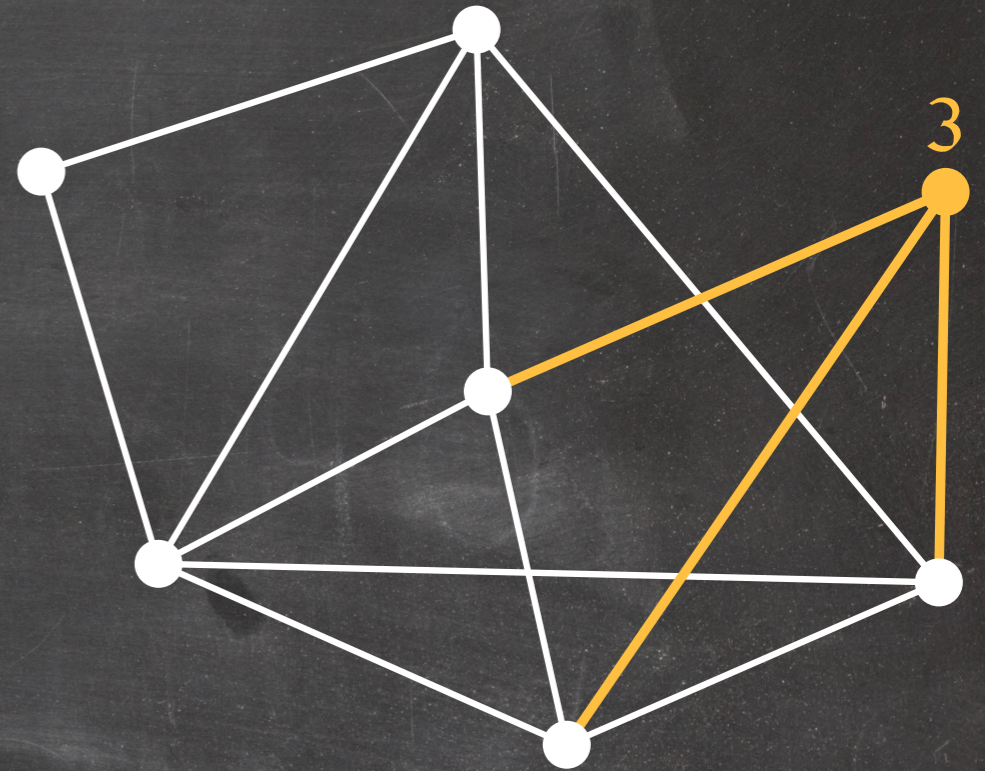
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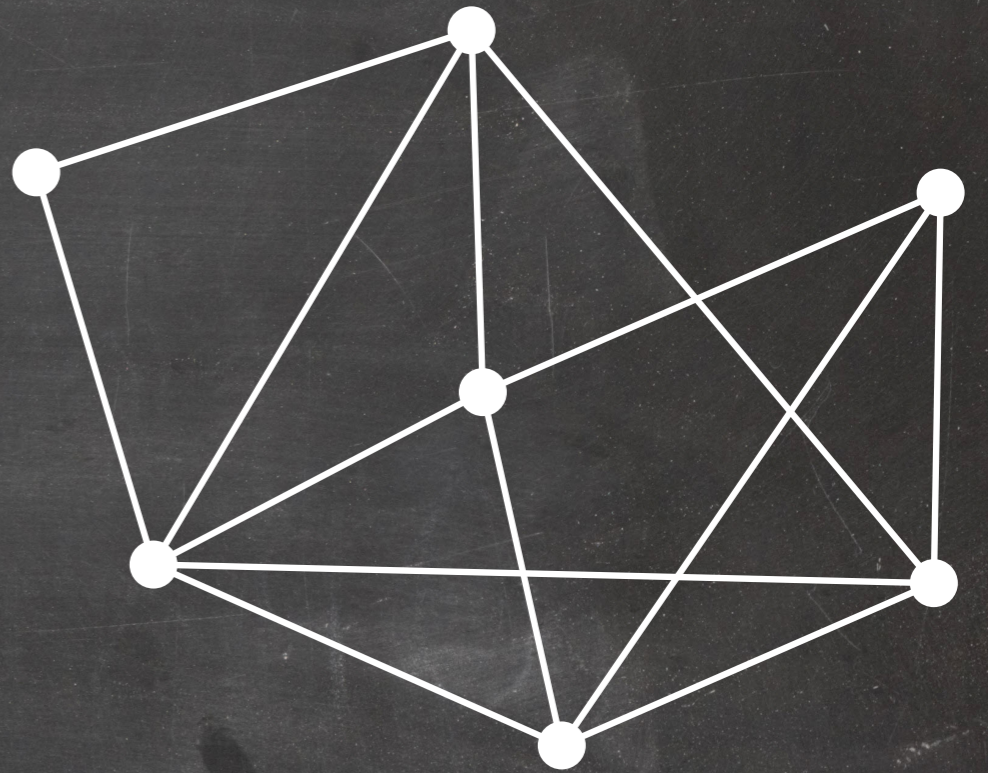
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The **degree** of a vertex is the number of its incident edges.



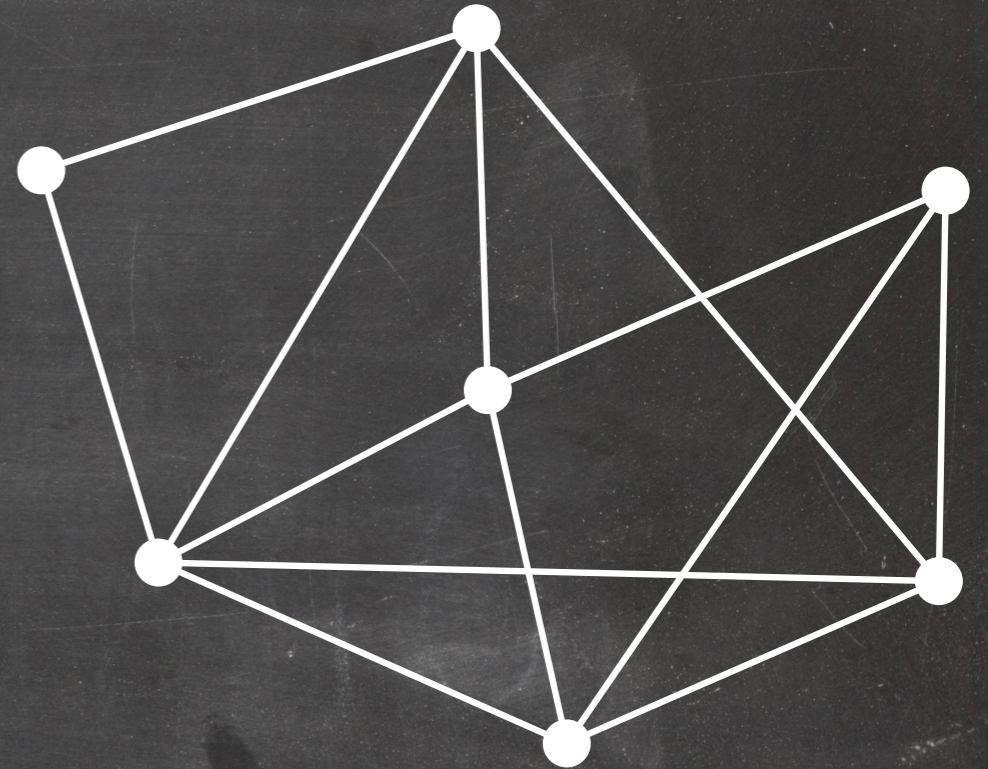
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A graph is **undirected** if its edges are **unordered** pairs, that is,  $(v, w) = (w, v)$ .

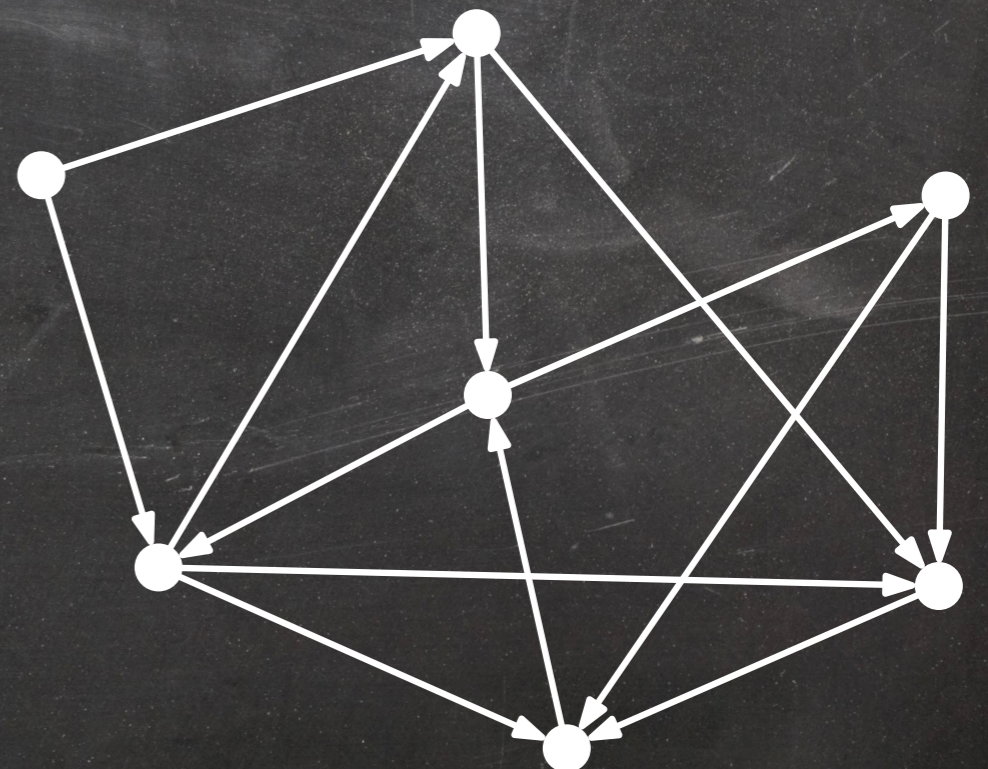


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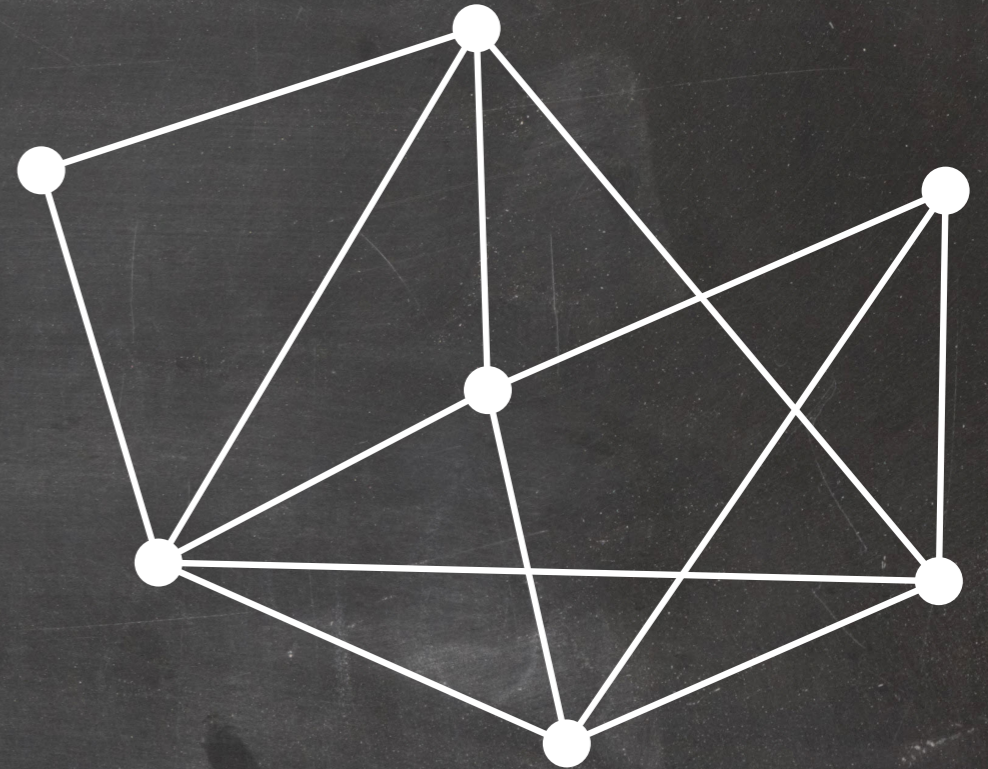


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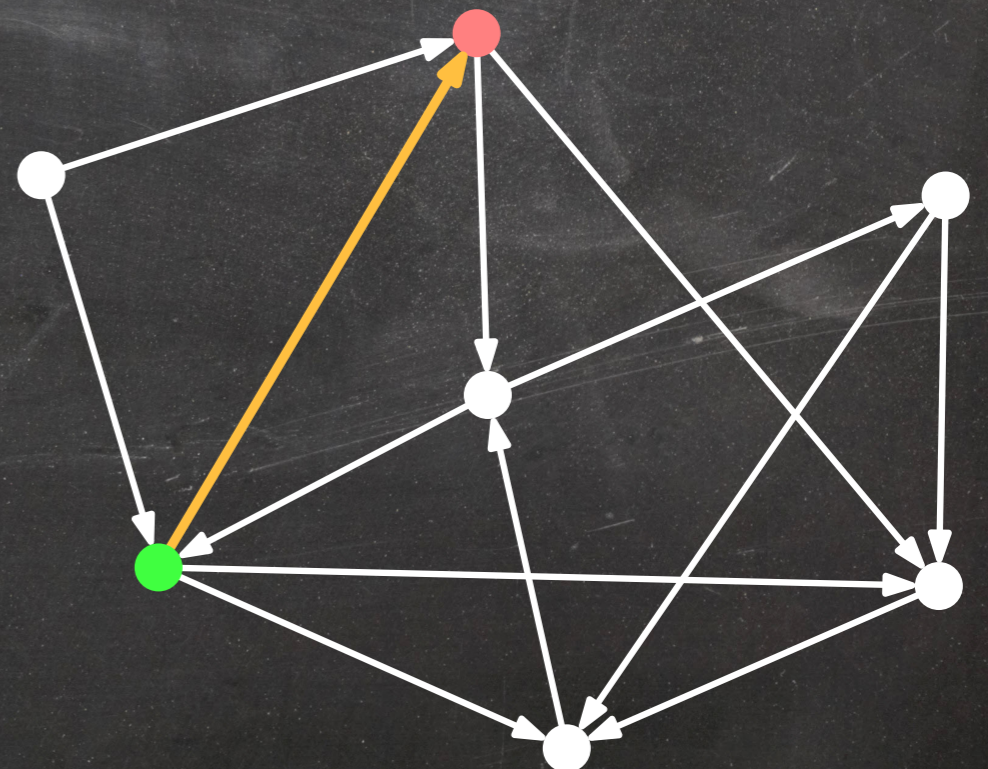
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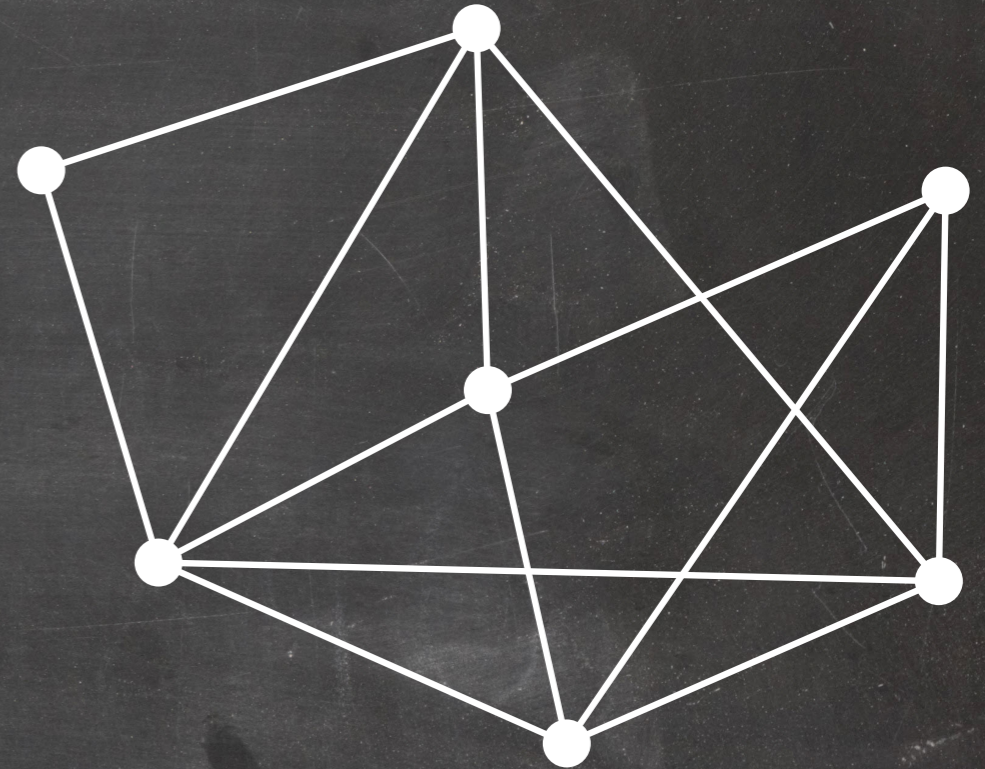
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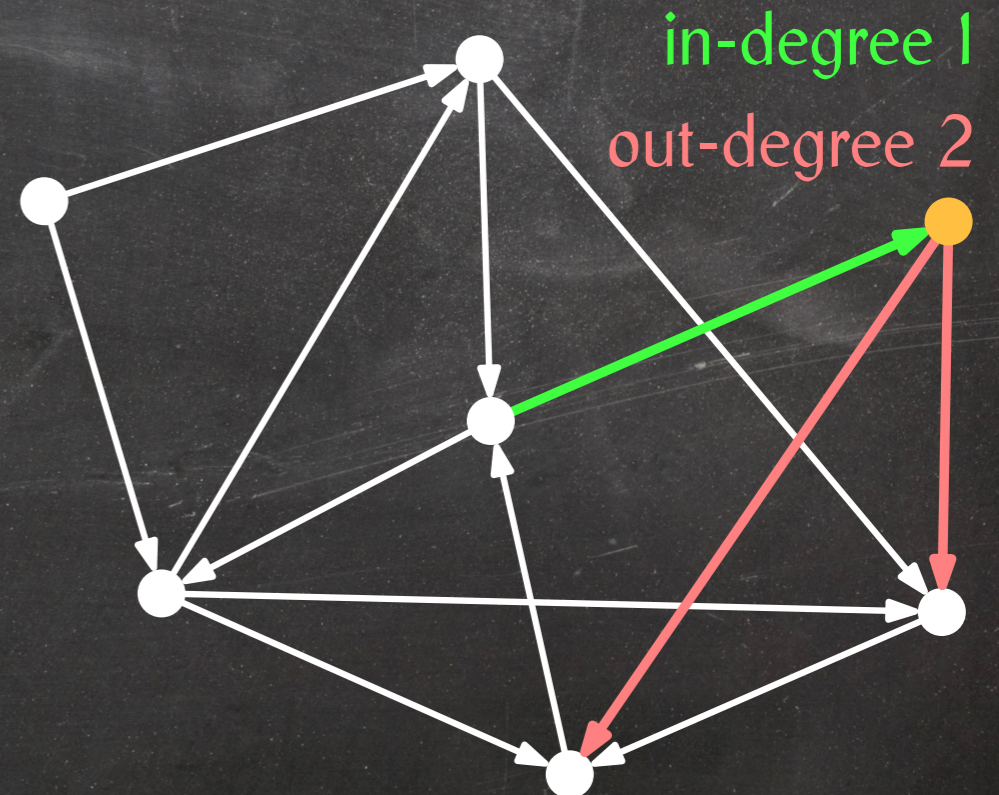
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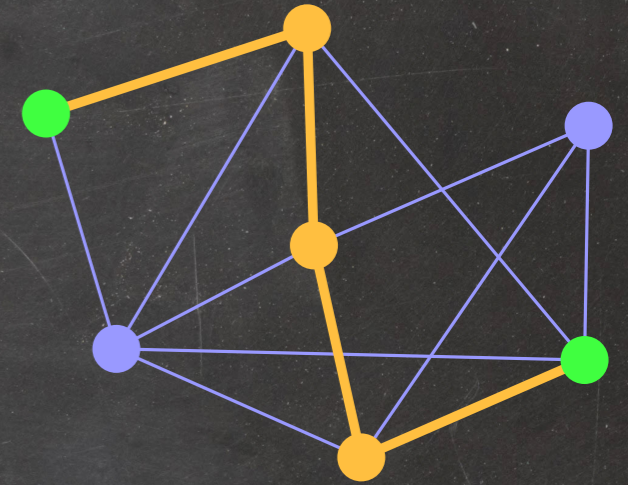
The **in-degree** and **out-degree** of a vertex are the numbers of its in-edges and out-edges, respectively.



# Paths and Cycles

A **path** from a vertex  $s$  to a vertex  $t$  is a sequence of vertices  $\langle x_0, x_1, \dots, x_k \rangle$  such that

- $x_0 = s$ ,
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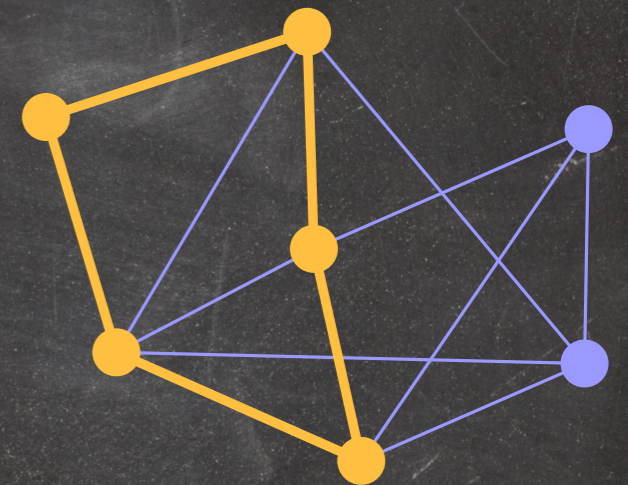
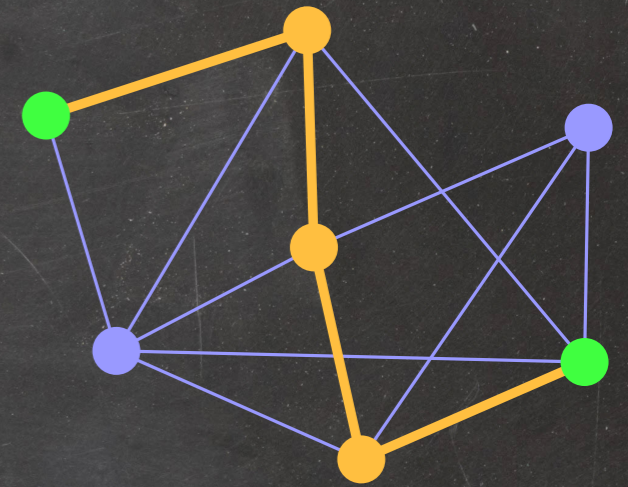


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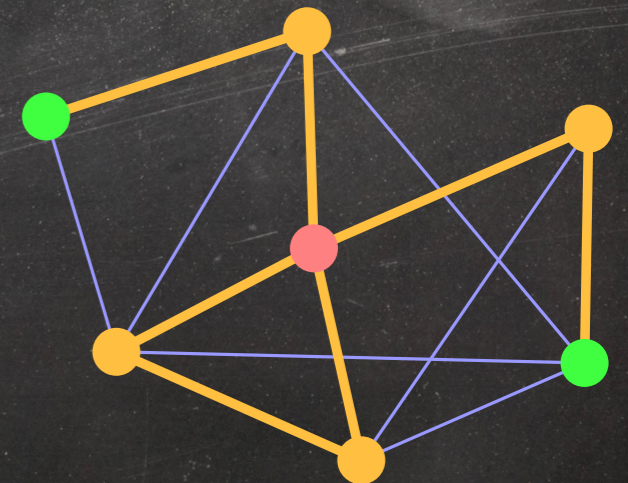
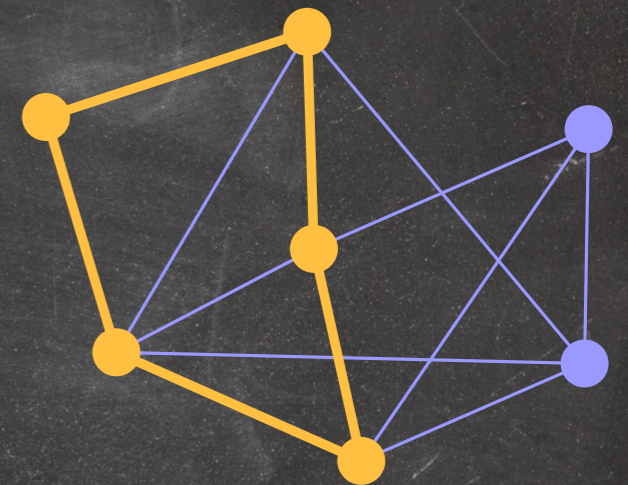
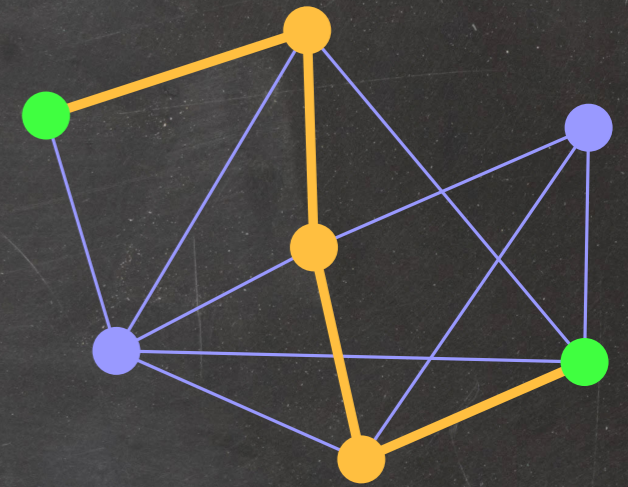
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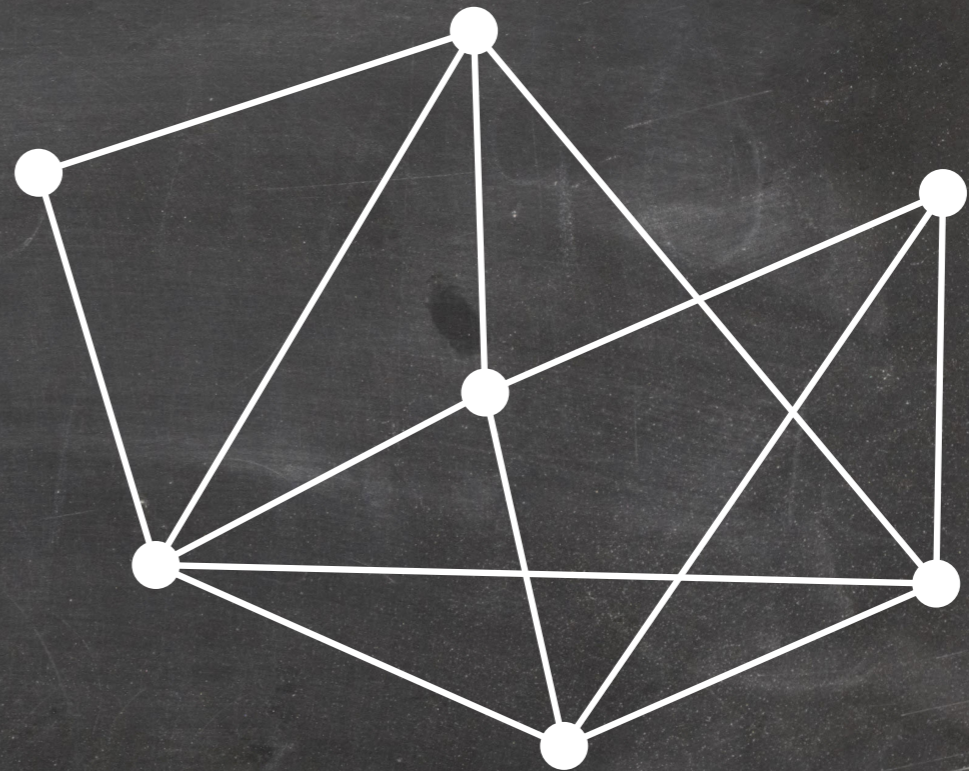
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A path or cycle is **simple** if it contains every vertex of  $G$  at most once.



# Connected Graphs, Trees, and Forests

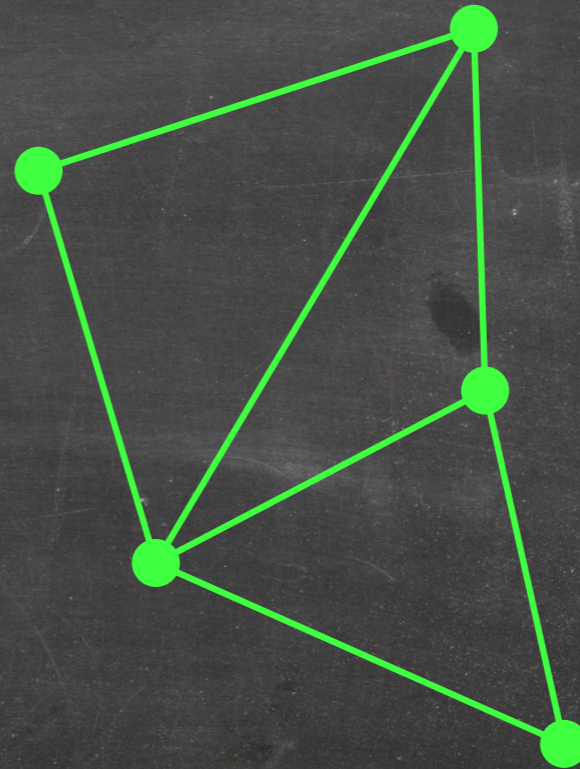
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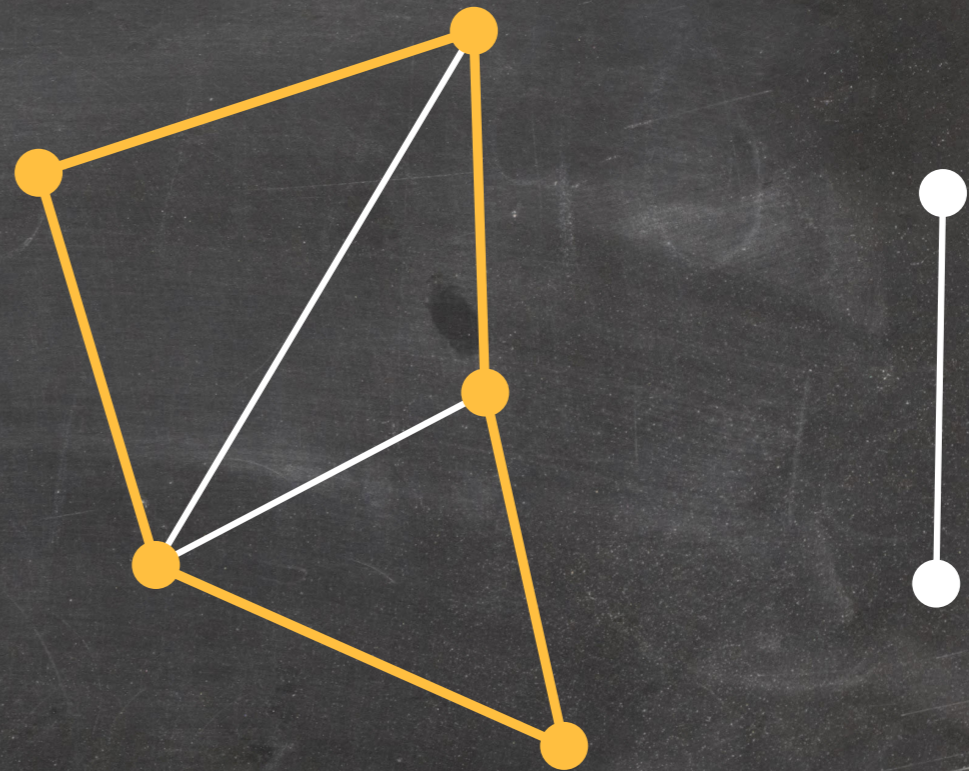
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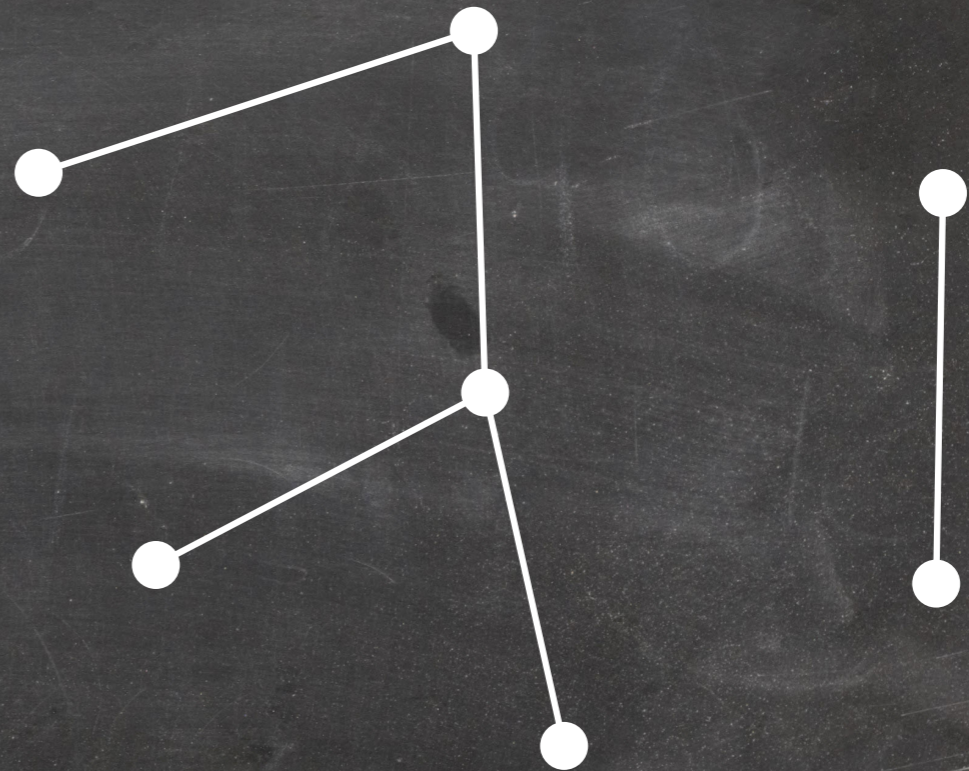
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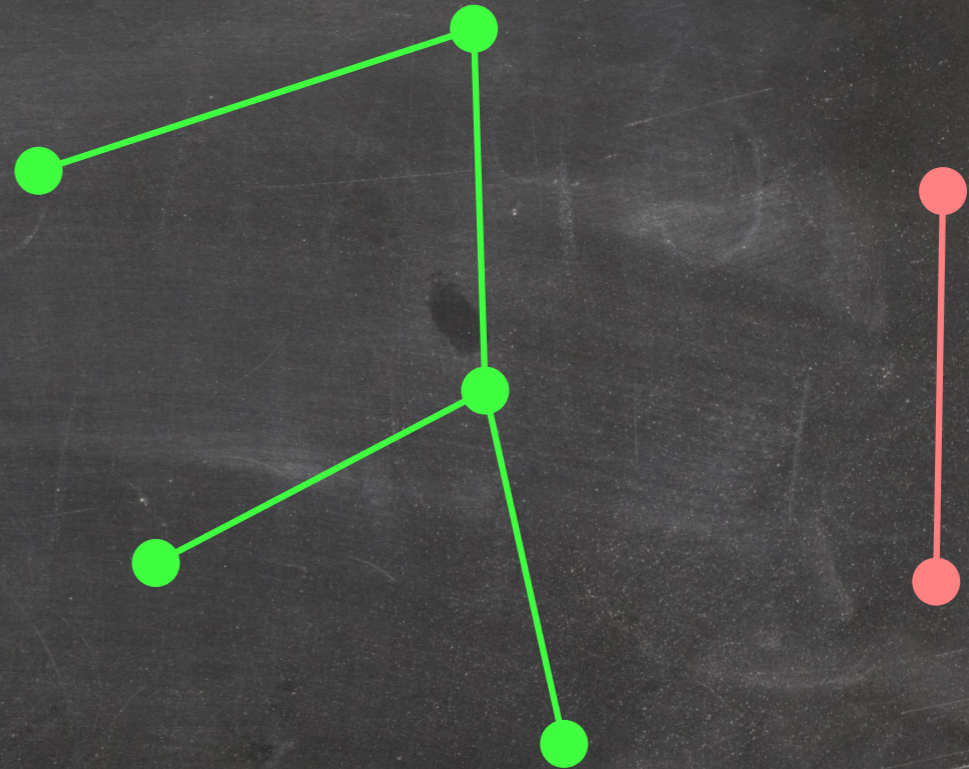


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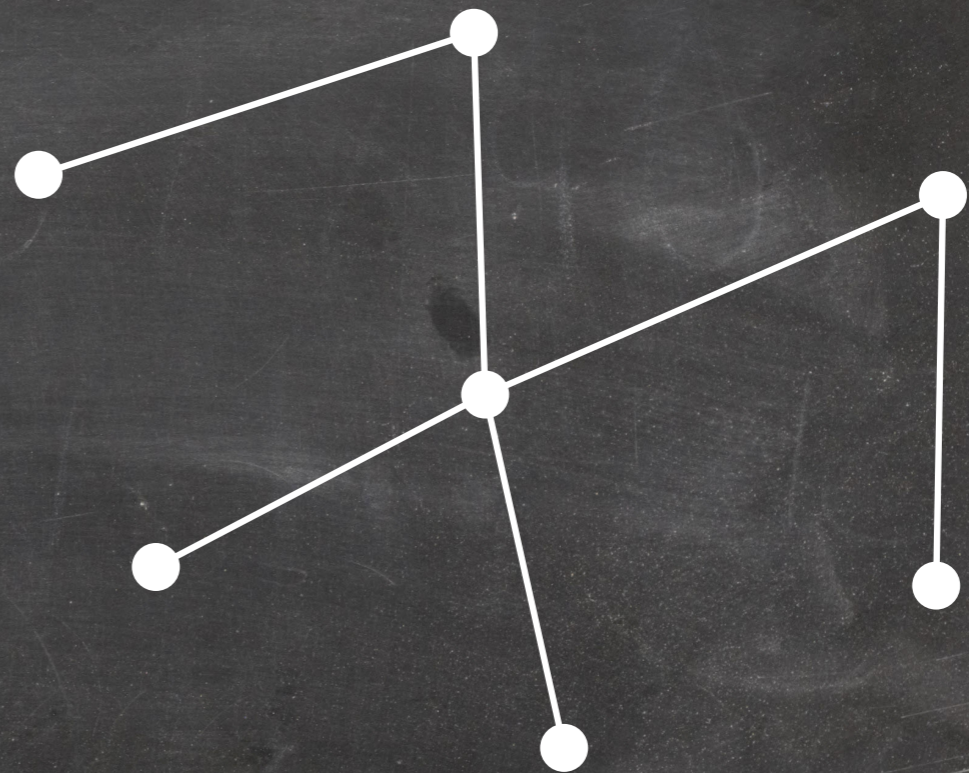


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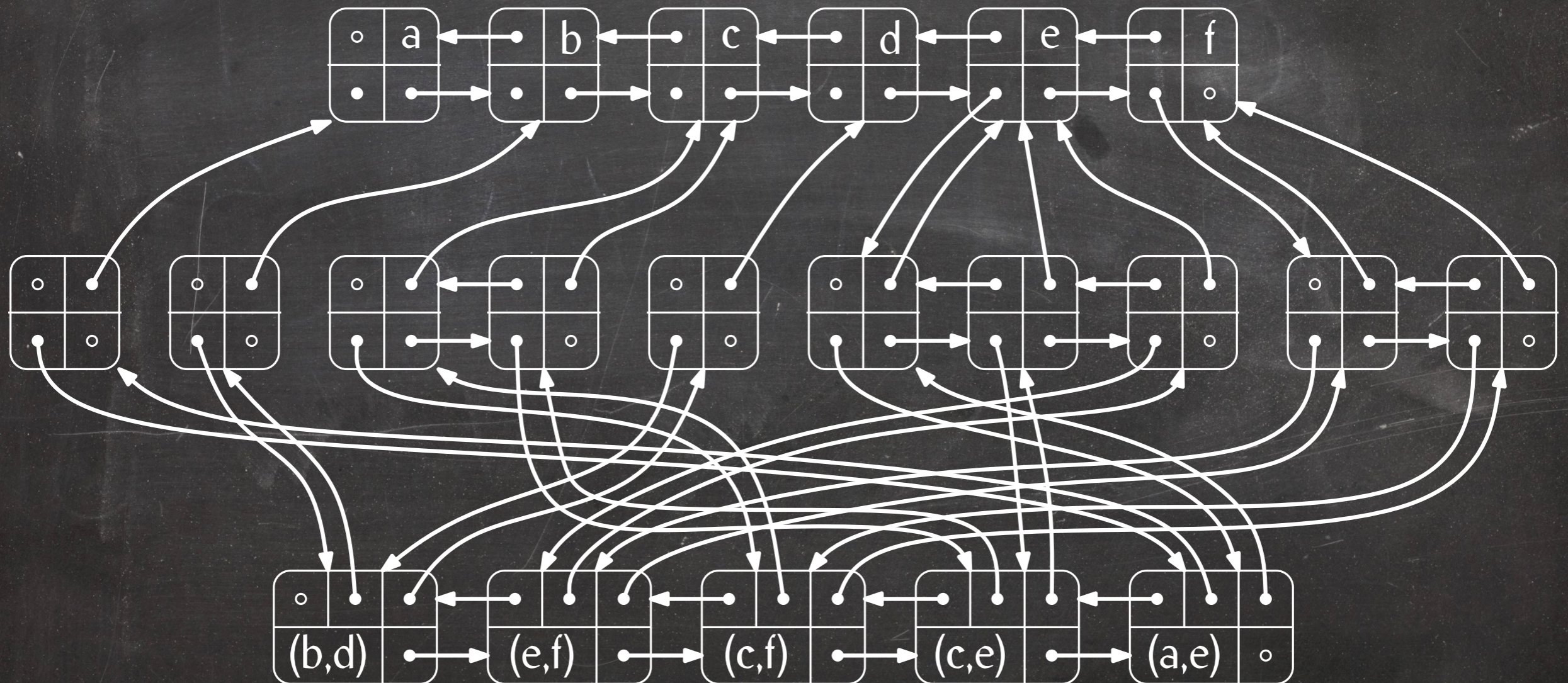
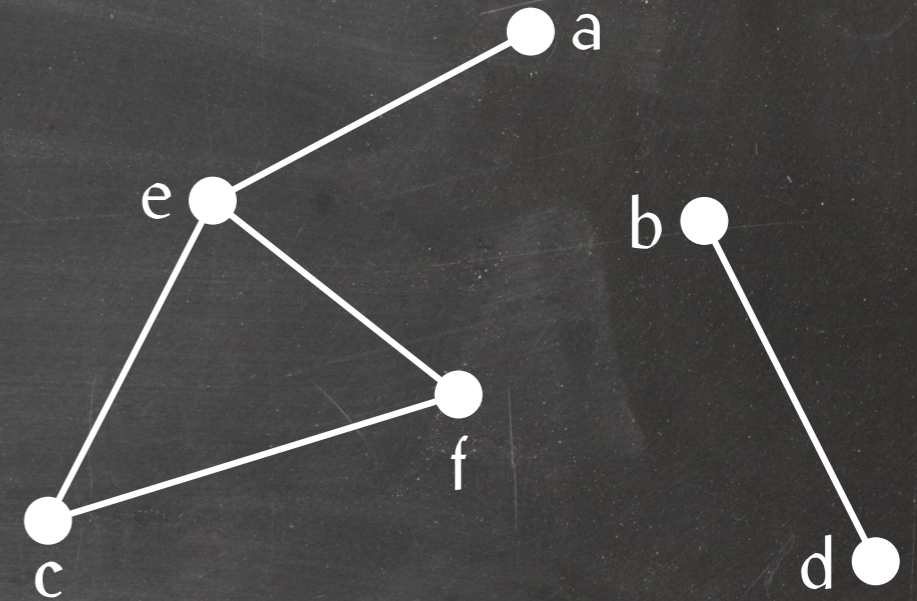
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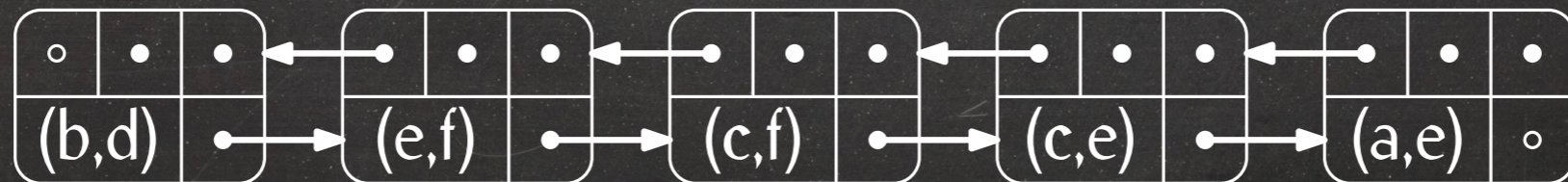
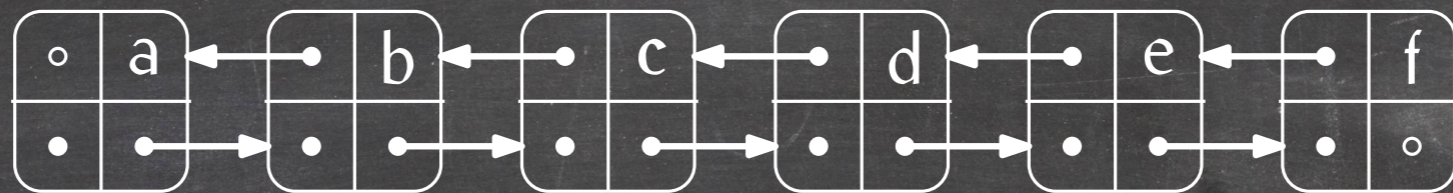
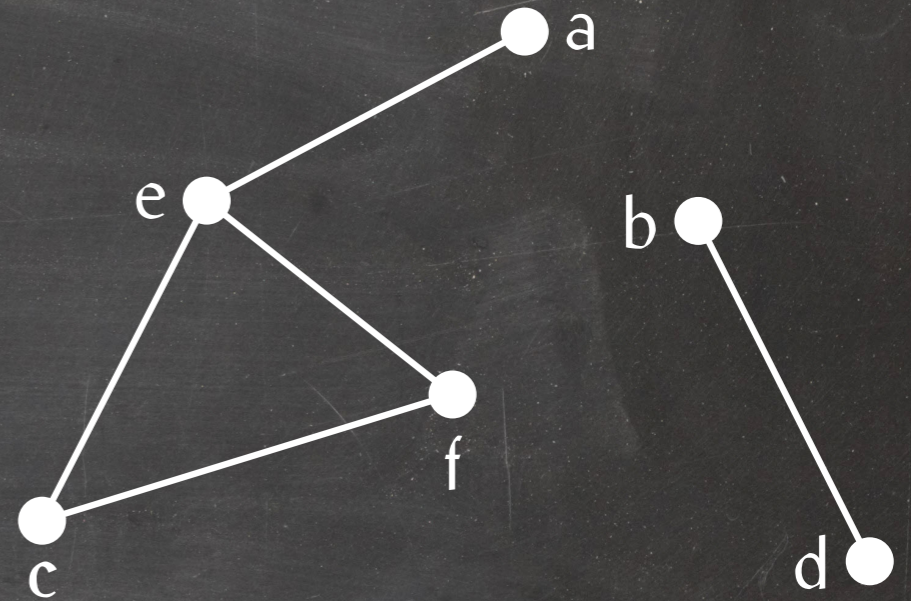
# Adjacency List Representation

- Doubly-linked list of vertices
- Doubly-linked list of edges
- One doubly-linked adjacency list per vertex
- Pointers from adjacency list entries to vertices
- Cross-pointers between edges and adjacency list entries



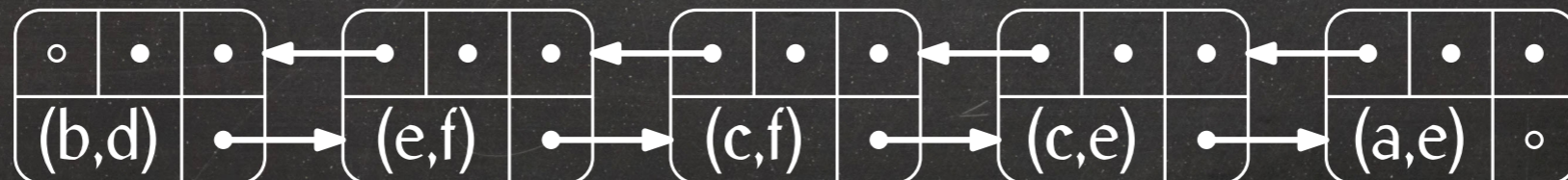
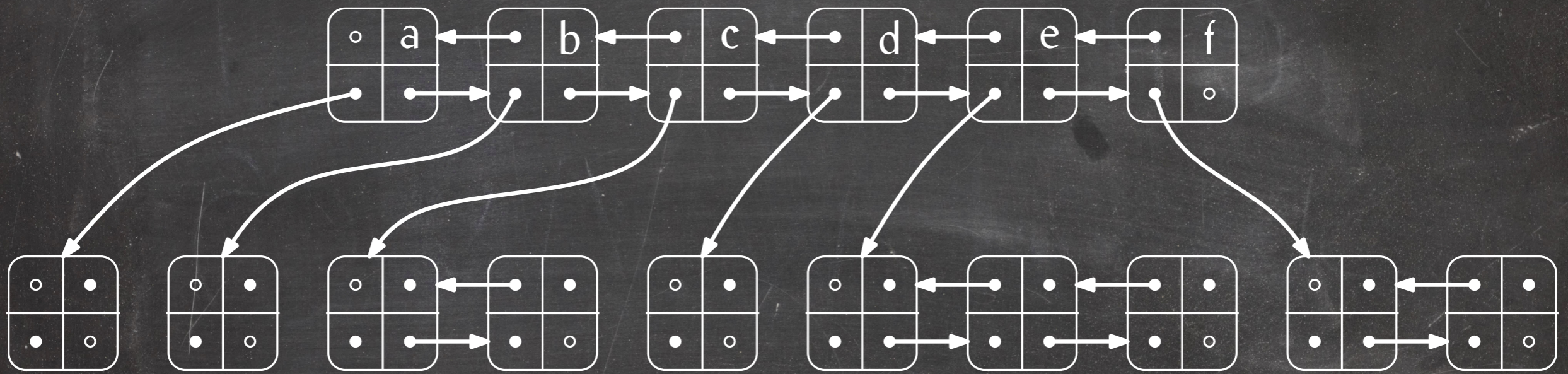
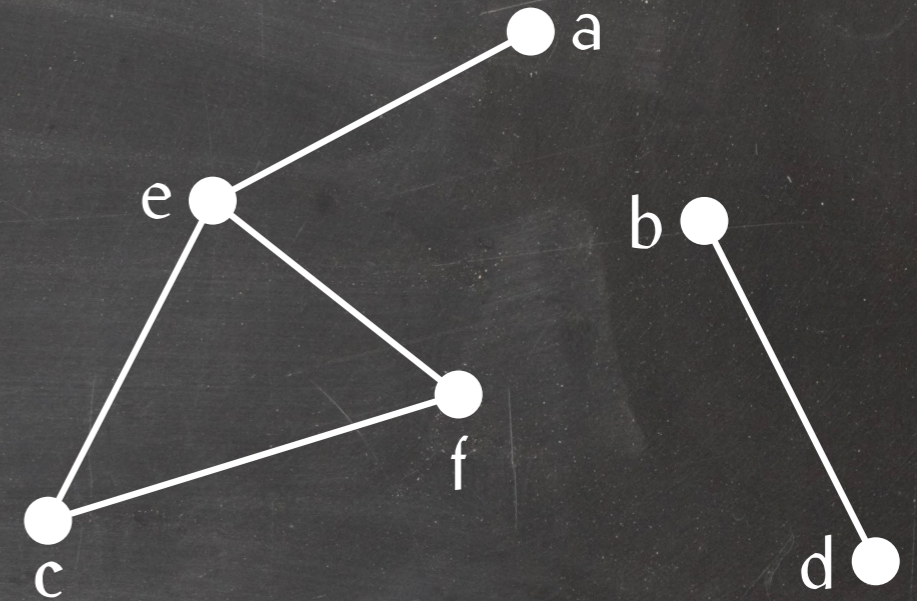
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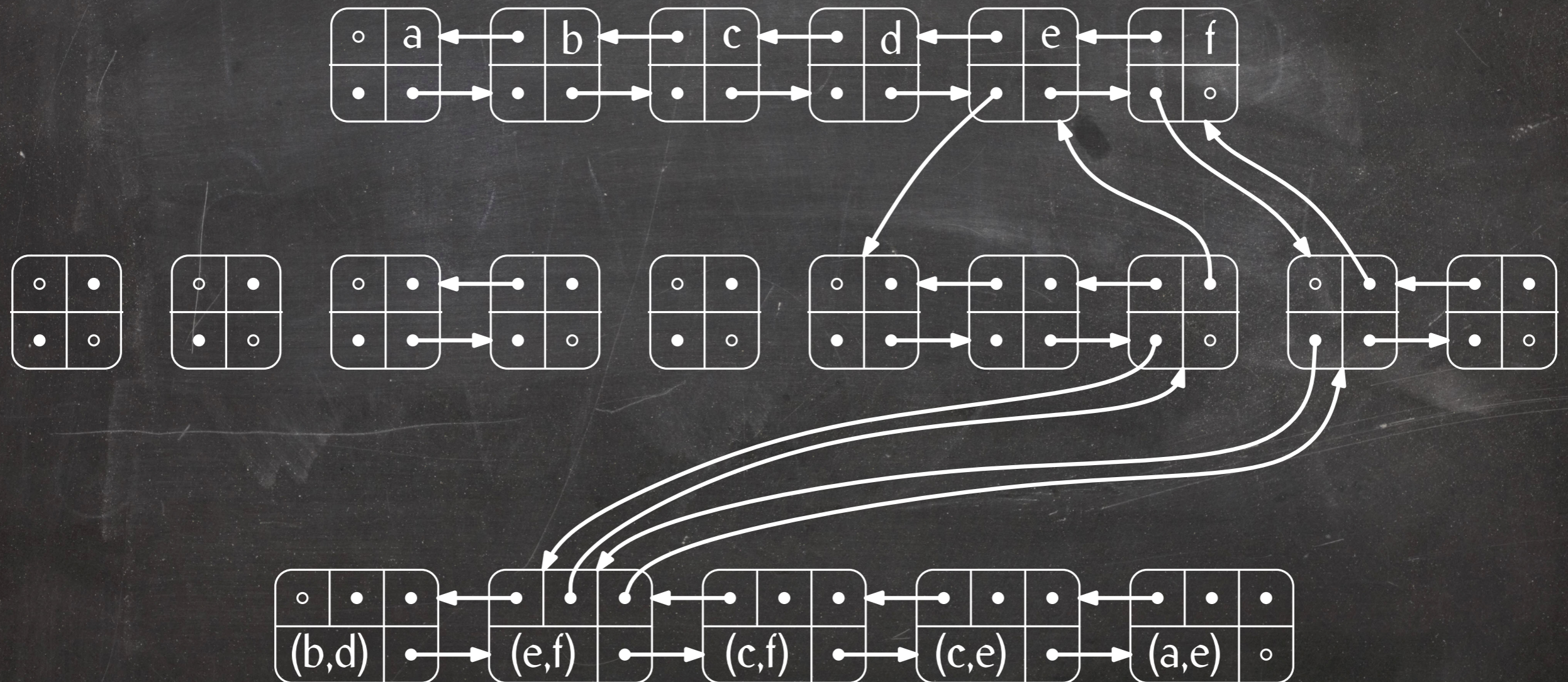
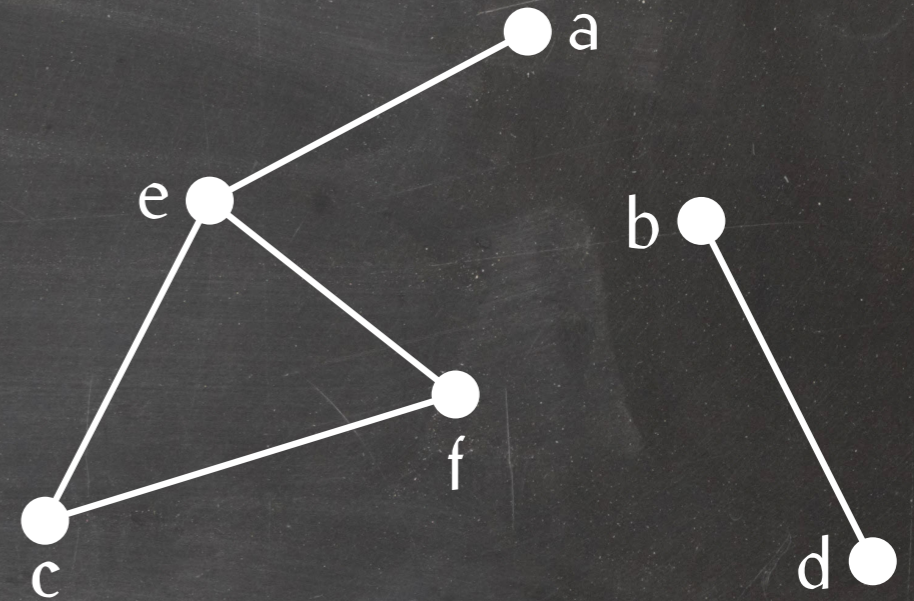
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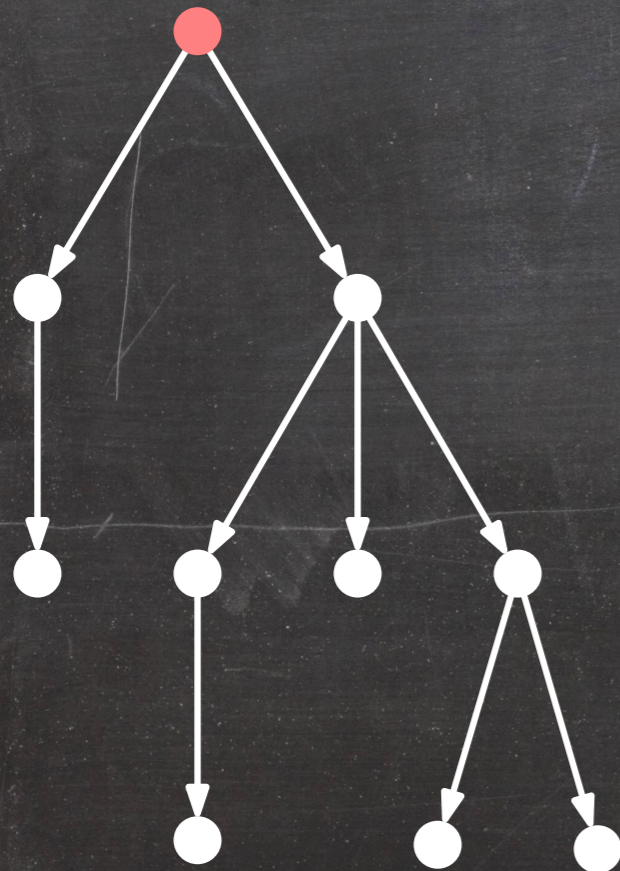


# Representing Rooted Trees

A **rooted tree**  $T$

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- is a directed graph,
- has one of its vertices,  $r$ , designated as a root.

There exists a path from  $r$  to every vertex in  $T$ .

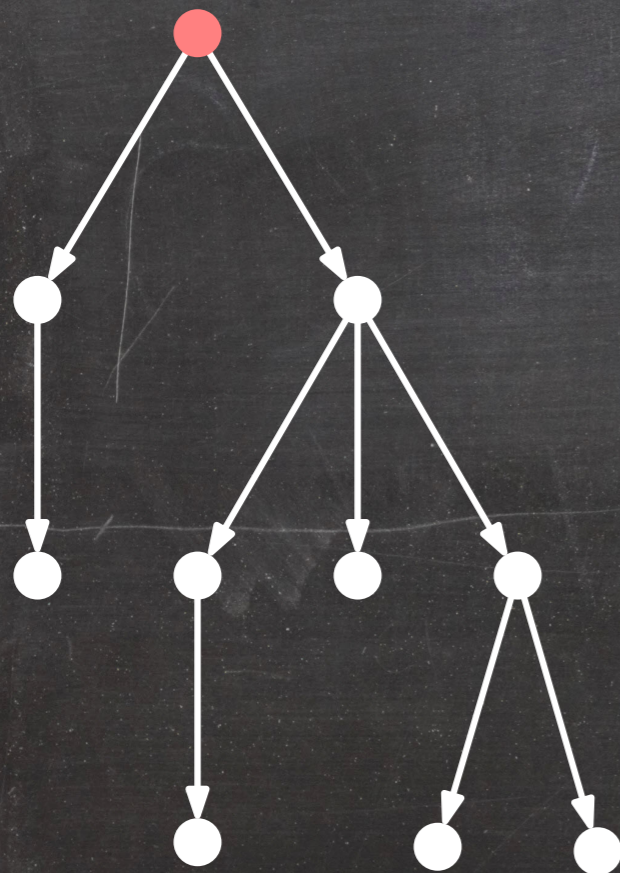


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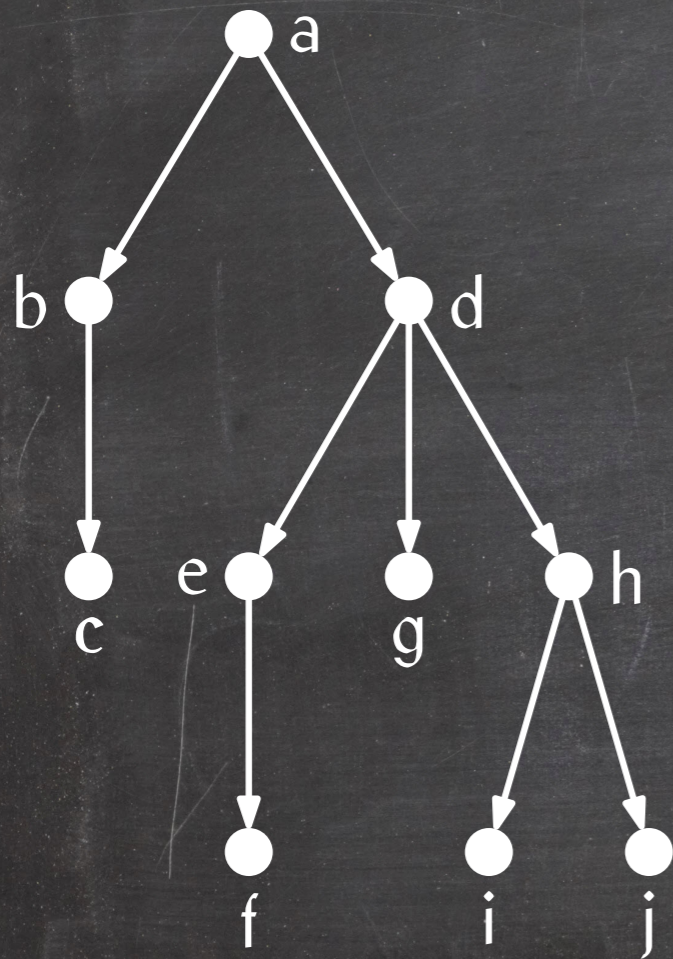
**Representation:**

Tree = root

Every node stores

- an arbitrary **key**
- a (doubly-linked) list of its **children**.

# Standard Tree Orderings

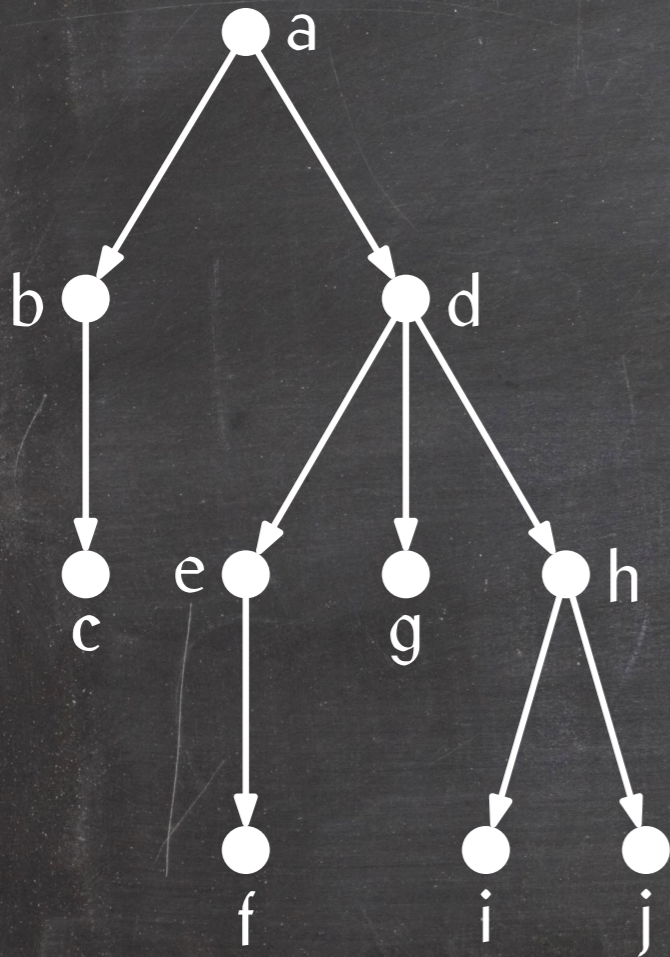


## Preorder:

- Every vertex appears before its children.
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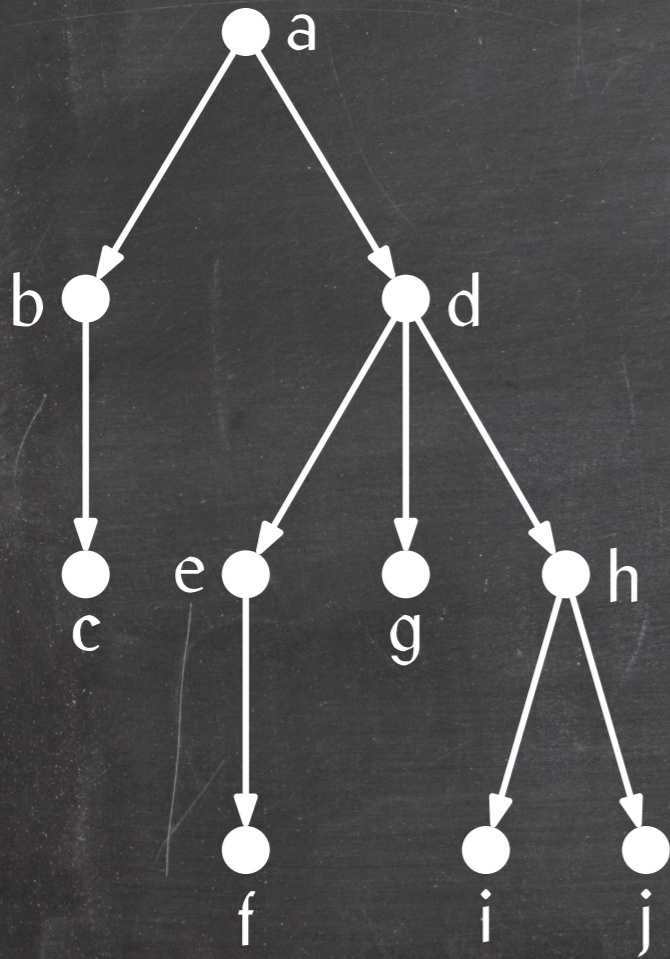
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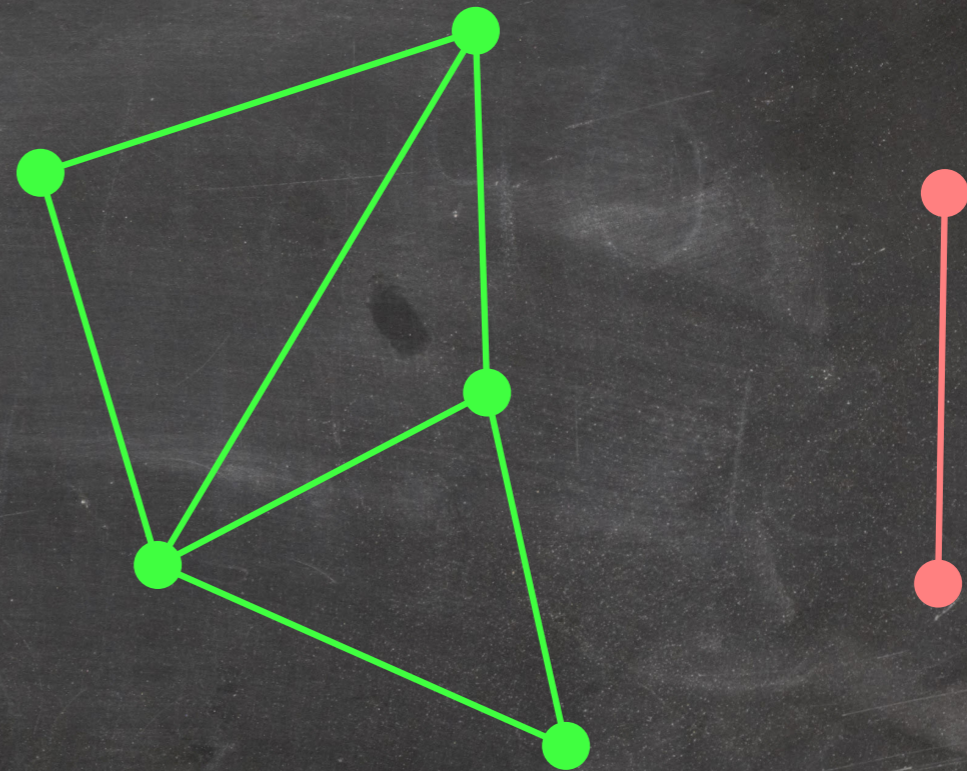
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**Lemma:** It takes linear time to arrange the vertices of a forest in preorder or postorder.

# Connected Components and Spanning Forests

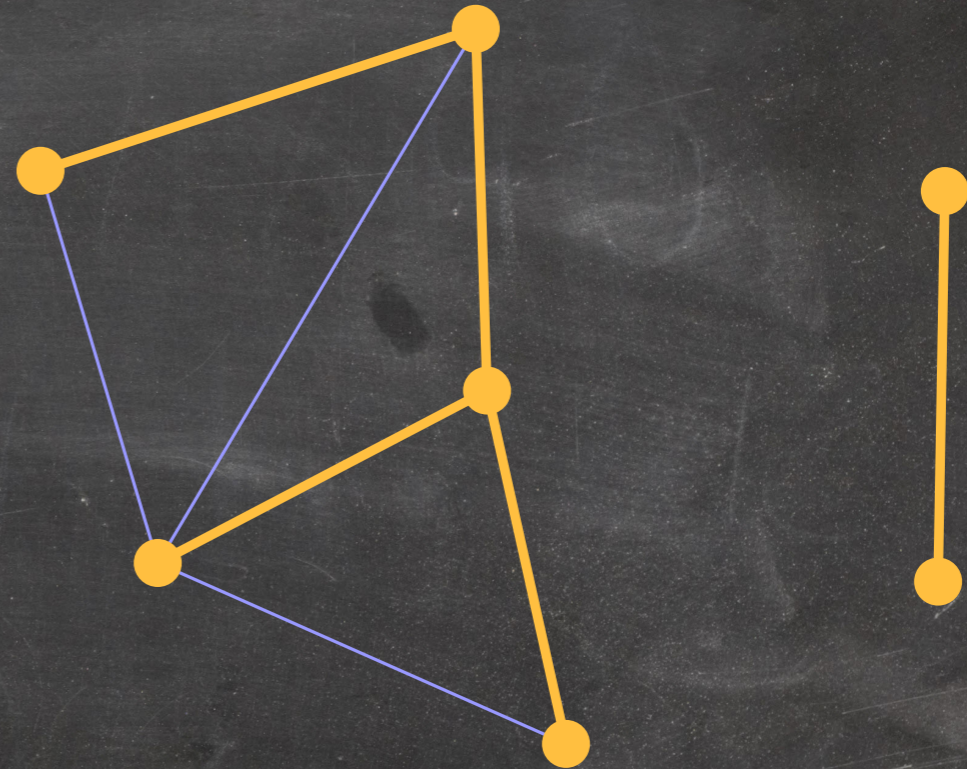
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A **spanning forest** of a graph  $G$  is a subgraph  $F \subseteq G$  with the same number of connected components and which is a forest.





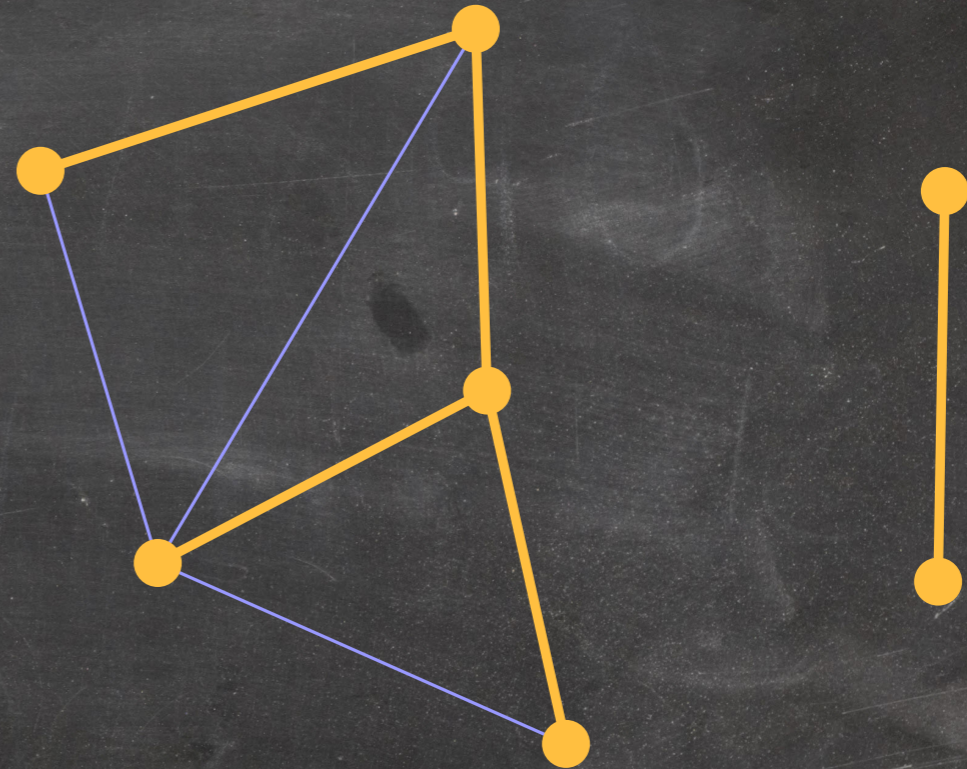
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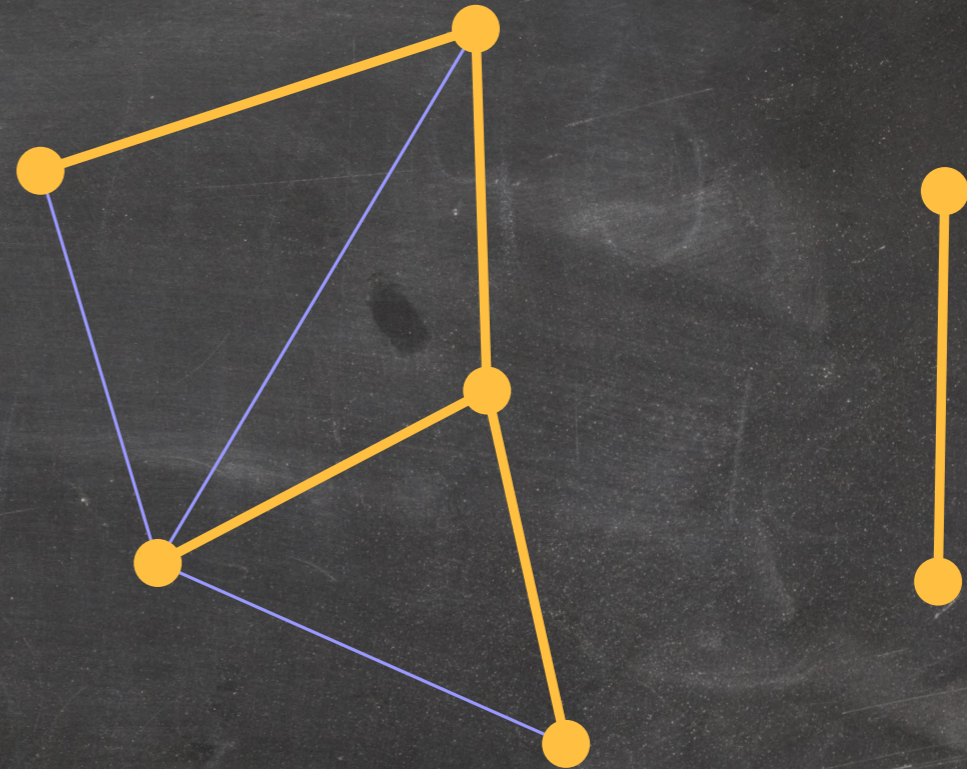
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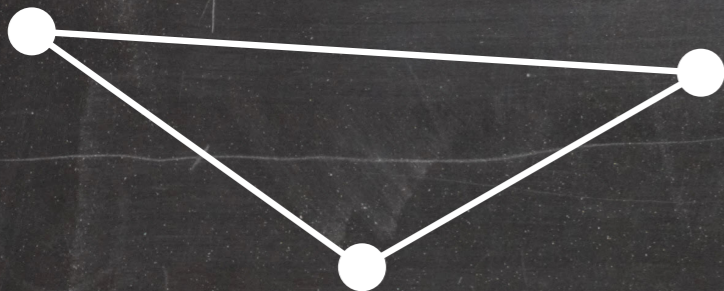
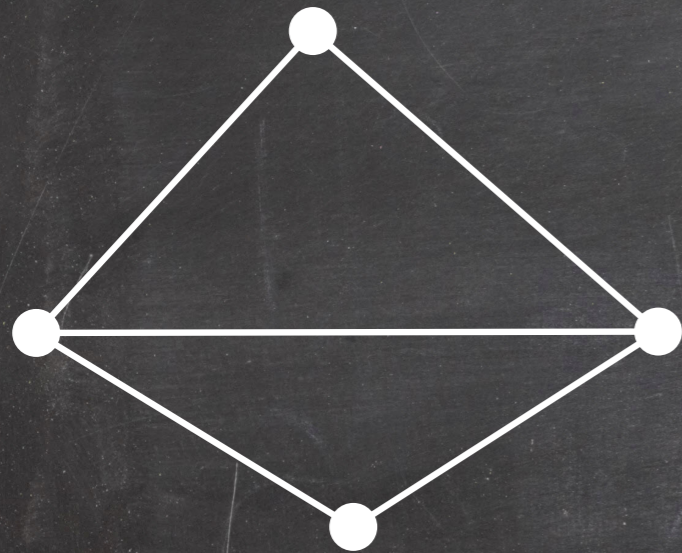
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**Representation:** List of rooted trees



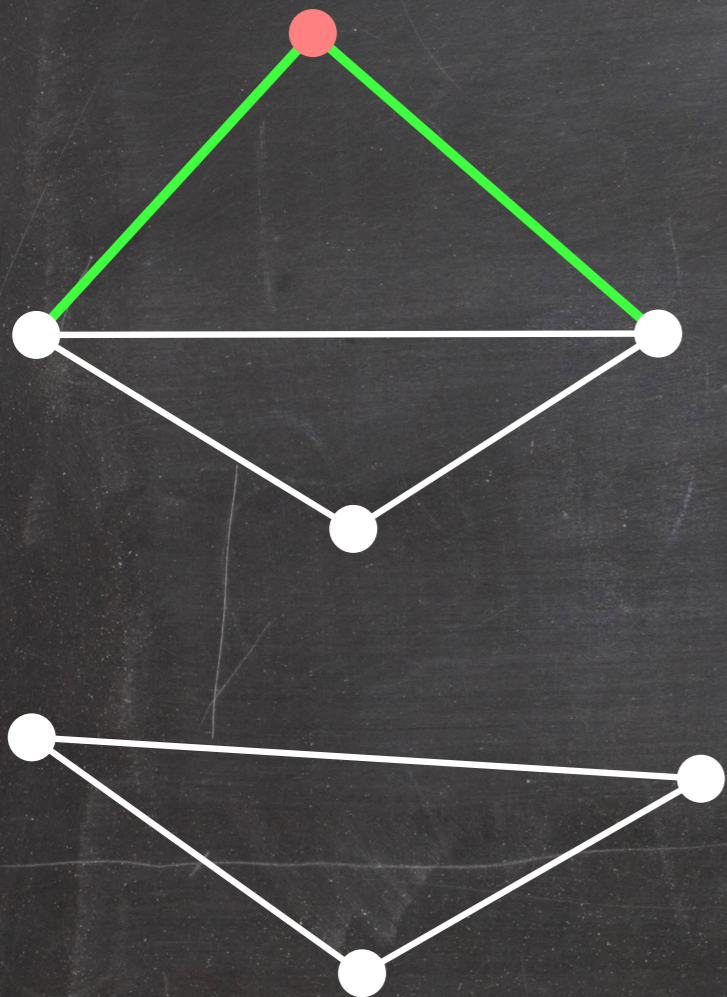
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We use graph traversal to build a spanning forest of  $G$ .



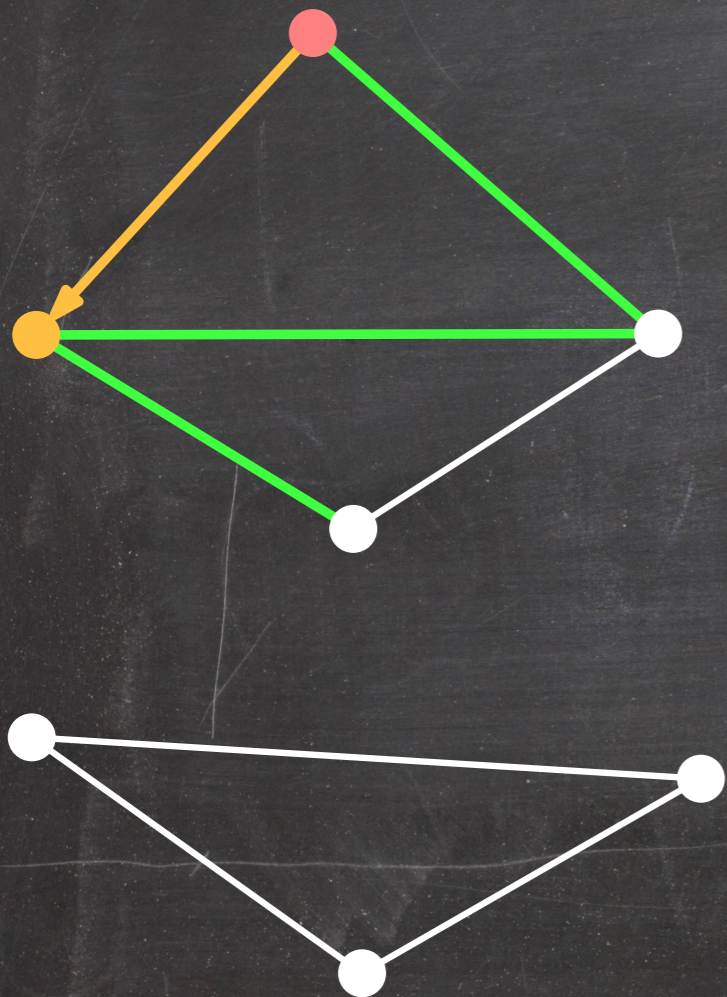
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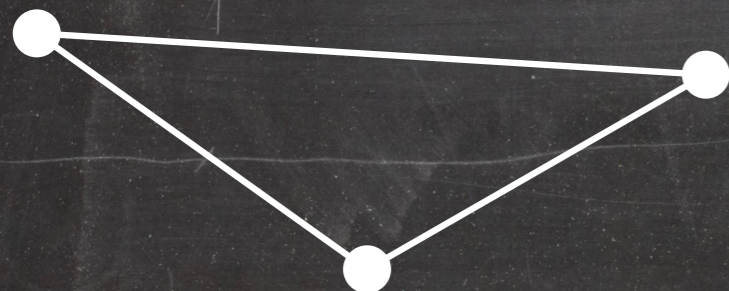
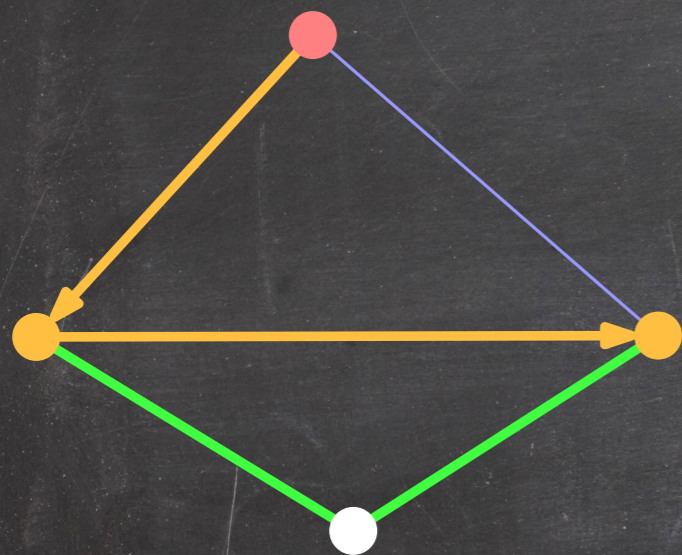
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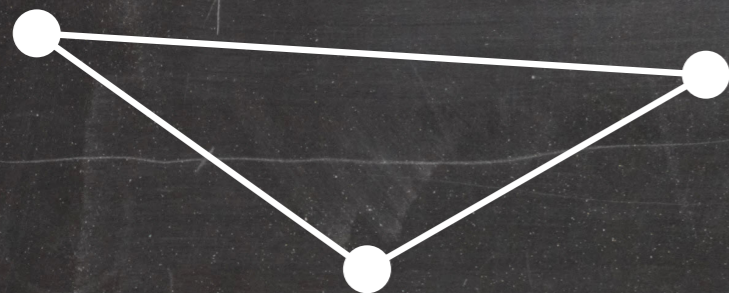
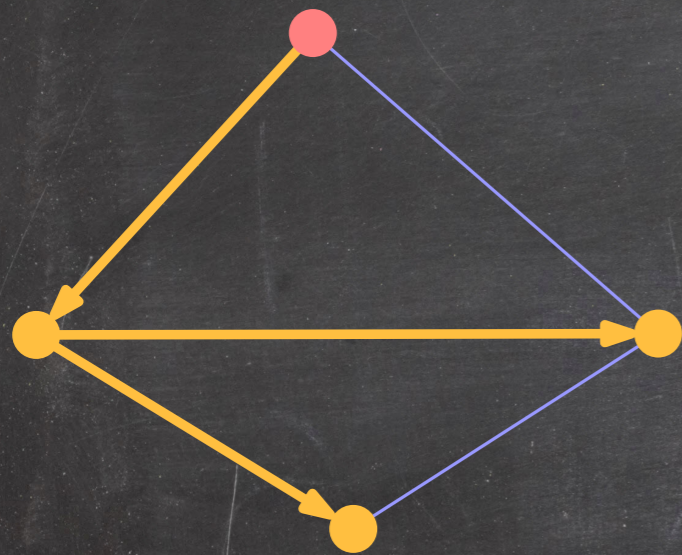
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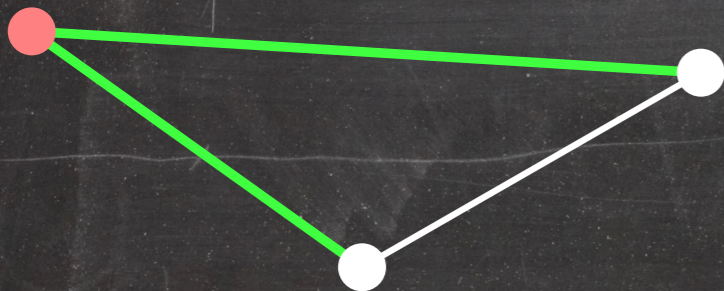
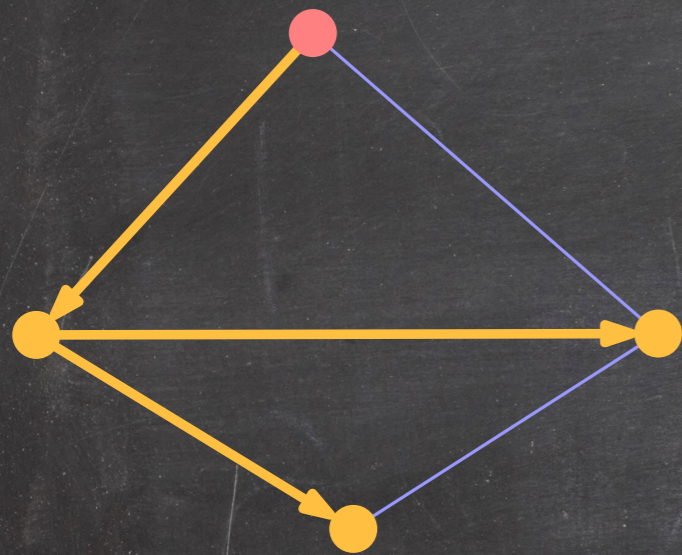
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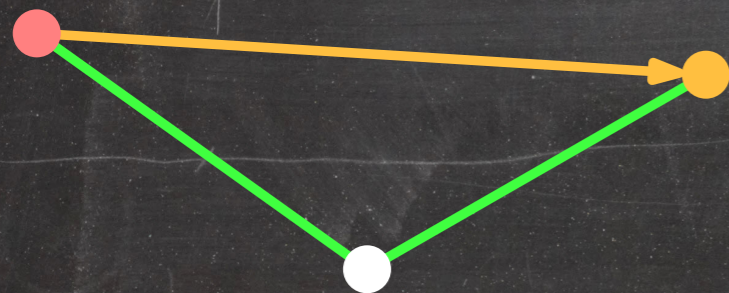
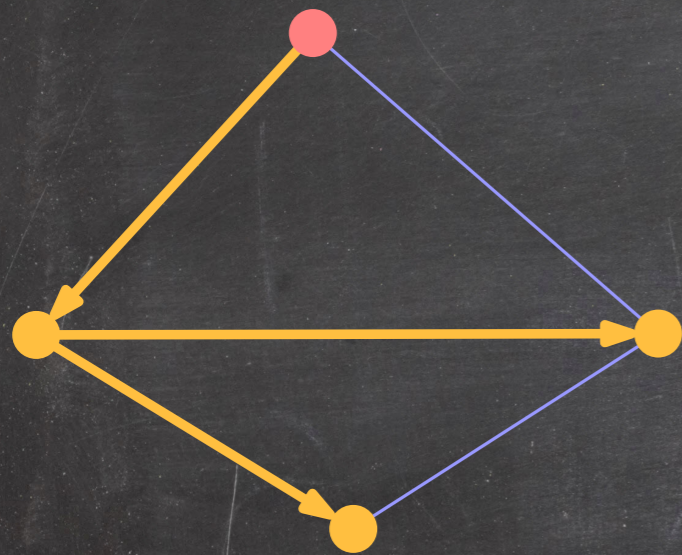
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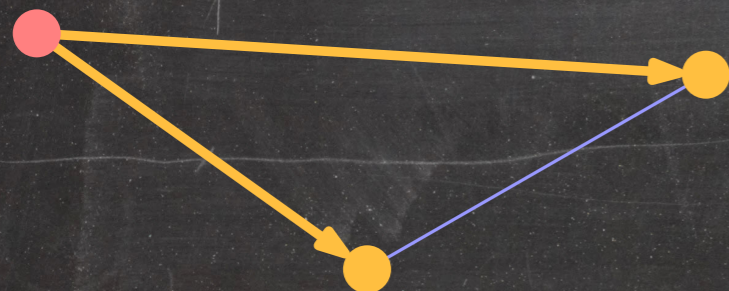
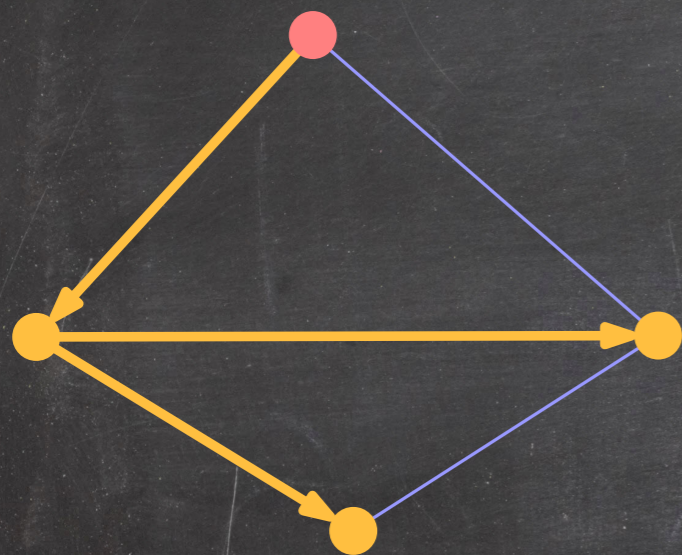
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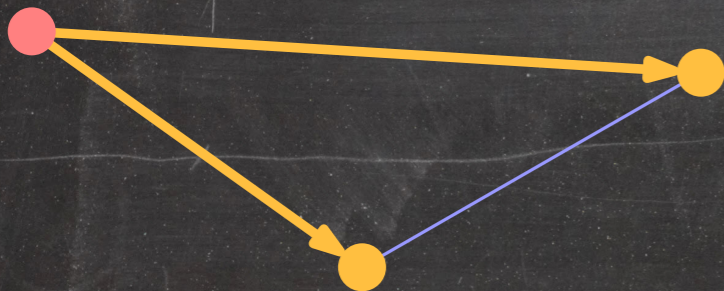
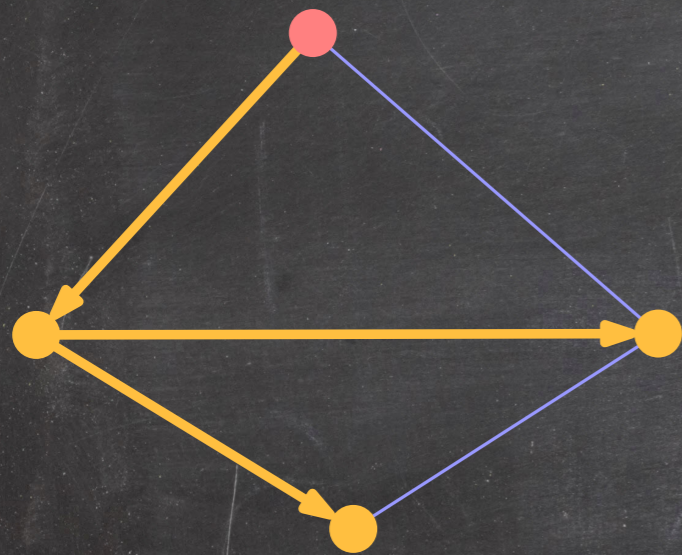
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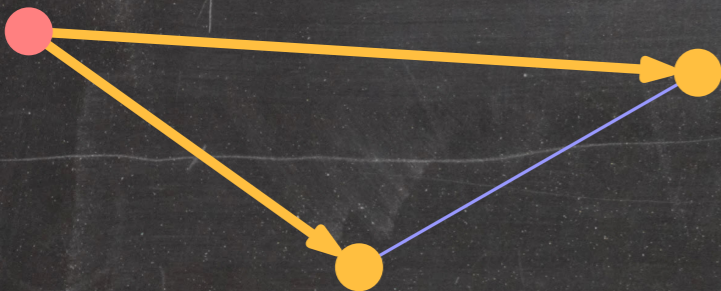
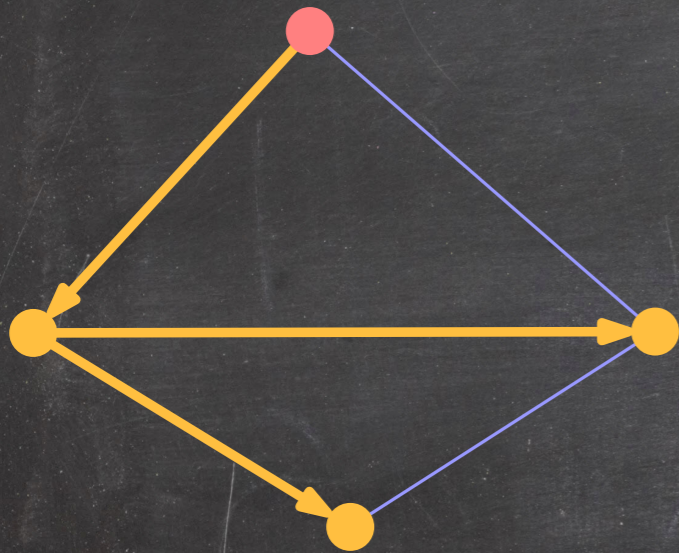
Different traversal strategies lead to different spanning forests:

- Breadth-first search
- Depth-first search
- Prim's algorithm for computing minimum spanning trees
- Dijkstra's algorithm for computing shortest paths

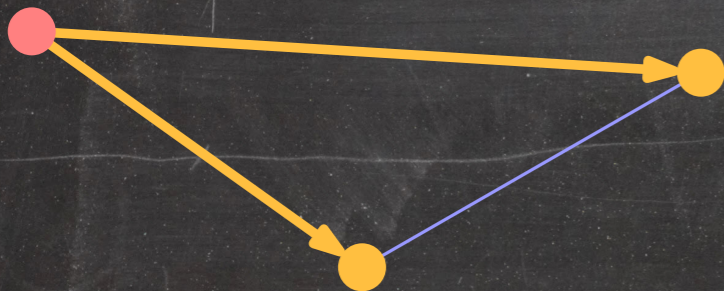
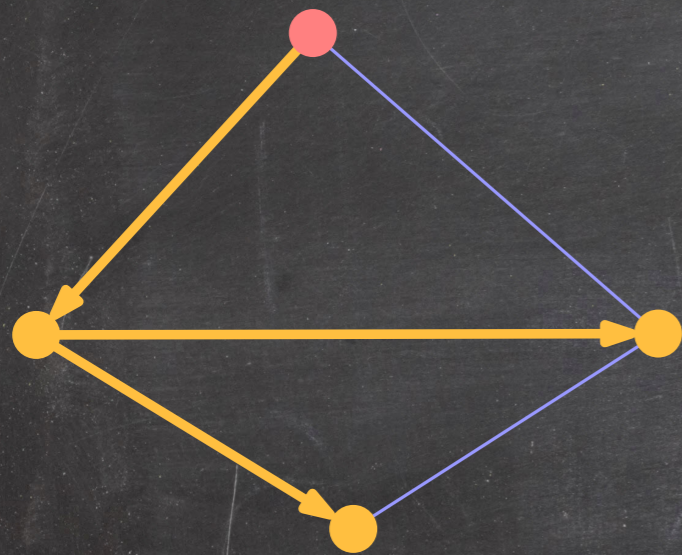
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- 1 Mark every vertex of  $G$  as unexplored
- 2  $F = []$
- 3 **for** every vertex  $u \in G$
- 4     **do if not**  $u.explored$
- 5         **then**  $F.append(TraverseFromVertex(G, u))$
- 6 **return**  $F$



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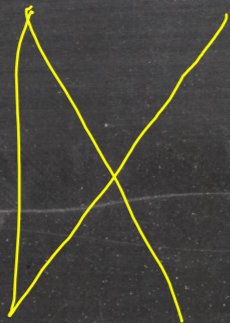
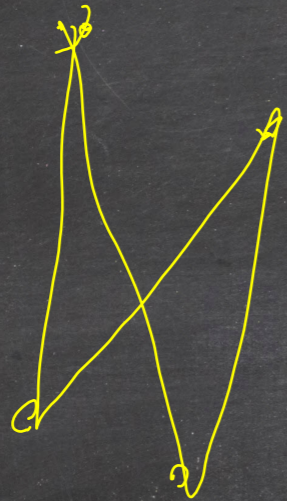


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```
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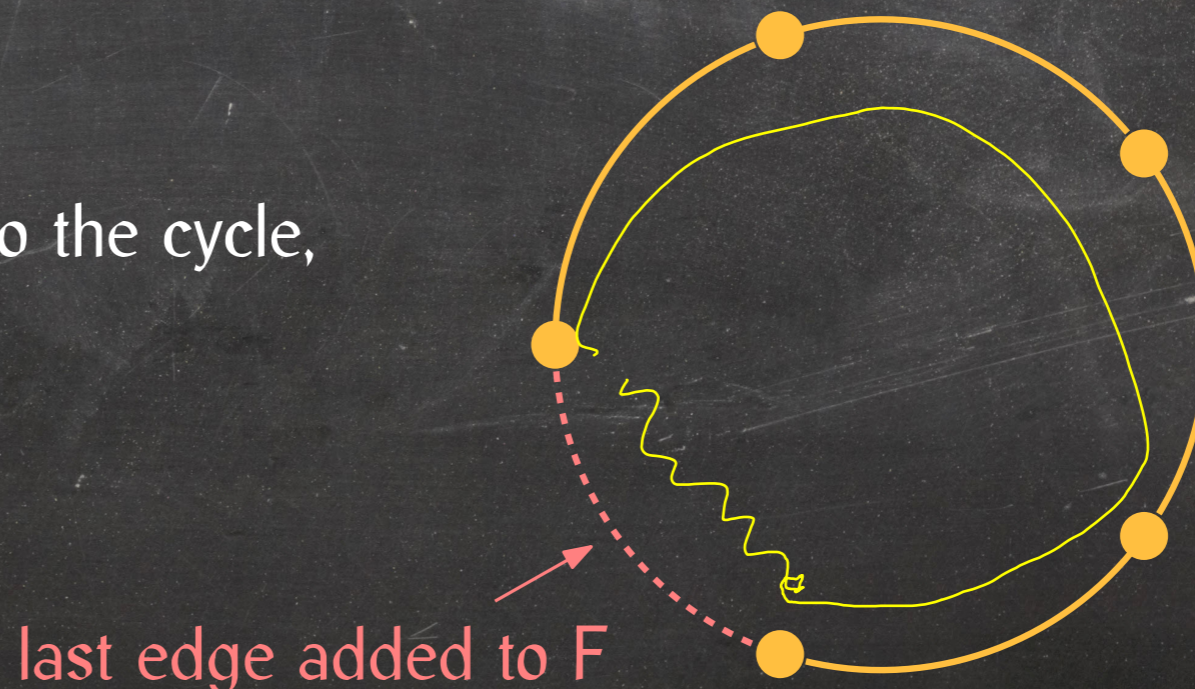
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**Proof by contradiction:**

By the time we add the last edge to the cycle, both its endpoints are explored.

$\Rightarrow$  We would not have added it.



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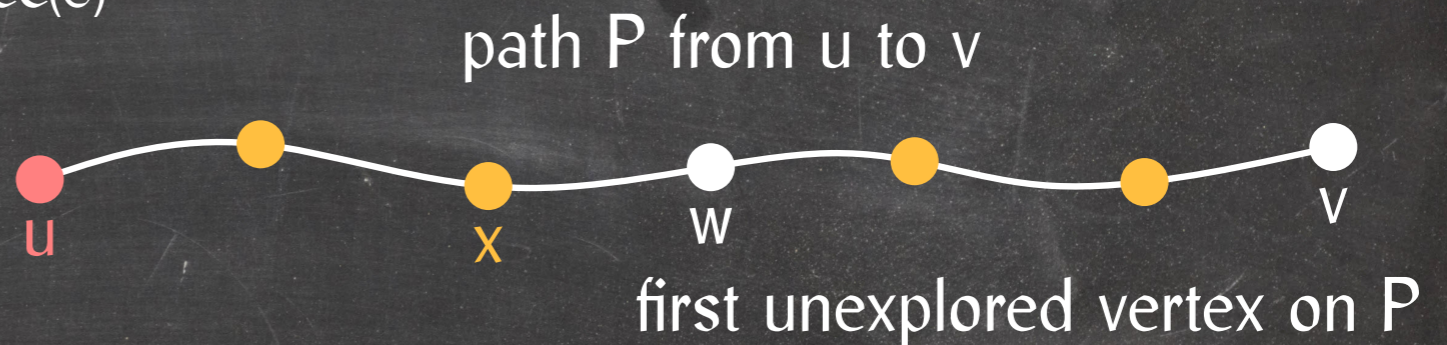
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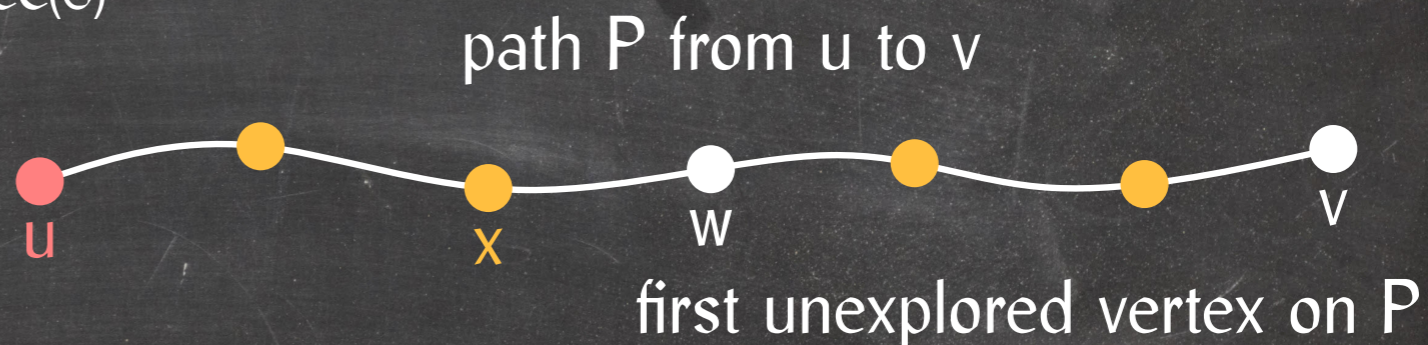
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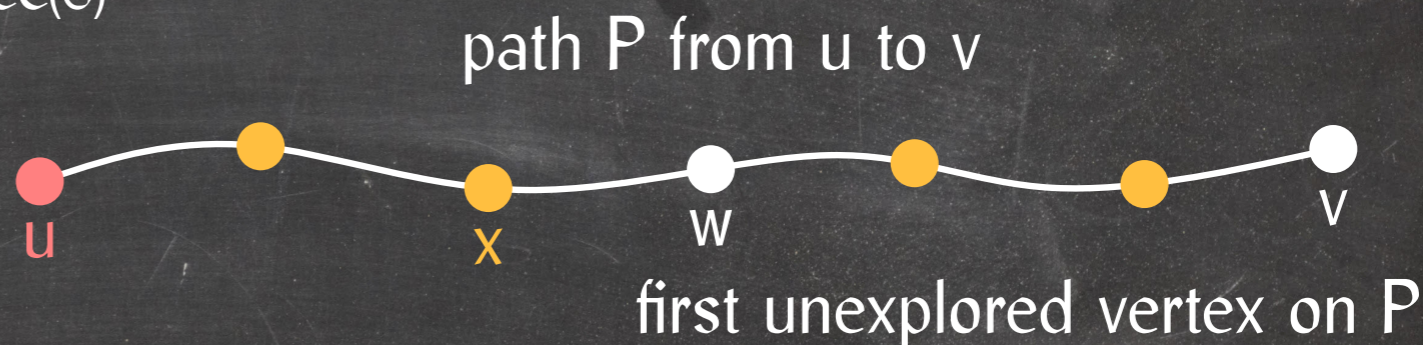
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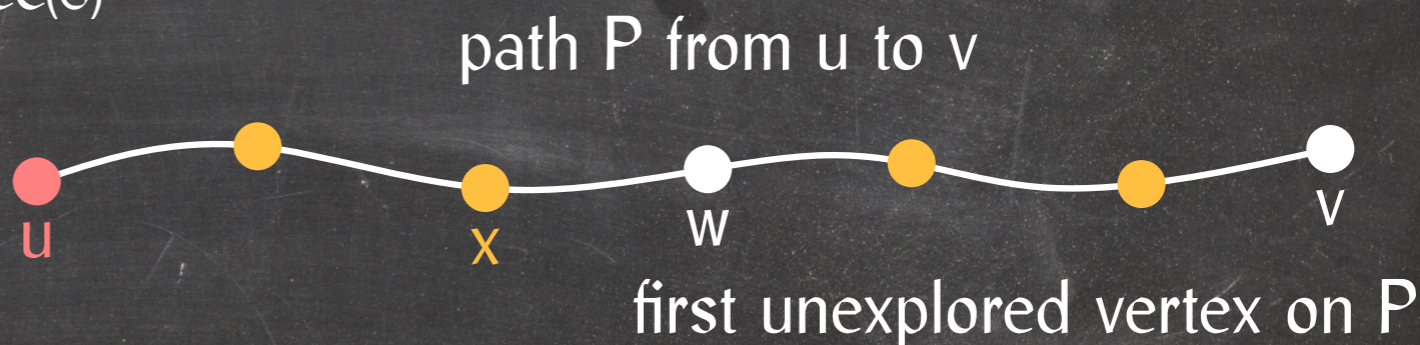
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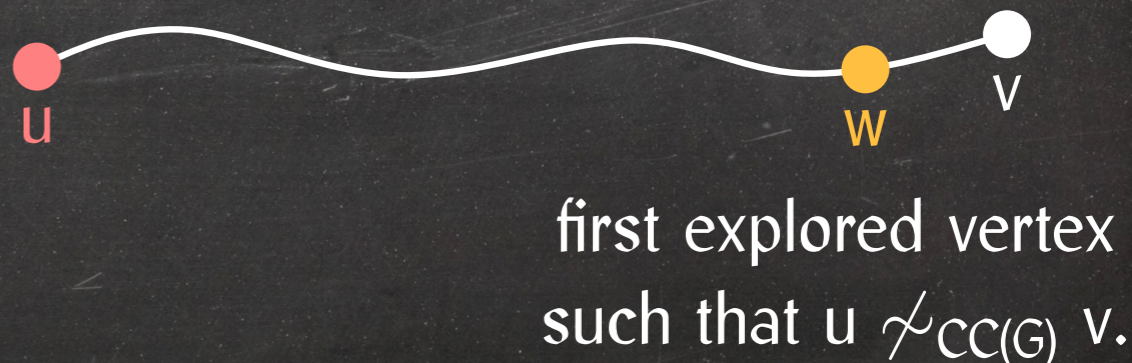
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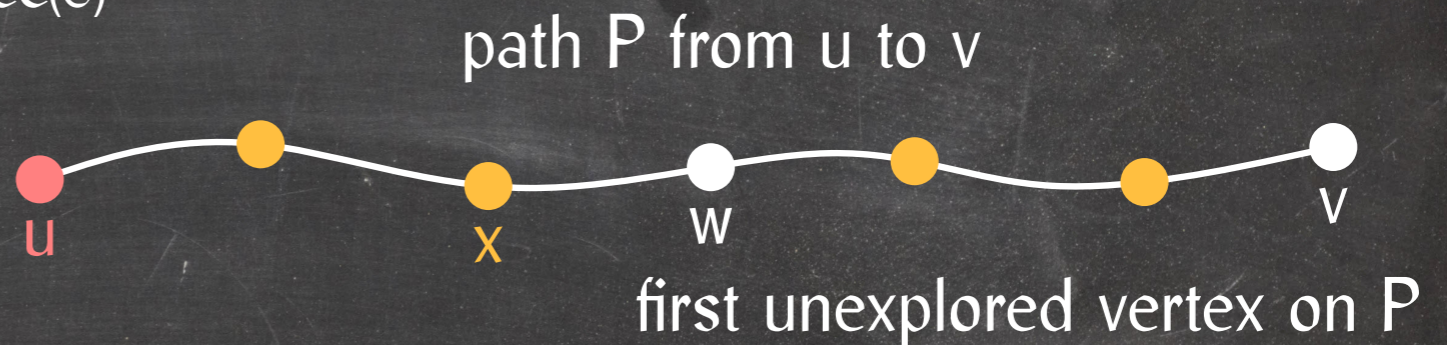
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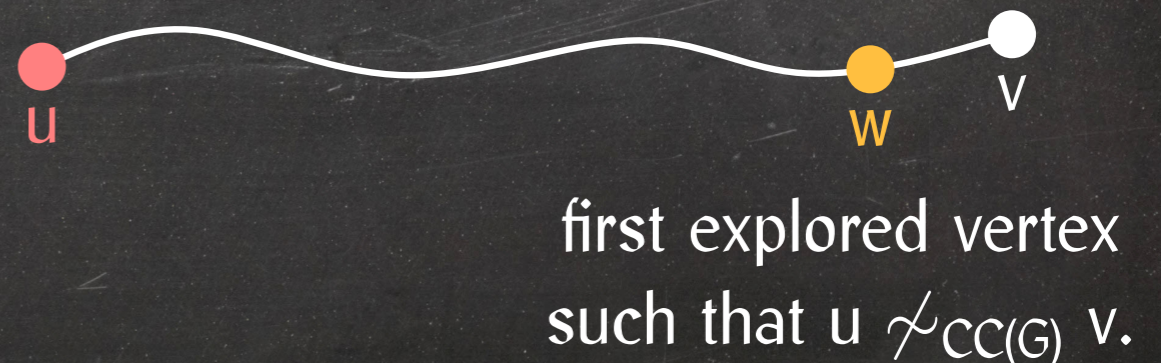
We do not visit a vertex  $v$  such that  $u \not\sim_{\text{CC}(G)} v$ :

•  $v$  explored because of edge  $(w, v) \in Q$ .

•  $w$  explored before  $v$ .

$\Rightarrow w \sim_{\text{CC}(G)} u$ .

$\Rightarrow v \sim_{\text{CC}(G)} u$ .





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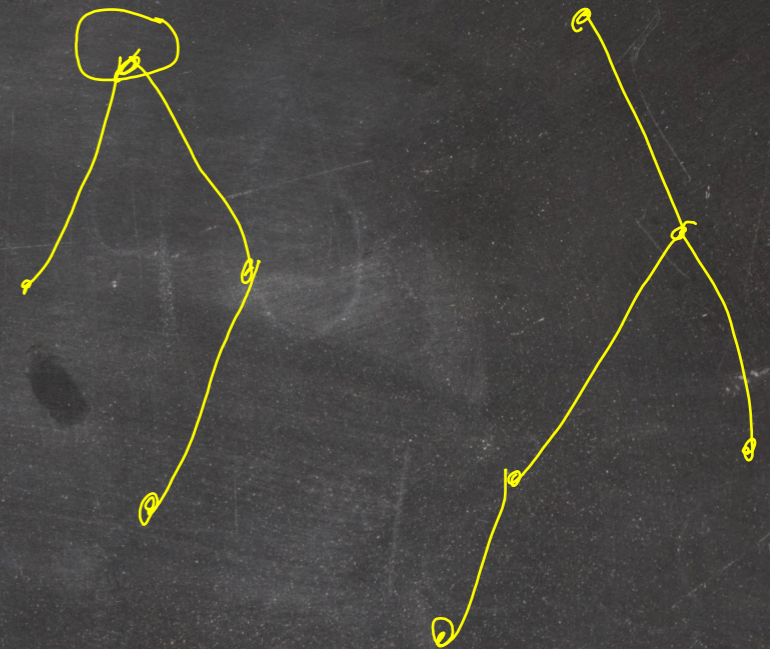
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**Lemma:** Collecting the vertices of all components takes  $O(n)$  time.

# Computing Connected Components

Representation using vertex labels:

## ComponentLabels(L)

```
1  i = 0
2  for every list L' ∈ L
3    do i = i + 1
4      for every vertex v ∈ L'
5        do v.cc = i
```

Cost:  $O(n)$



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## Vertex lists:

### BuildVertexLists(L)

```
1 VL = []
2 for every list L' ∈ L
3   do VL' = []
4     for every vertex v ∈ L'
5       do VL'.append(v)
6   VL.append(VL')
7 return VL
```

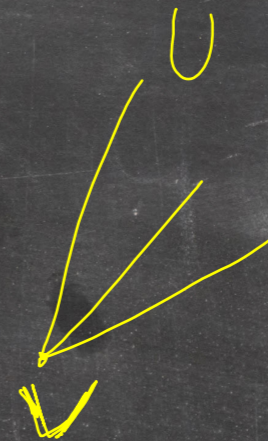


# Computing Connected Components

Edge lists:

BuildEdgeLists( $G, L$ )

```
1  EL = []
2  for every edge  $e \in G$ 
3    do  $e.collected = False$ 
4  for every list  $L' \in L$ 
5    do  $EL' = []$ 
6      for every vertex  $v \in L'$ 
7        do for every edge  $e$  incident with  $v$ 
8          do if not  $e.collected$ 
9            then  $e.collected = True$ 
10              $EL'.append(e)$ 
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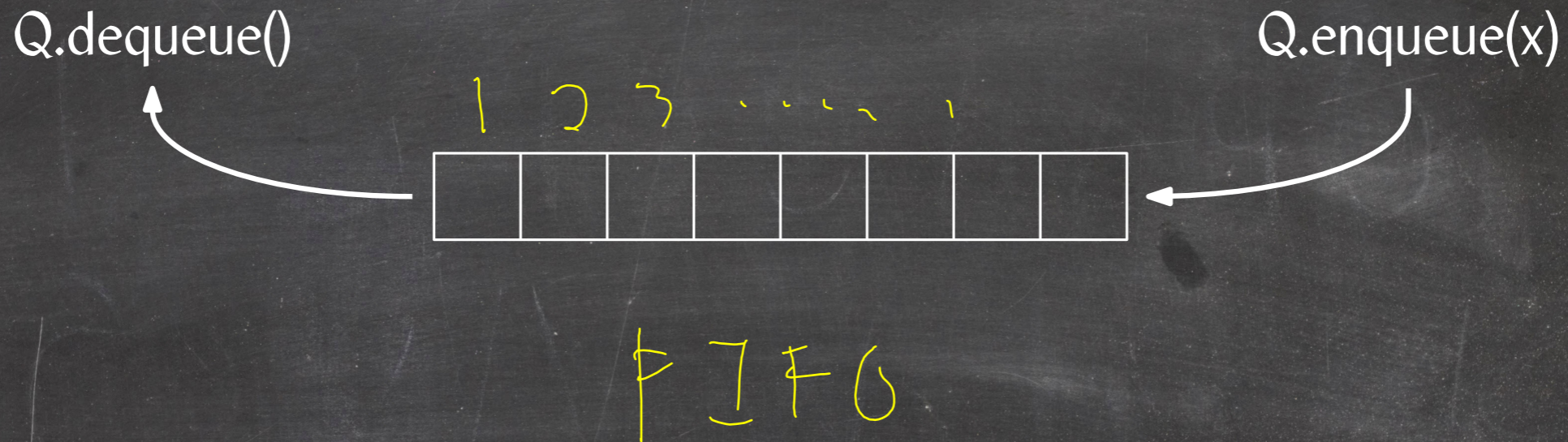
**Lemma:** The connected components of a graph can be computed in  $O(n + m)$  time.

- Building a spanning forest takes  $O(n + m + m \cdot (t_a + t_r))$  time.
- Computing the vertex labelling or list of graphs then takes  $O(n + m)$  time.
- Using a stack or queue to represent  $Q$ , we get  $t_a \in O(1)$  and  $t_r \in O(1)$ .

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**Lemma:** Breadth-first search takes  $O(n + m)$  time.

# A Property of Undirected BFS Forests

**BFS forest** = spanning forest computed using BFS

Let the **depth**  $d_F(v)$  of a vertex  $v$  in a rooted forest  $F$  be the distance from the root of its tree.

**Lemma:** BFS visits the vertices of each component of  $F$  in order of increasing depth.

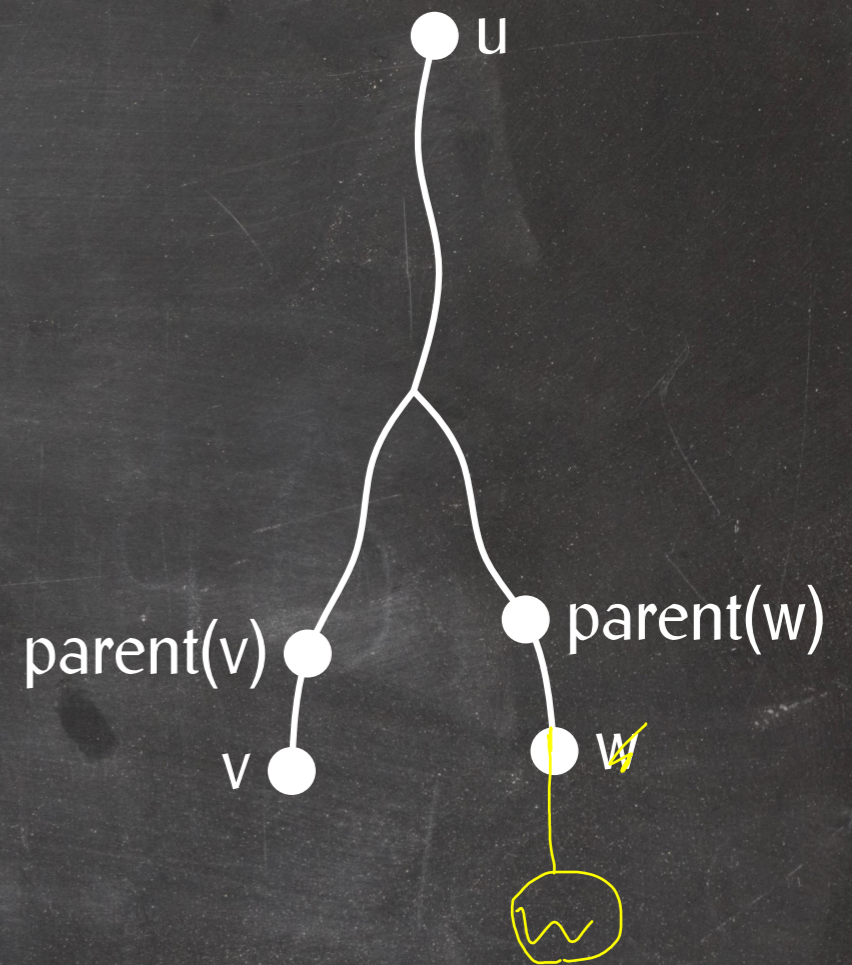
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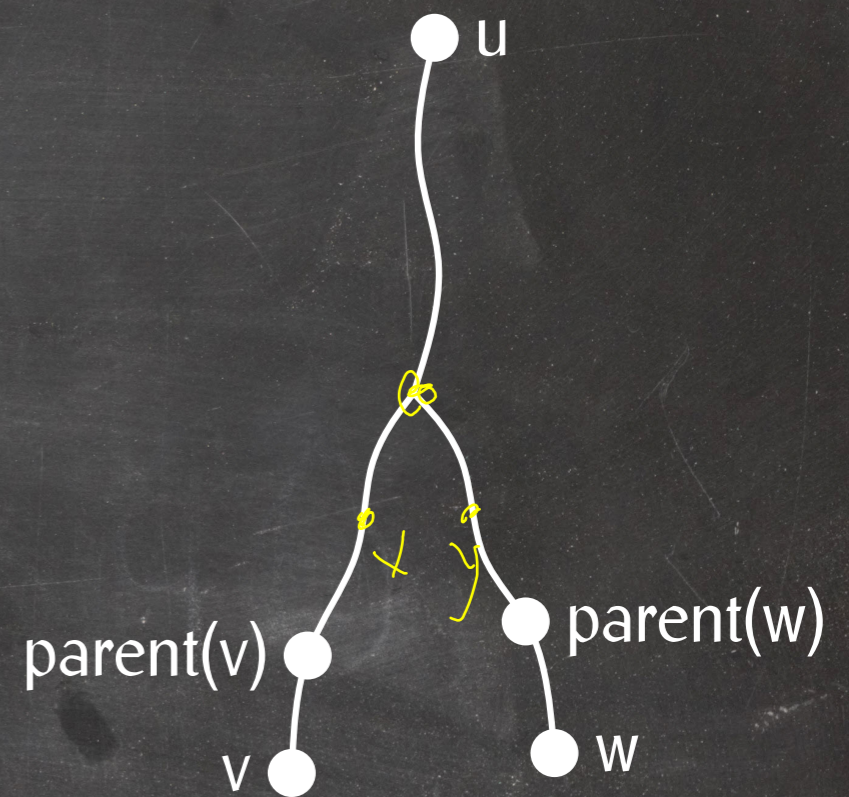
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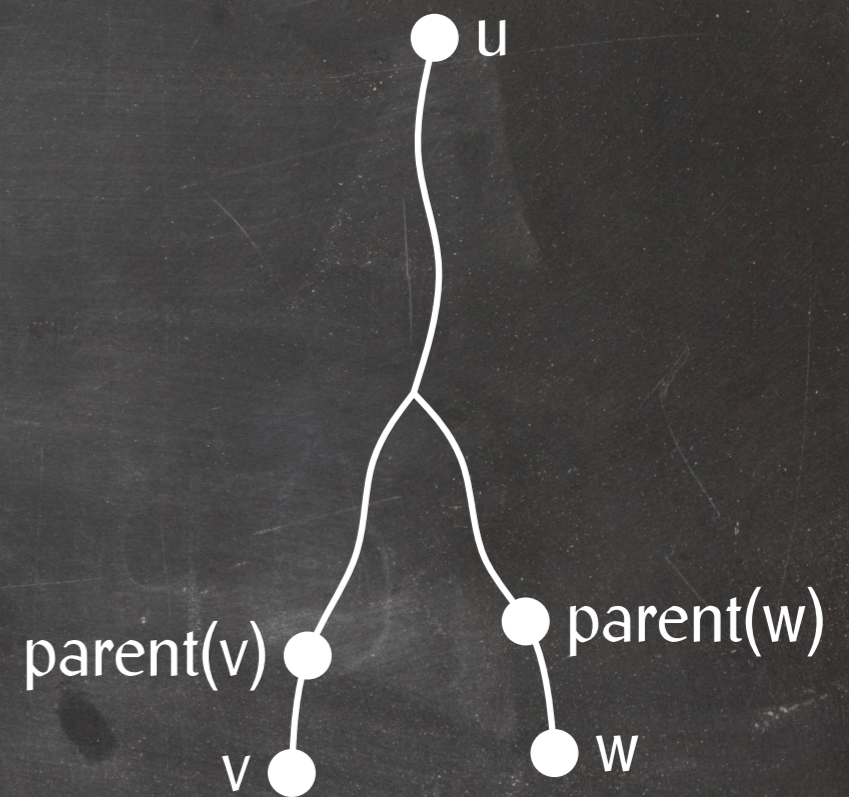
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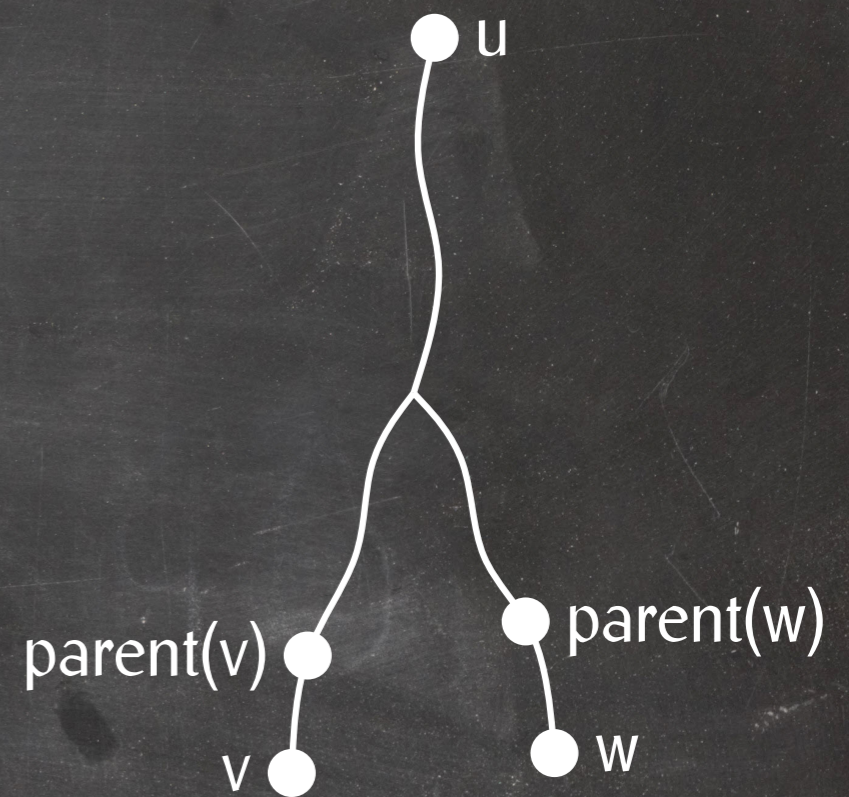
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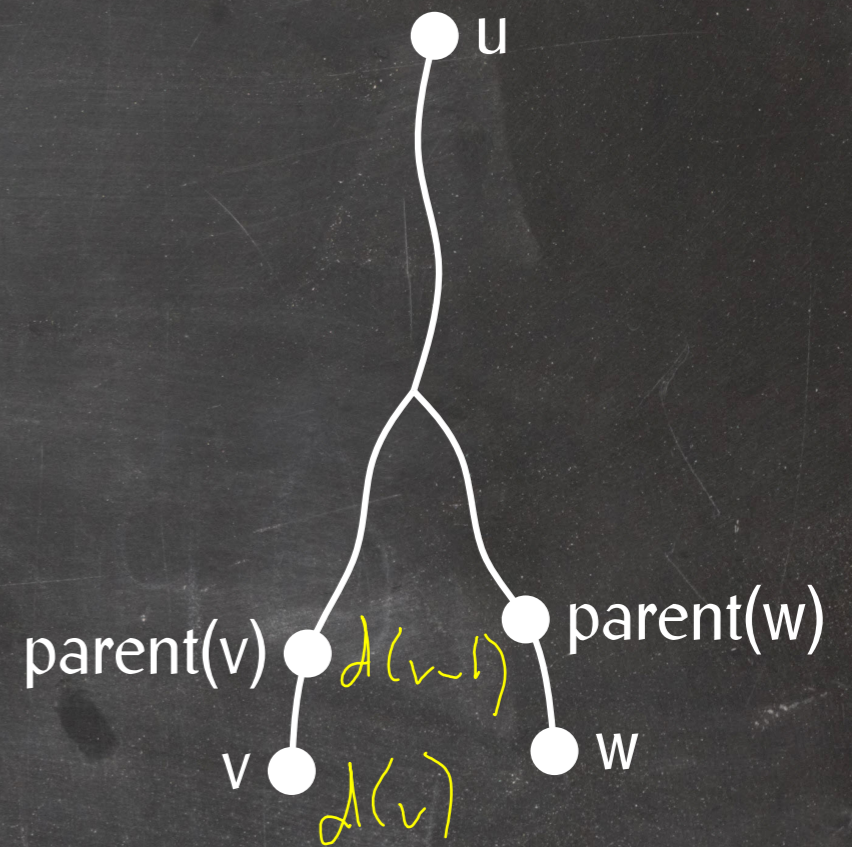
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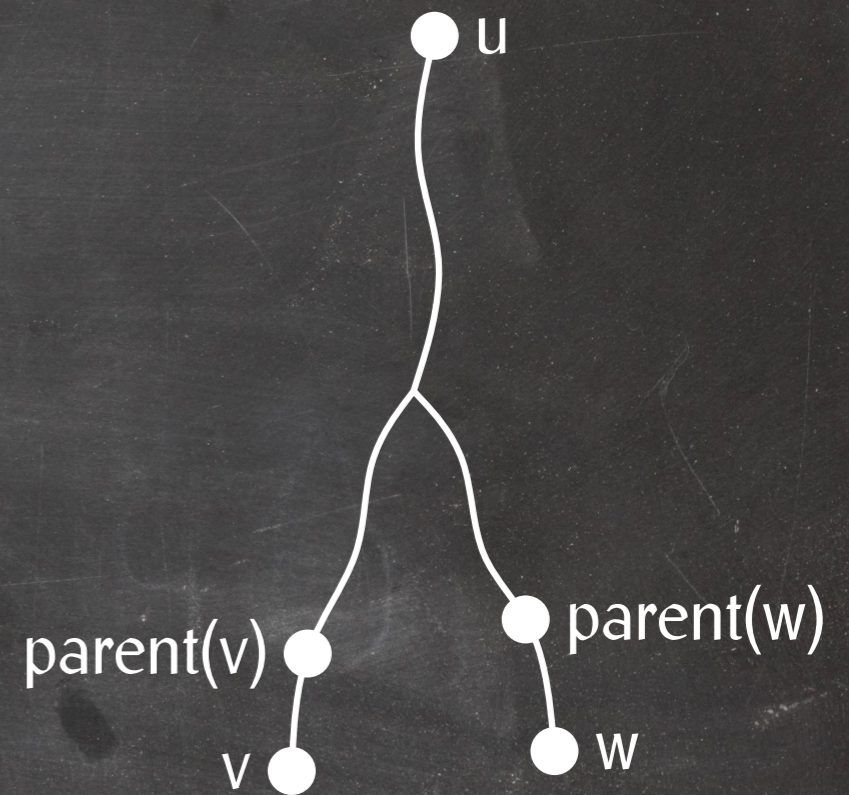
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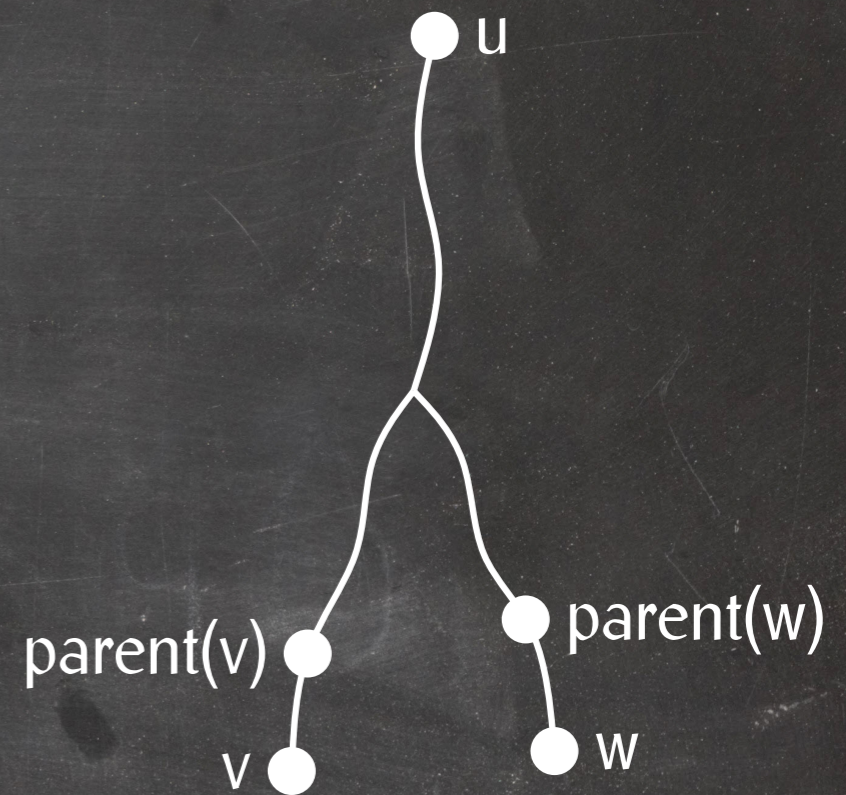
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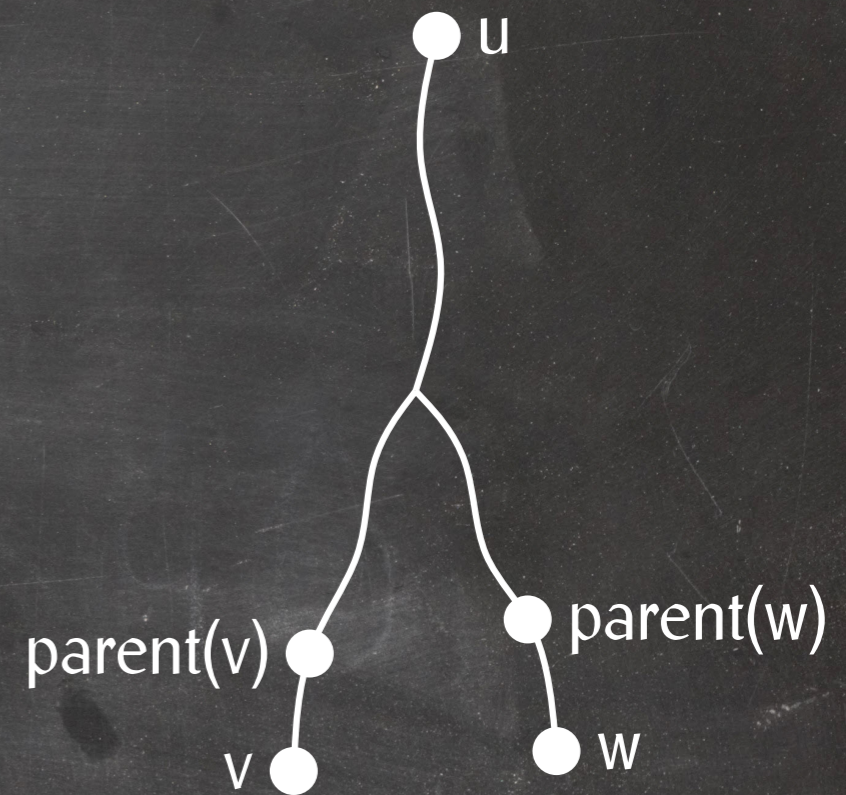
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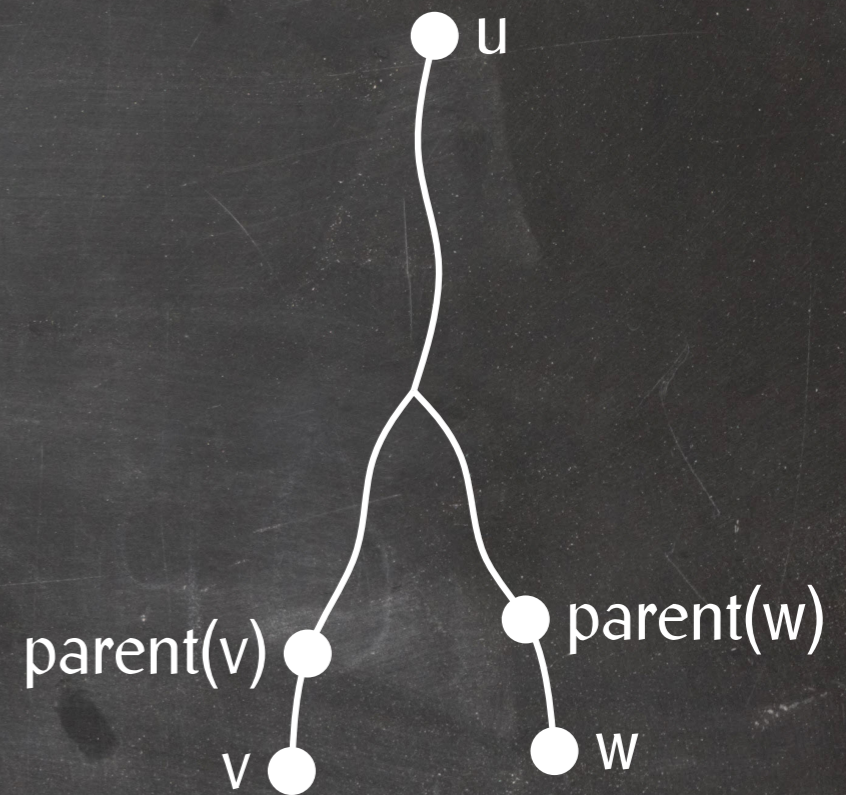
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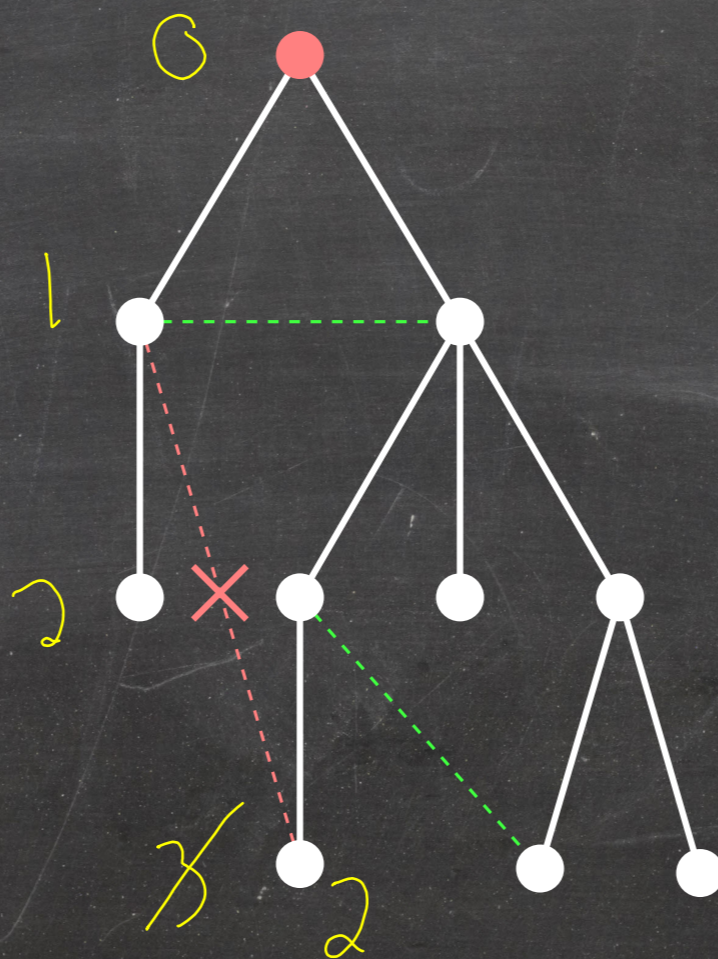
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**Lemma:** For every edge  $(v, w)$  of  $G$  and any BFS forest  $F$  of  $G$ , the depths of  $v$  and  $w$  in  $F$  differ by at most one.



$$d(v) = \begin{cases} d(w) - 1 \\ d(w) \\ d(w) + 1 \end{cases}$$

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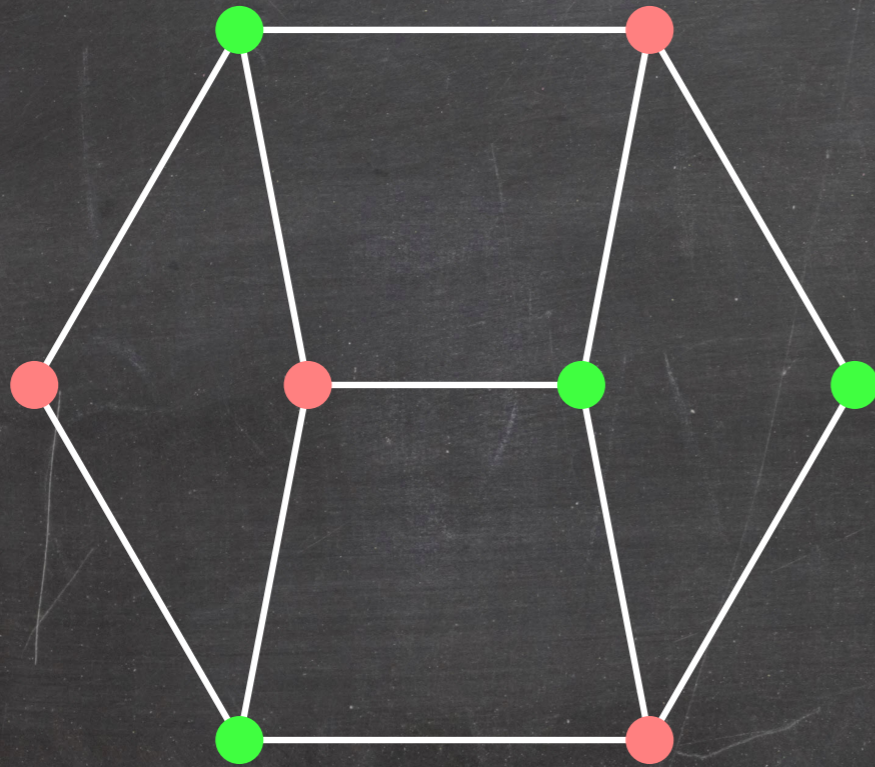
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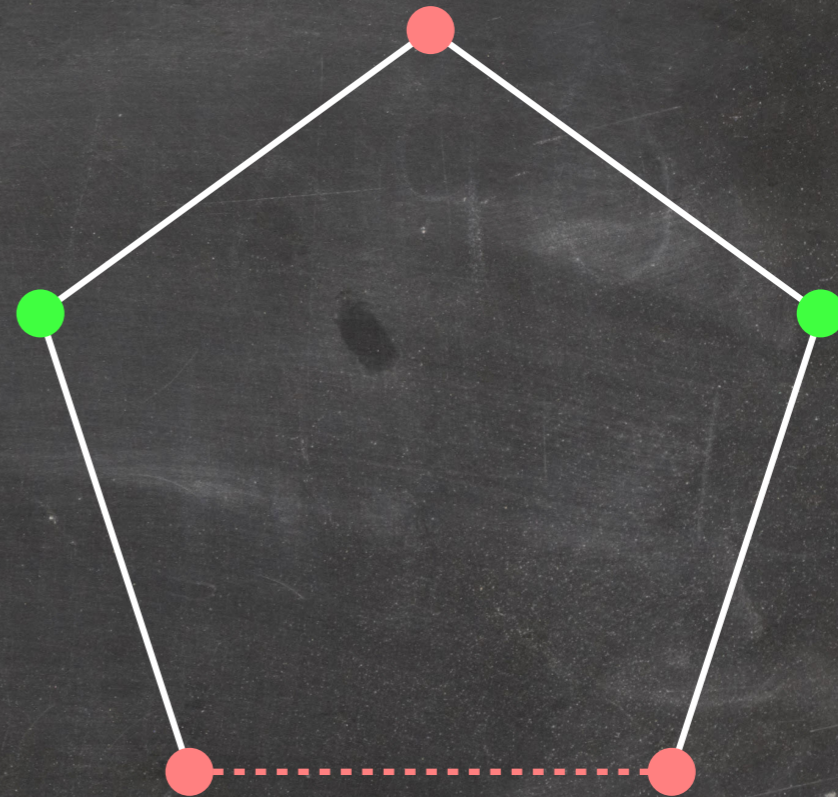
$\Rightarrow w$  would be added to the list of  $v$ 's children, a contradiction.

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A graph is **bipartite** if its vertices can be partitioned into two sets  $(U, W)$  such that every edge has one endpoint in  $U$  and one endpoint in  $W$ .



bipartite



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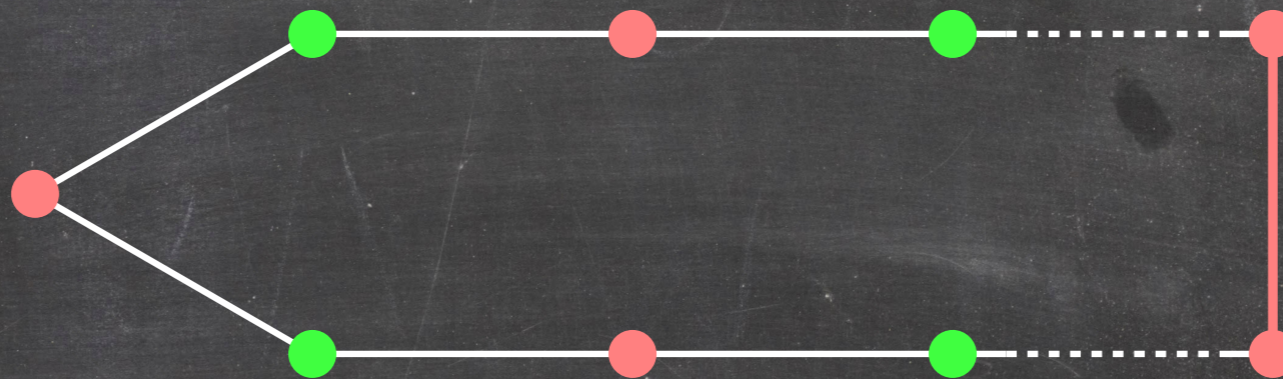
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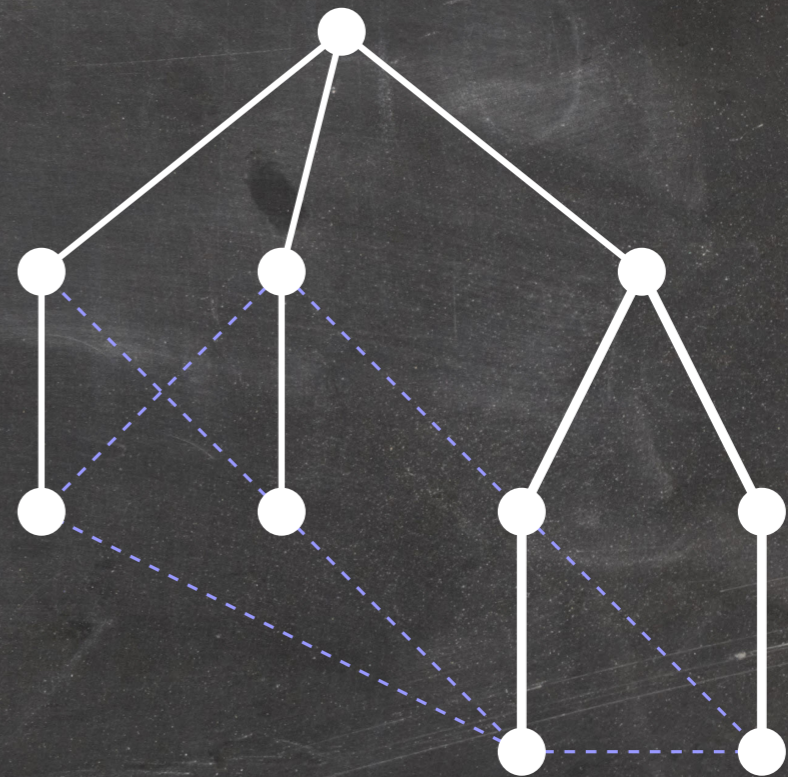


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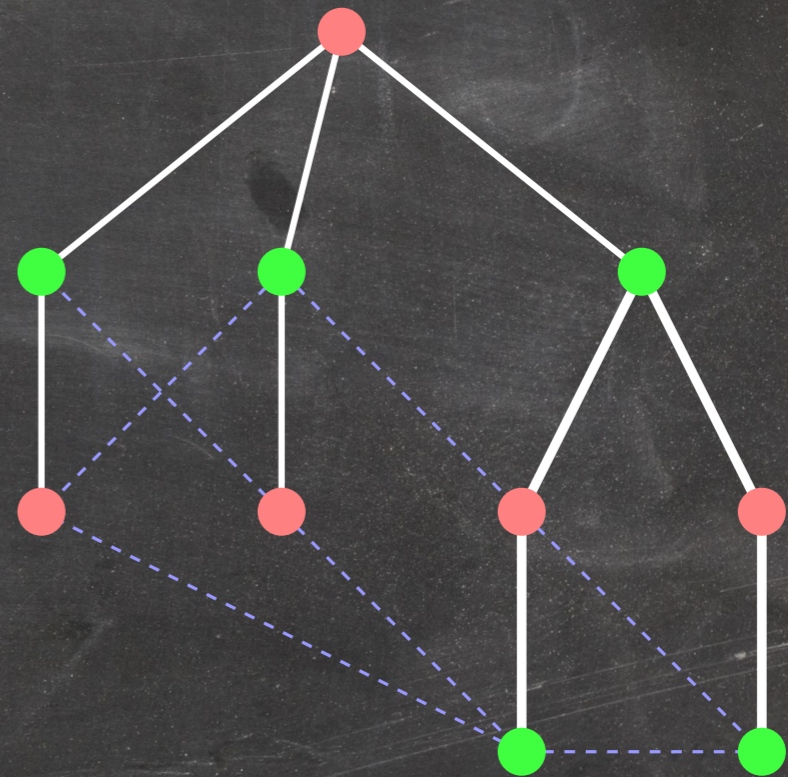
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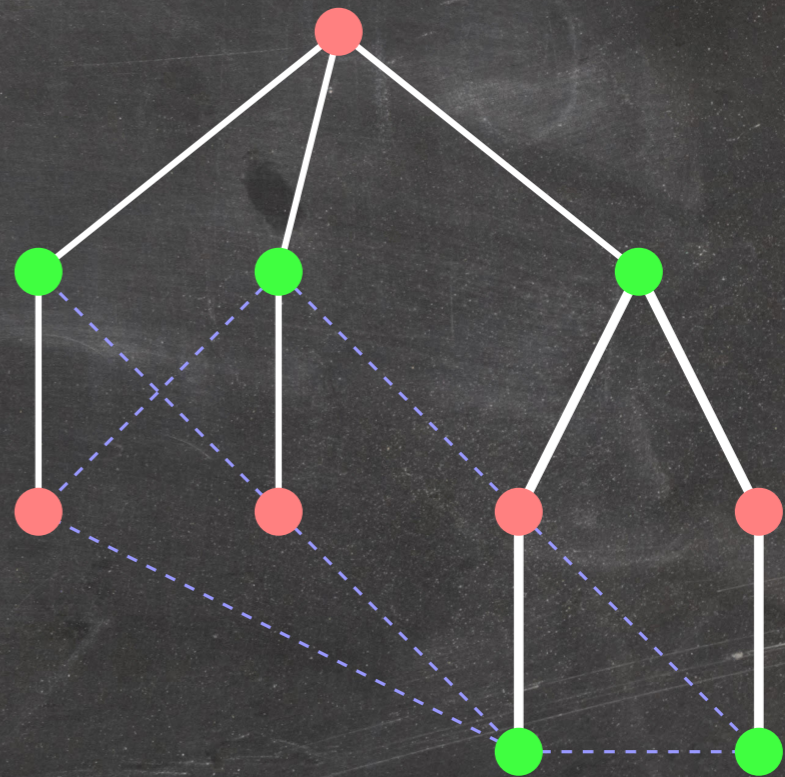
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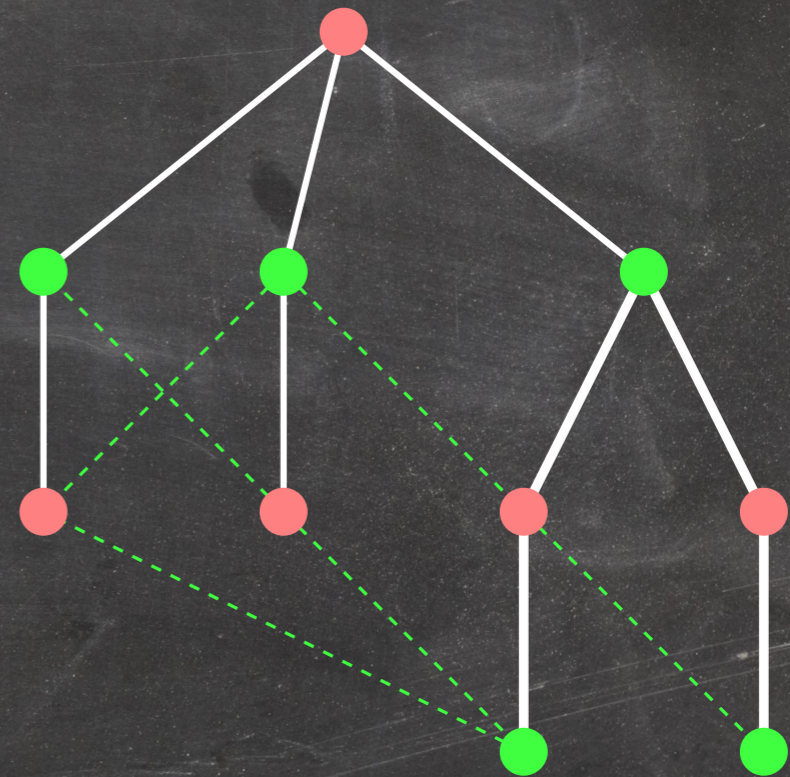
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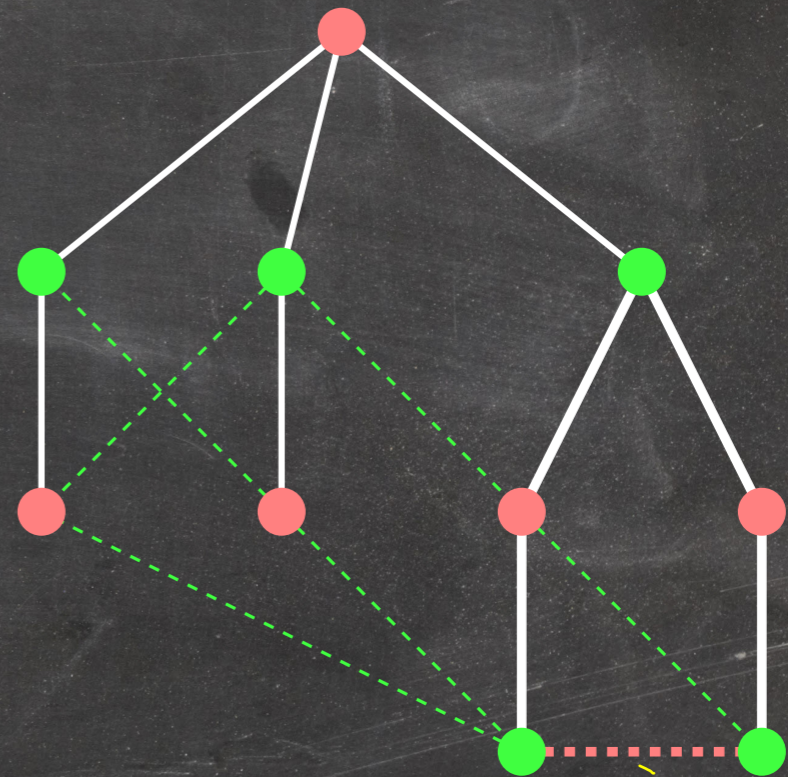
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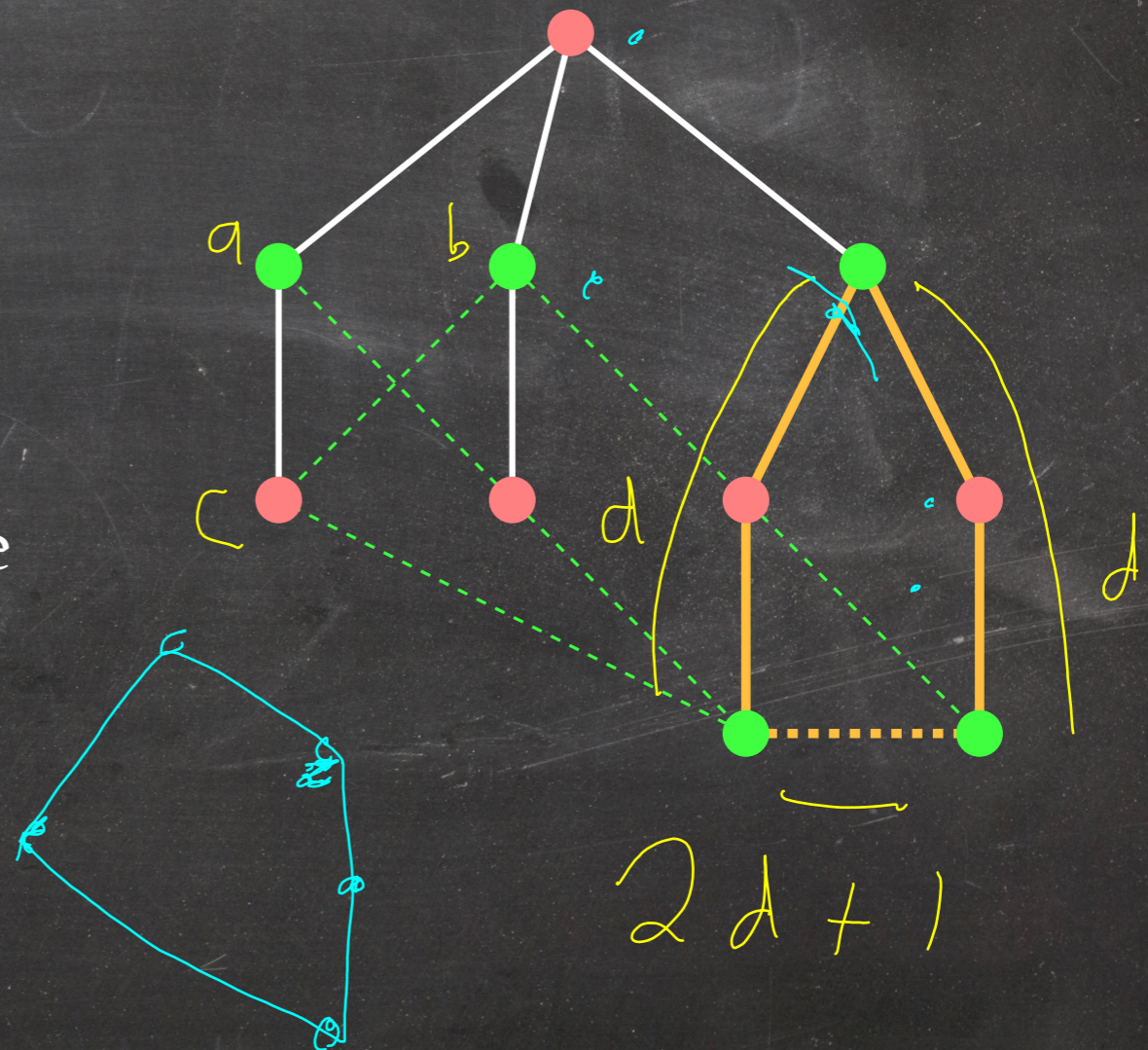
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If there is such an edge, there's an odd cycle.





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# Bipartiteness Testing

- Compute BFS forest  $F$  of  $G$ .
- Collect vertices on alternating levels of  $F$  into two sets  $(U, W)$ .
- Test whether any edge has both endpoints in the same set,  $U$  or  $W$ .
- If so, report the odd cycle induced by such an edge.
- Otherwise, report the bipartition  $(U, W)$ .

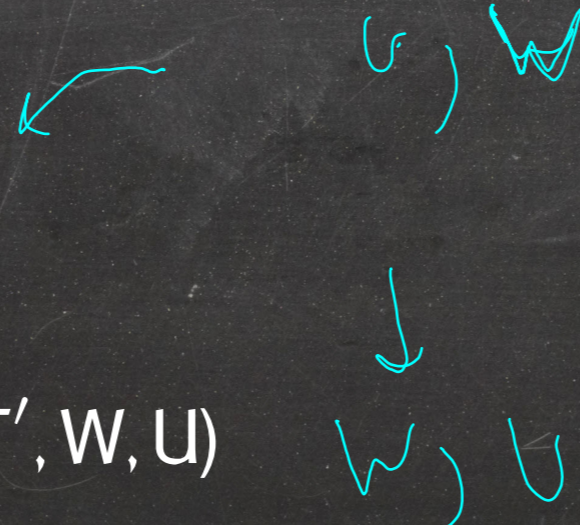
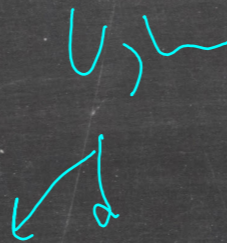
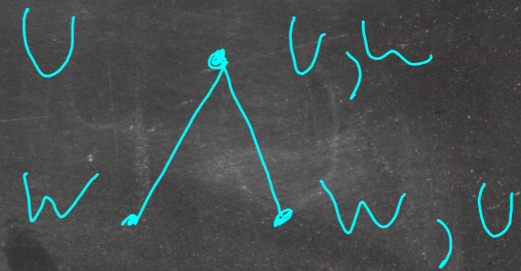
Collecting vertices on alternating levels:

## AlternatingLevels( $F$ )

- 1  $U = W = []$
- 2 **for** every tree  $T$  in  $F$
- 3     **do** AlternatingLevels'( $T, U, W$ )
- 4 **return**  $(U, W)$

## AlternatingLevels'( $T, U, W$ )

- 1  $U.append(T.key)$
- 2 **for** every child  $T'$  of  $T$
- 3     **do** AlternatingLevels'( $T', W, U$ )



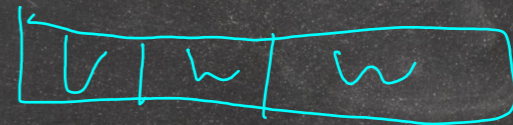
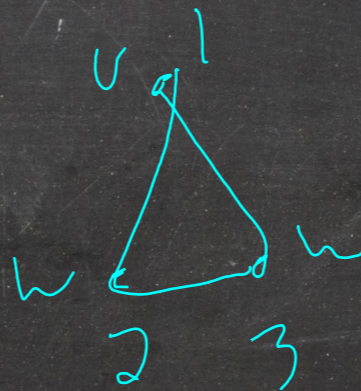
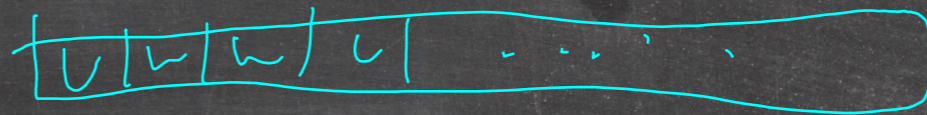
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Testing for an "odd edge":

## OddEdge( $G, U, W$ )

```
1  A = an array of size n
2  for every vertex  $u \in U$ 
3    do  $A[u] = "U"$ 
4  for every vertex  $w \in W$ 
5    do  $A[w] = "W"$ 
6  for every edge  $(u, w) \in G$ 
7    do if  $A[u] = A[w]$ 
8      then return  $(u, w)$ 
9  return Nothing
```



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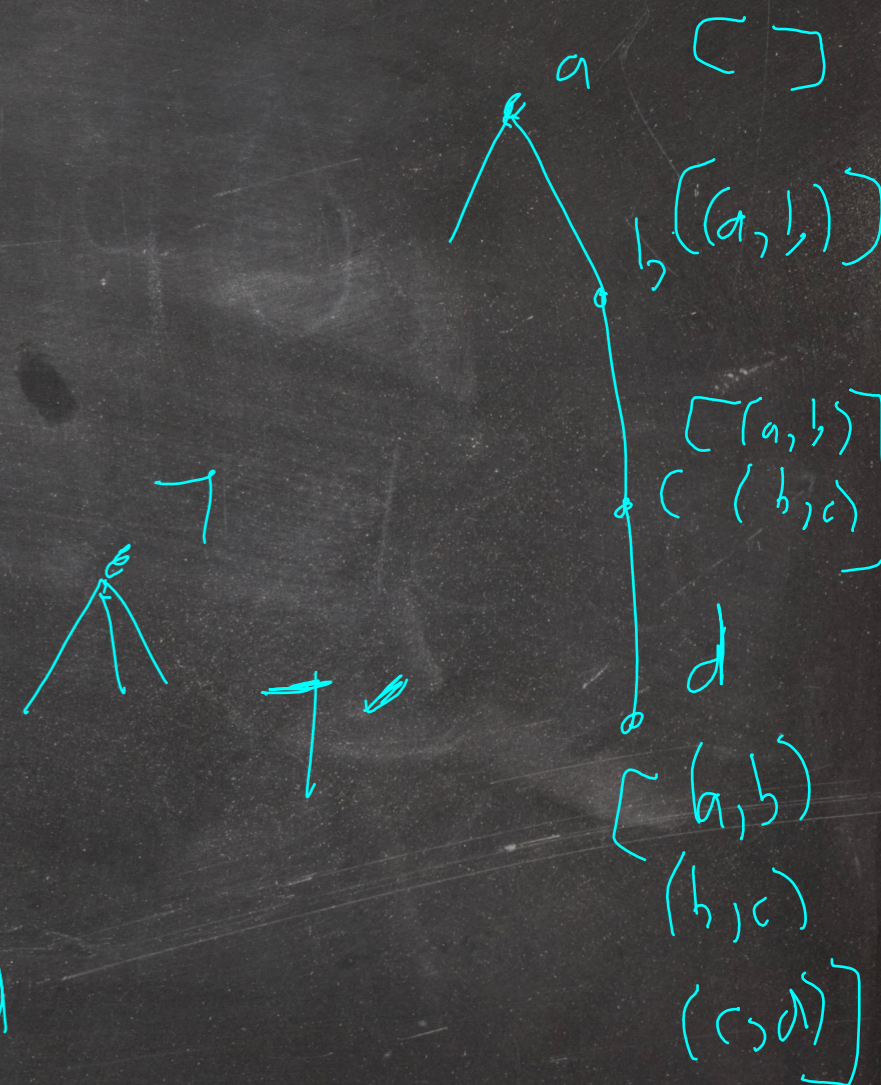
Finding the ancestor edges of all vertices:

## AncestorEdges( $F$ )

- 1  $L =$  an empty list of vertex-vertex list pairs
- 2 **for** every tree  $T \in F$
- 3     **do** AncestorEdges'( $T, [], L$ )
- 4 **return**  $L$

## AncestorEdges'( $T, A, L$ )

- 1  $L = L.append([(T.key, A)])$
- 2 **for** every child  $T'$  of  $T$
- 3     **do** AncestorEdges'( $T', [(T.key, T'.key)] ++ A, L$ )



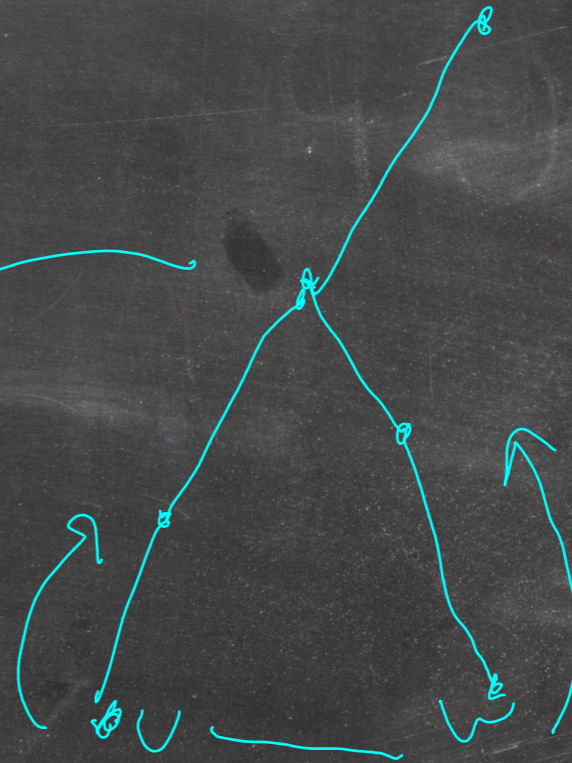
# Bipartiteness Testing

- Compute BFS forest  $F$  of  $G$ .
- Collect vertices on alternating levels of  $F$  into two sets  $(U, W)$ .
- Test whether any edge has both endpoints in the same set,  $U$  or  $W$ .
- If so, report the odd cycle induced by such an edge.
- Otherwise, report the bipartition  $(U, W)$ .

## Reporting an odd cycle:

### OddCycle( $L, (u, w)$ )

- 1 Find  $(u, A_u)$  and  $(w, A_w)$  in  $L$
- 2  $C_u = C_w = []$
- 3 **while**  $A_u.head \neq A_w.head$
- 4     **do**  $C_u.append(A_u.head)$
- 5          $C_w.append(A_w.head)$
- 6          $A_u = A_u.tail$
- 7          $A_w = A_w.tail$
- 8  $C_u.reverse().concat([(u, w)]).concat(C_w)$
- 9 **return**  $C_u$



# Bipartiteness Testing

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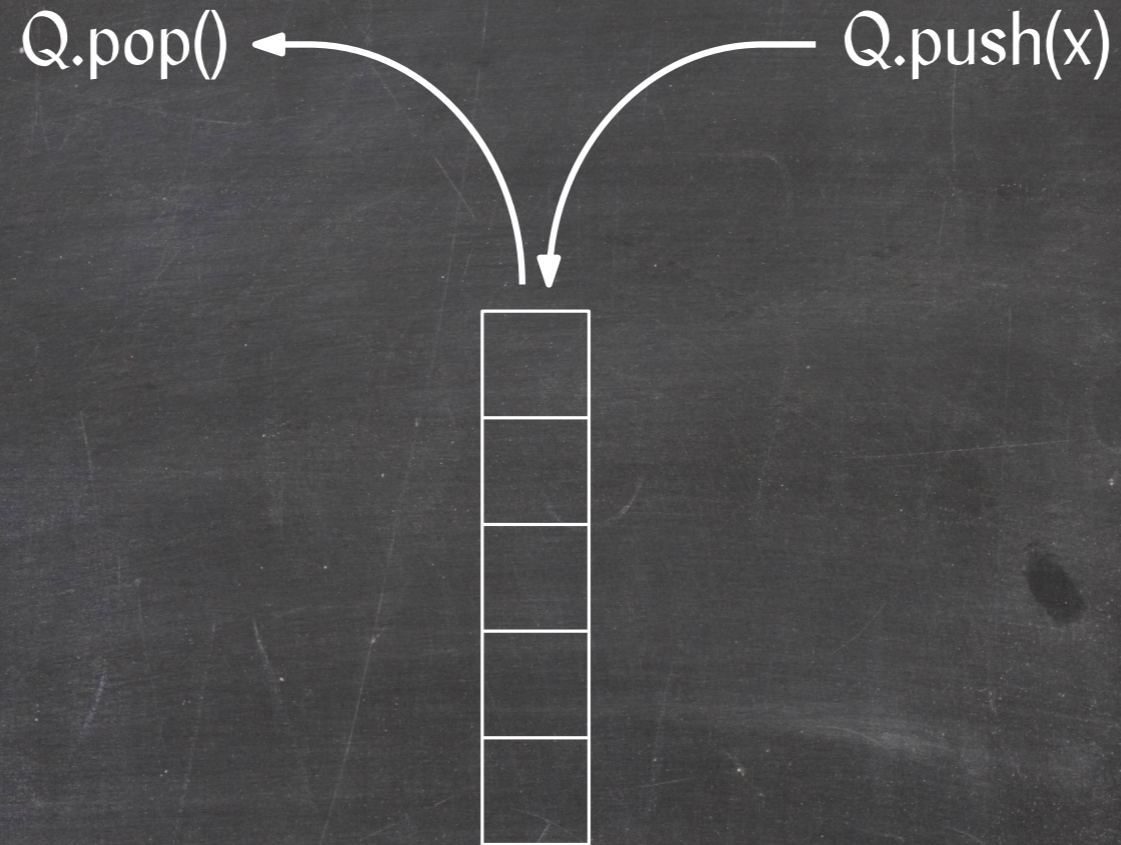
**Lemma:** It takes linear time to test whether a graph  $G$  is bipartite and either report a valid bipartition or an odd cycle in  $G$ .



# Depth-First Search

Depth-first search (DFS) = graph traversal using a **stack** to implement Q.

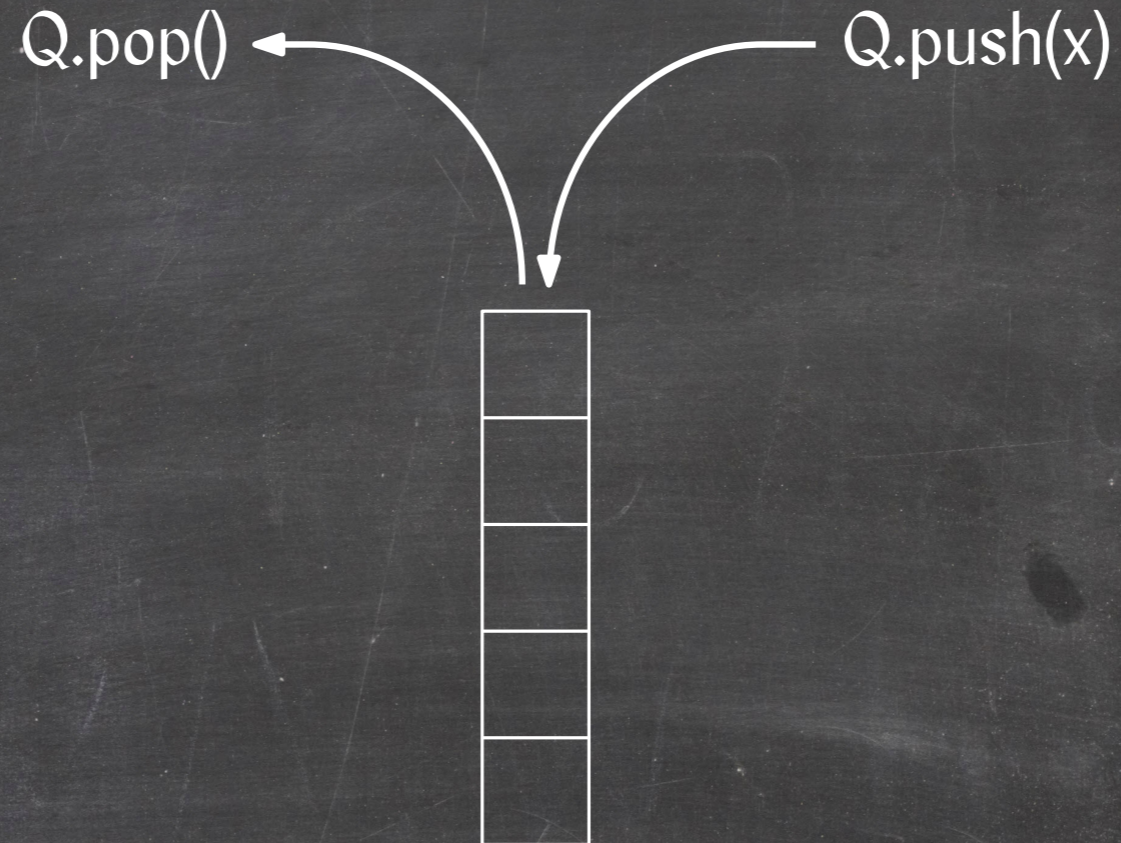
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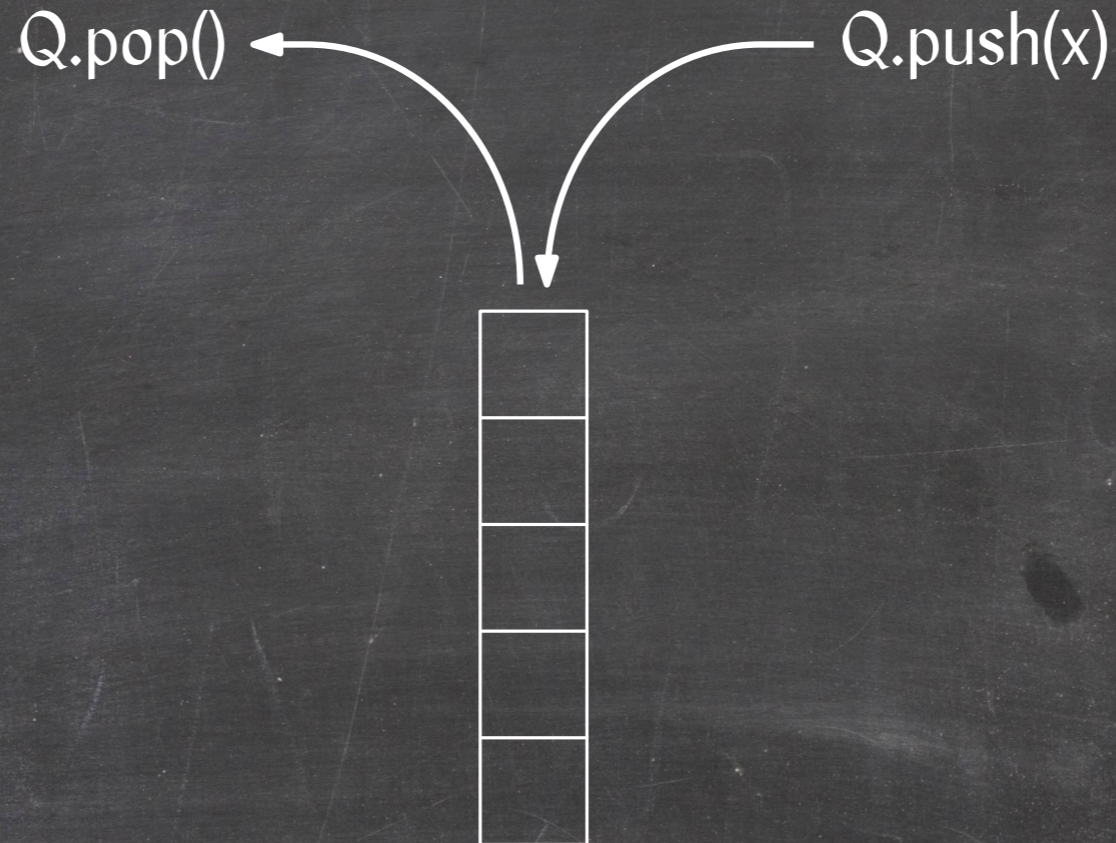
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- Resizable array (amortized constant cost)



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**Constant-time implementations:**

- Singly-linked list
- Resizable array (amortized constant cost)

**Lemma:** Depth-first search takes  $O(n + m)$  time.

# Depth-First Search and Preorder

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It visits every node after its parent:

- $v$  is visited when the edge  $(\text{parent}(v), v)$  is popped.
- The edge  $(\text{parent}(v), v)$  must be pushed before this can happen.
- The edge  $(\text{parent}(v), v)$  is pushed when  $\text{parent}(v)$  is visited.

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It visits the vertices in each subtree consecutively.

**Observation:** An edge with one explored and one unexplored endpoint is on the stack.

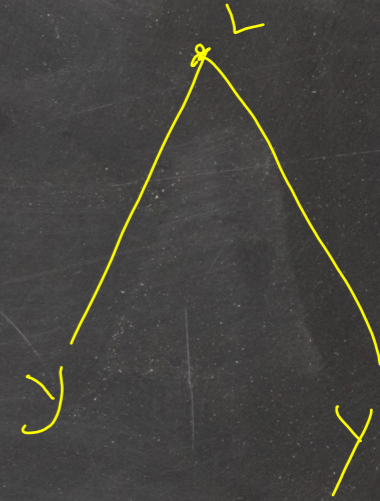
# Depth-First Search and Preorder

Assume there exist two vertices  $x$  and  $y$  such that

- $y$  is not a descendant of  $x$ ,
- $y$  is visited after  $x$ , and
- $y$  is visited before some descendant  $z$ .

Choose  $y$  and  $z$  so that

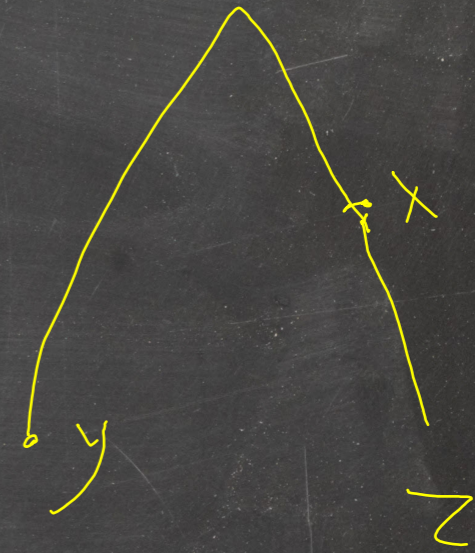
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**Case 1:**  $y$  is a root.

Cannot happen because the edge  $(\text{parent}(z), z)$  is on the stack when  $y$  is visited and the stack is empty when a root is visited.



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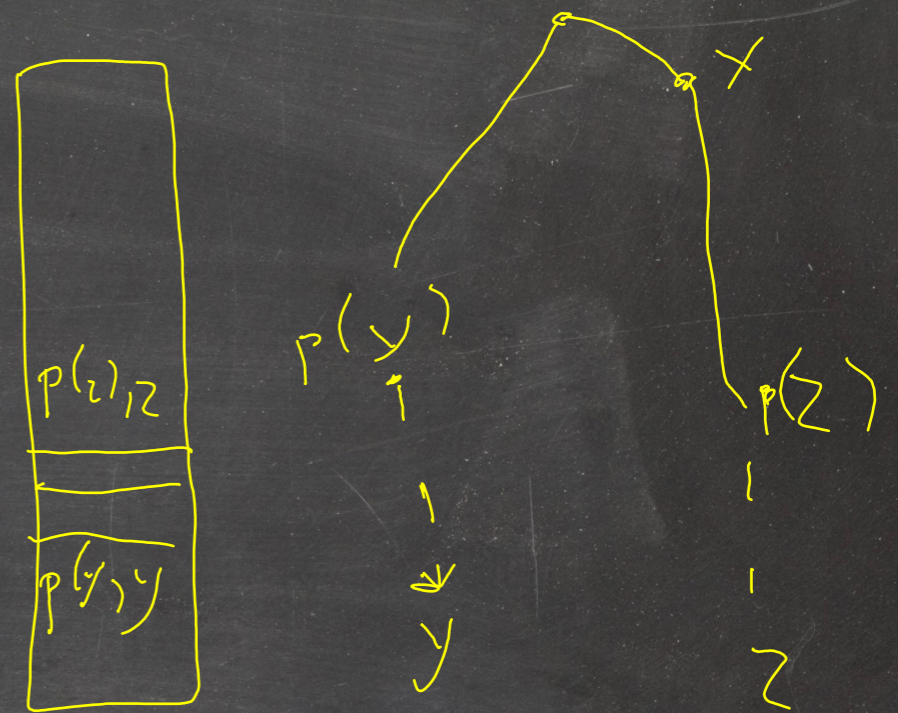
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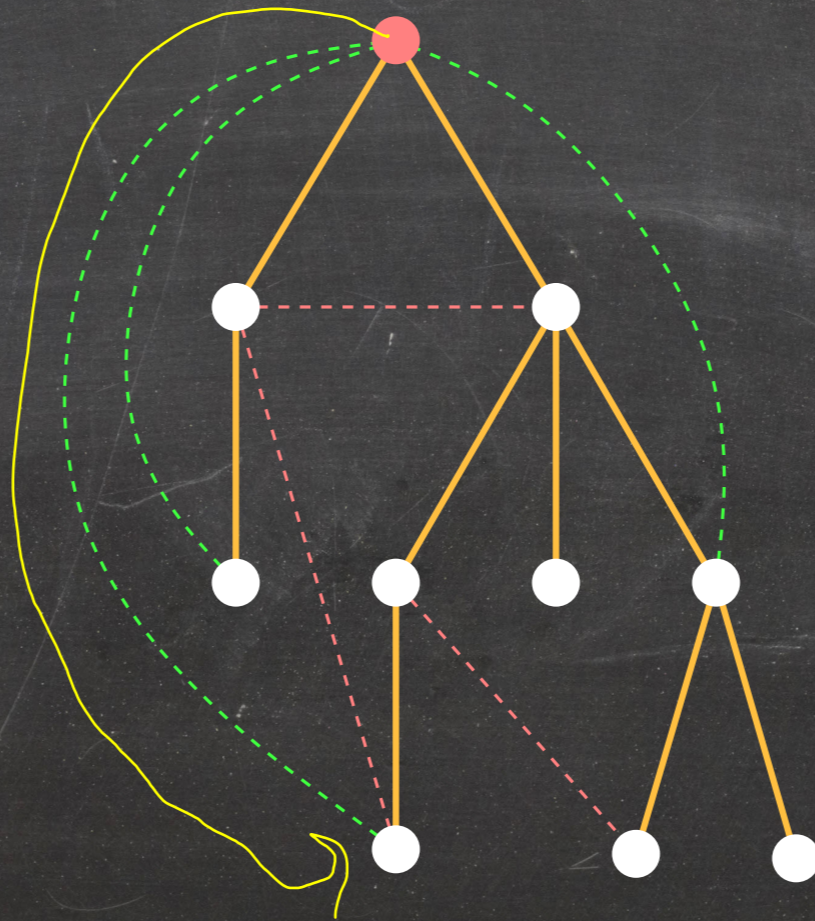
$\Rightarrow$   $z$  is visited before  $y$ , contradiction.



# A Property of Undirected DFS Forests

## Three types of edges:

- **Tree edge**  $(u, w)$ :  $u$  is  $w$ 's parent in  $F$ .
- **Cross edge**  $(u, w)$ : Neither  $u$  nor  $w$  is an ancestor of the other.
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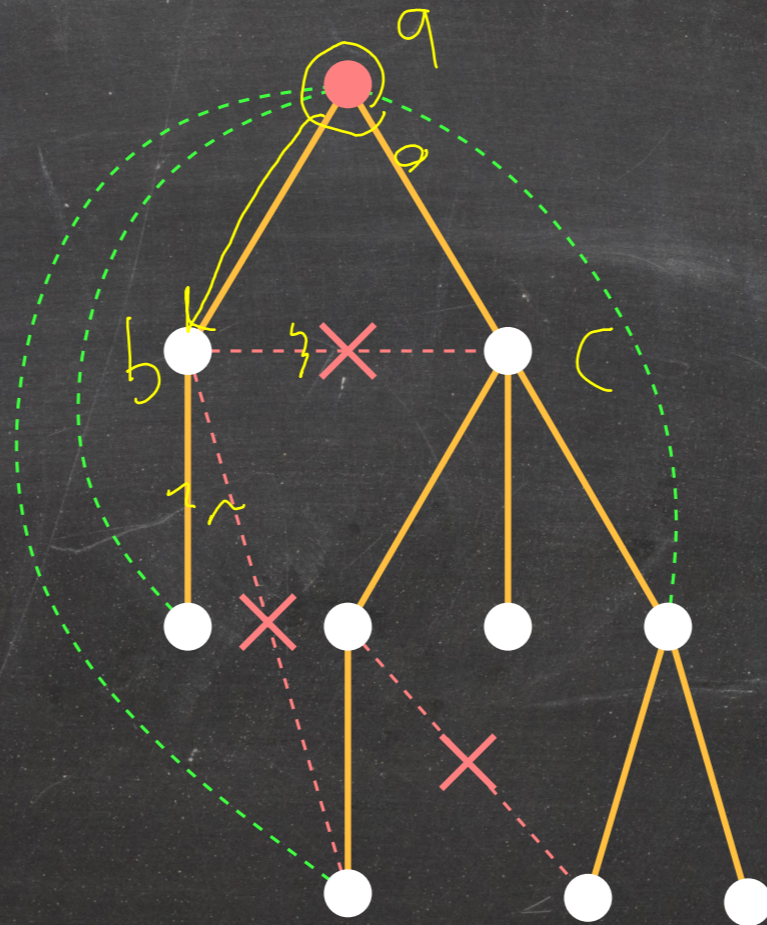


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**Lemma:** All edges of an undirected graph  $G$  are tree or back edges with respect to a DFS forest of  $G$ .



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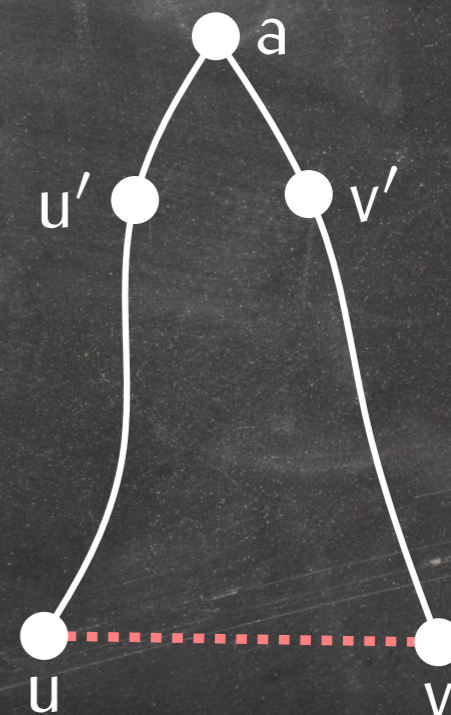
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Assume  $u < v$  in preorder.





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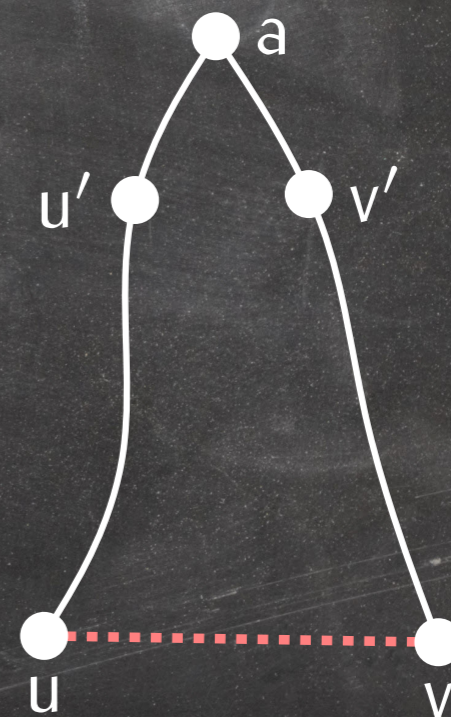
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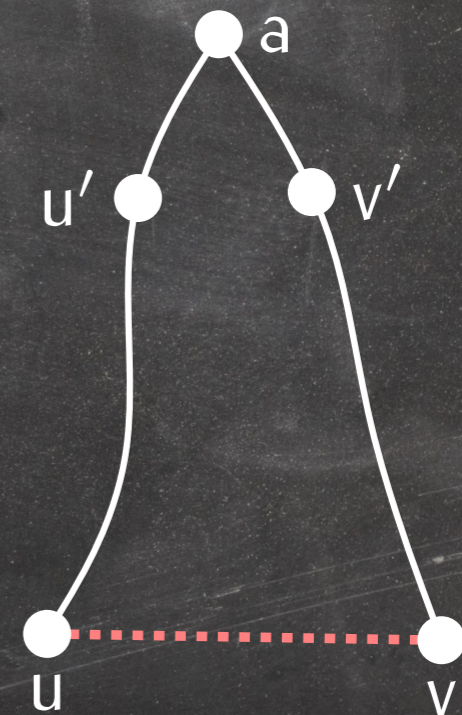
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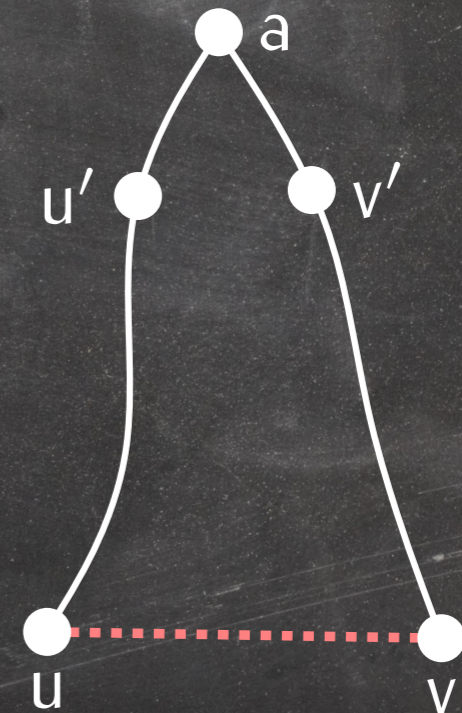
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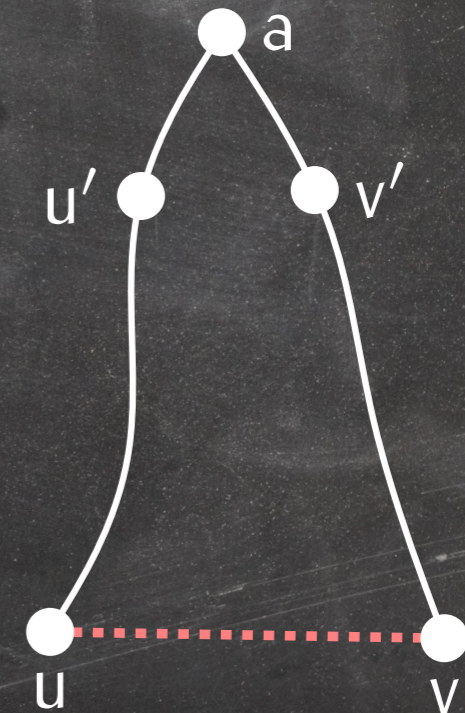
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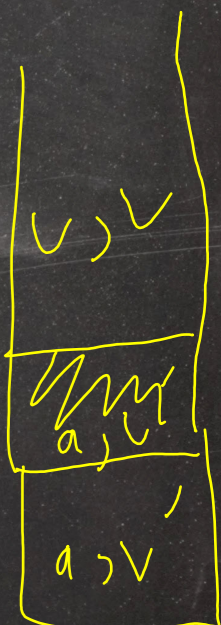
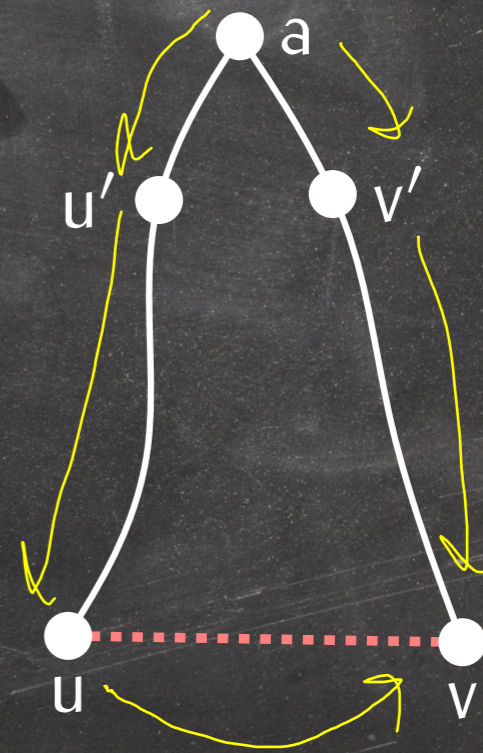
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Assume  $u < v$  in preorder.

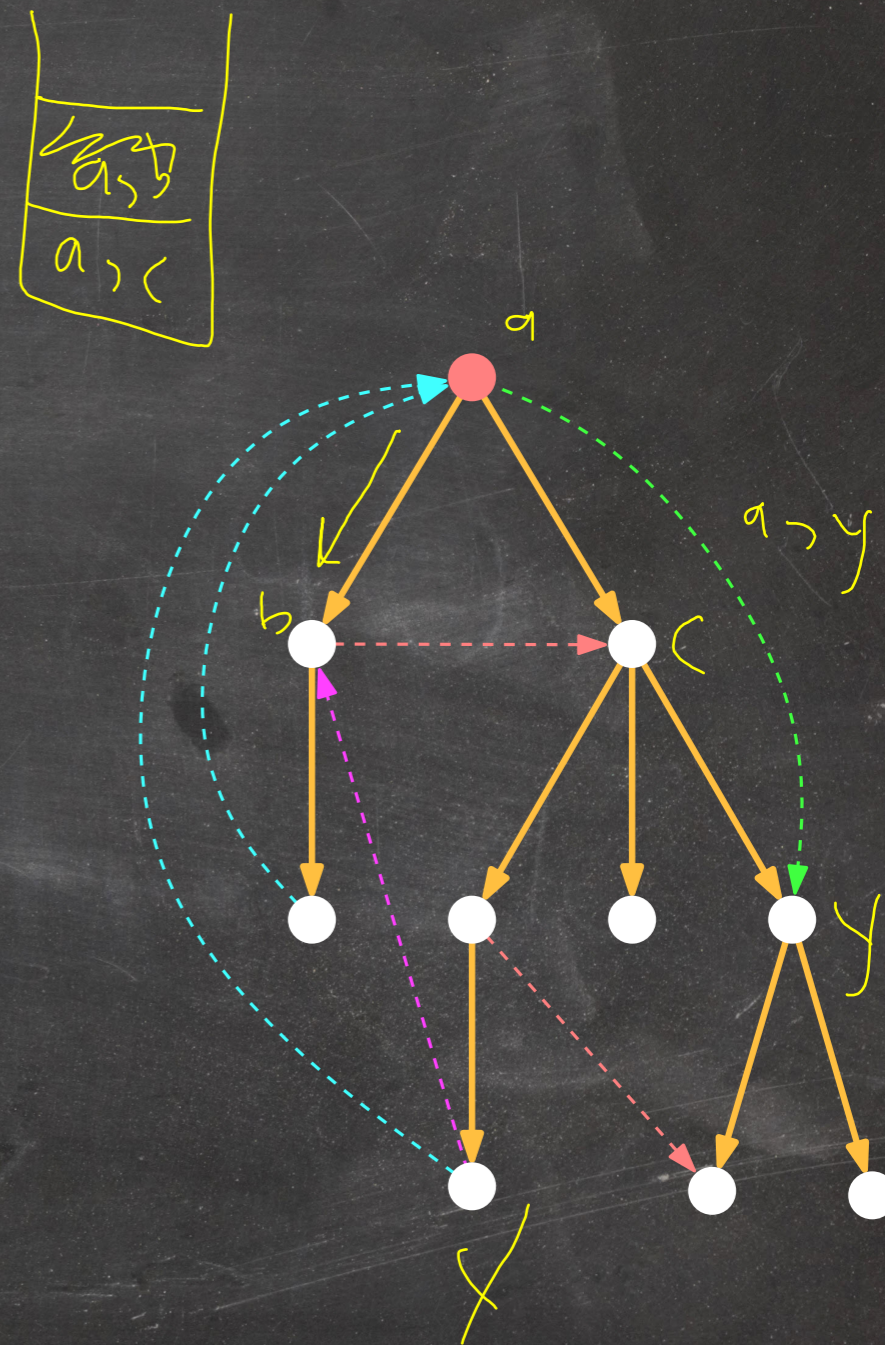
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- $\Rightarrow$  The edge  $(u, v)$  is popped before  $(a, v')$  is popped.
- $\Rightarrow$   $v$  is unexplored when the edge  $(u, v)$  is popped, a contradiction.



# A Property of Directed DFS Forests

## Five types of edges:

- **Tree edge**  $(u, w)$ :  $u$  is  $w$ 's parent in  $F$ .
- **Forward edge**  $(u, w)$ :  $u$  is an ancestor of  $w$ .
- **Back edge**  $(u, w)$ :  $w$  is an ancestor of  $u$ .
- **Forward cross edge**  $(u, w)$ : Neither  $u$  nor  $w$  is an ancestor of the other,  $u < w$  in preorder/postorder.
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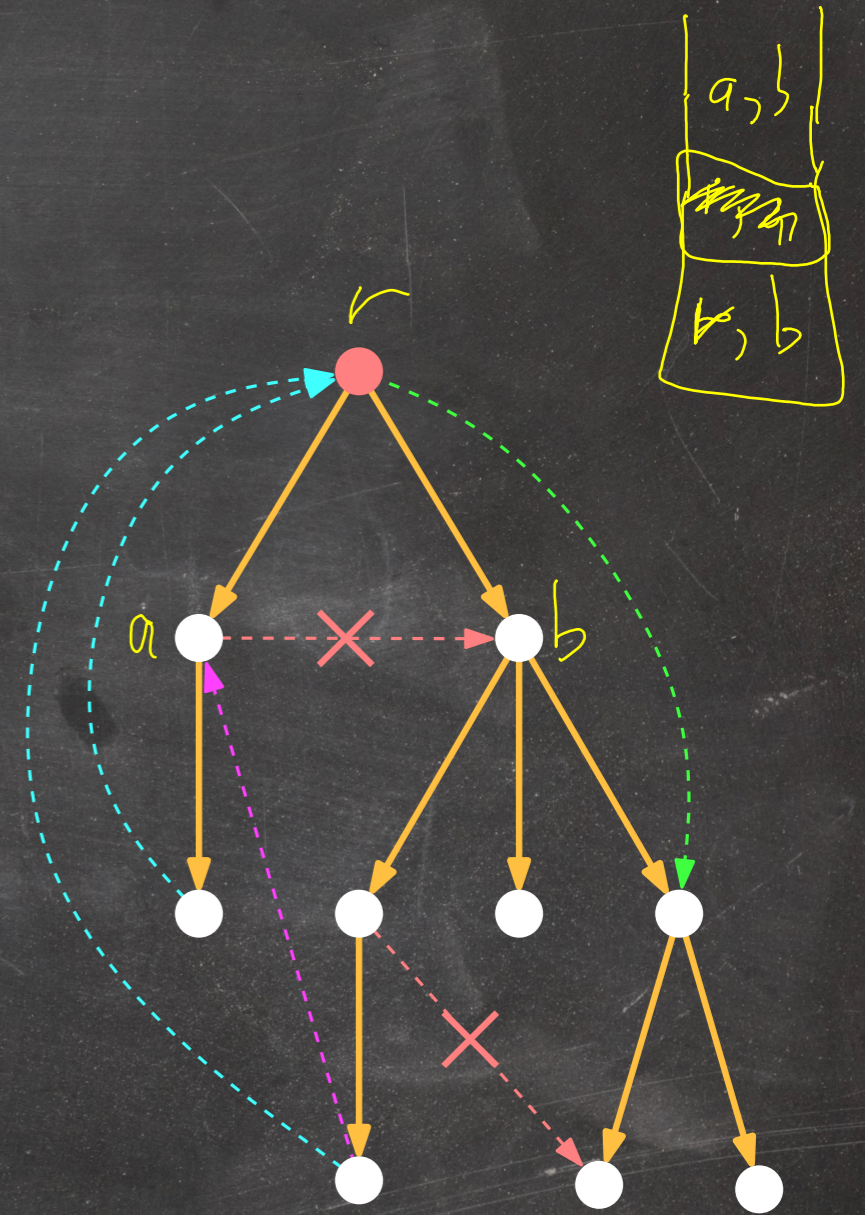


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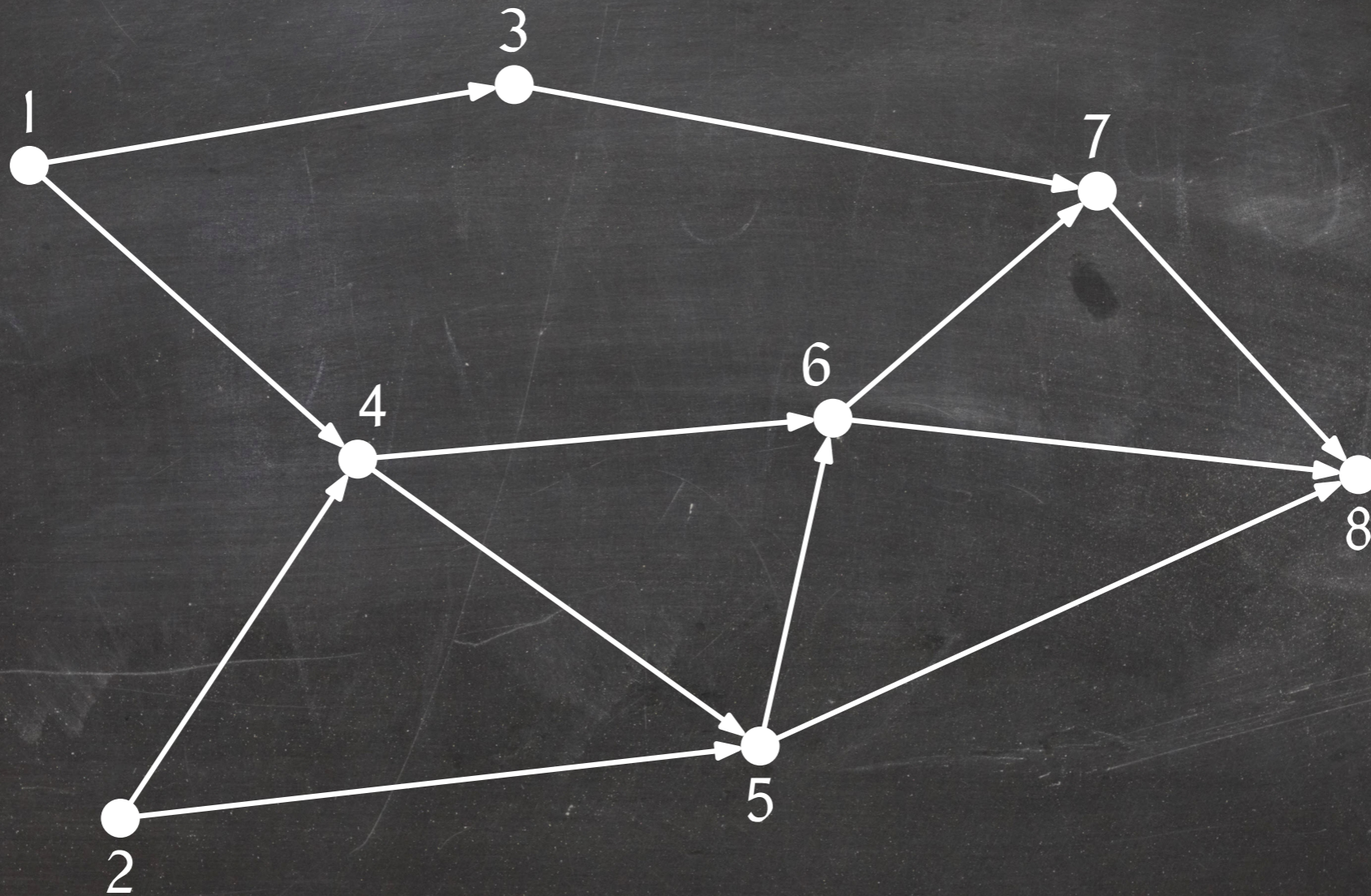
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**Lemma:** A directed graph  $G$  does not contain any forward cross edges with respect to a DFS forest of  $G$ .



# Topological Sorting

A **topological ordering** of a directed graph is an ordering  $<$  of the vertex set of  $G$  such that  $u < v$  for every edge  $(u, v) \in G$ .

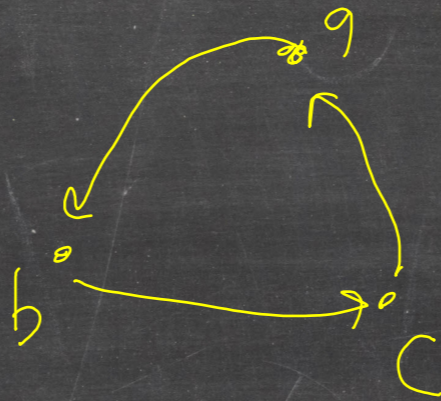




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**Lemma:** A graph  $G$  has a topological ordering if and only if it contains no directed cycle.

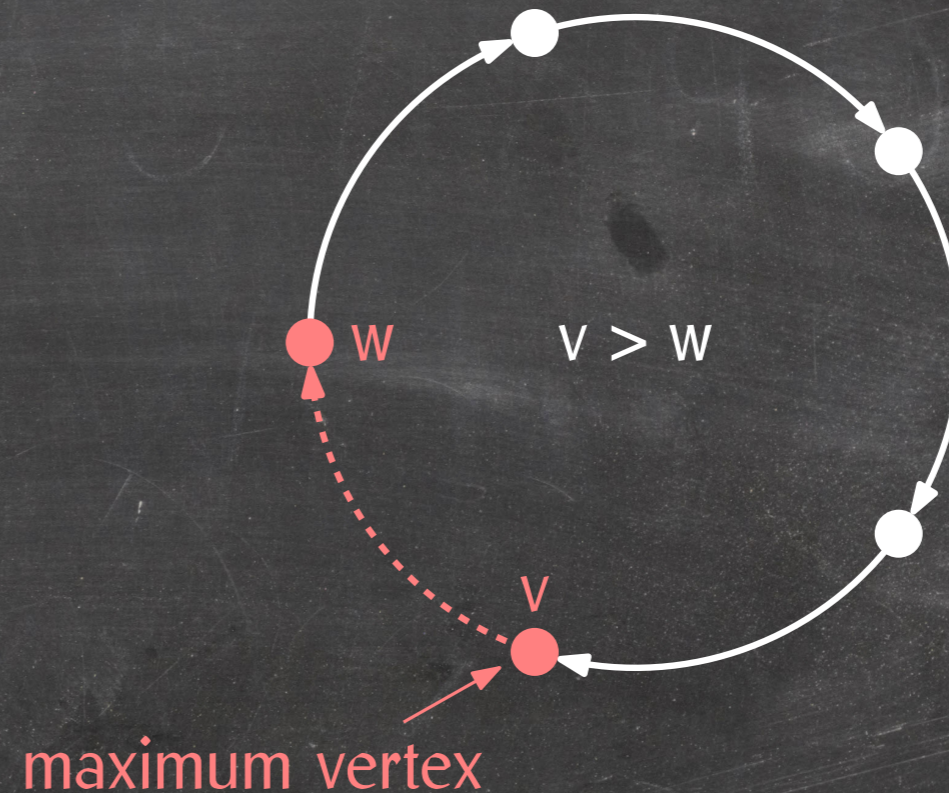


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If there's a cycle, there is no topological ordering.



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We prove that, if there is no cycle, there is always a source (vertex of in-degree 0).

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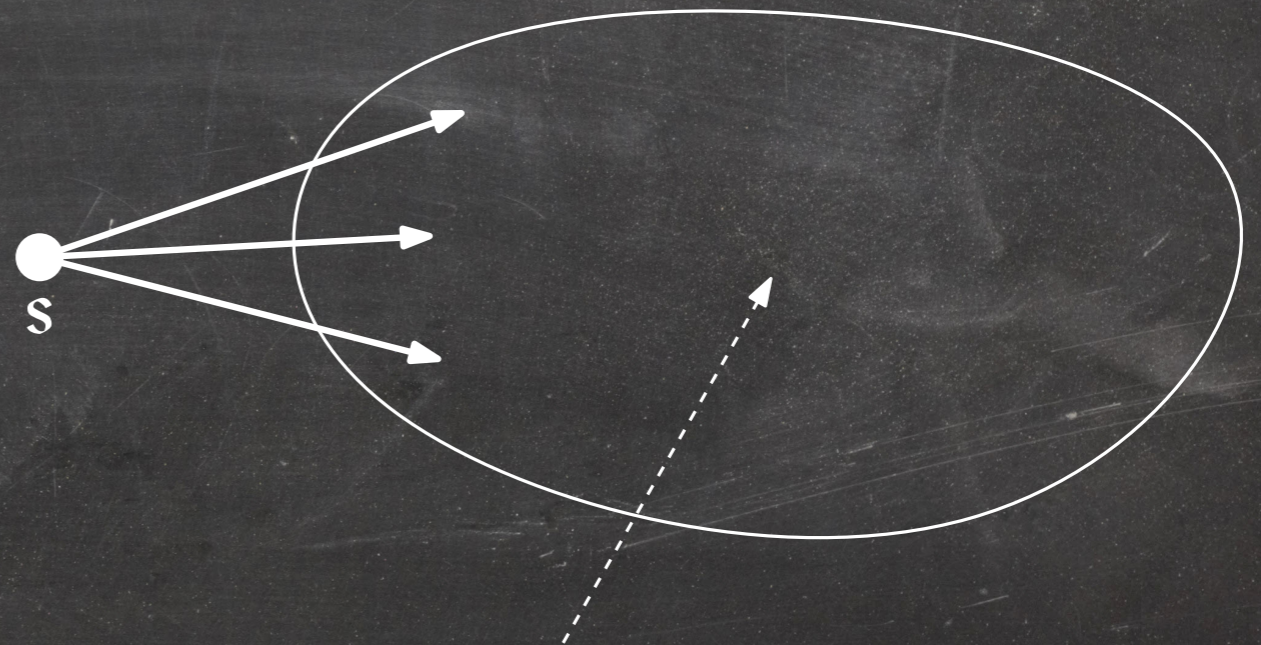
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$\Rightarrow$  The following algorithm produces a topological ordering:

- Give  $s$  the smallest number.
- Recursively number the rest of the vertices.



Cannot contain a cycle since  $G$  contains no cycle.

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For an edge  $(u, v)$ ,

- $R(u) \supseteq R(v)$
- $u \in R(u)$
- $u \notin R(v)$  (otherwise there'd be a cycle)

$\Rightarrow R(u) \supset R(v)$ .



# Topological Sorting

A **topological ordering** of a directed graph is an ordering  $<$  of the vertex set of  $G$  such that  $u < v$  for every edge  $(u, v) \in G$ .

**Lemma:** A graph  $G$  has a topological ordering if and only if it contains no directed cycle.

We prove that, if there is no cycle, there is always a source (vertex of in-degree 0).

Let  $R(v)$  be the set of vertices reachable from  $v$ .

For an edge  $(u, v)$ ,

- $R(u) \supseteq R(v)$
- $u \in R(u)$
- $u \notin R(v)$  (otherwise there'd be a cycle)

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Pick a vertex  $s$  such that  $|R(s)| \geq |R(v)|$  for all  $v \in G$ .

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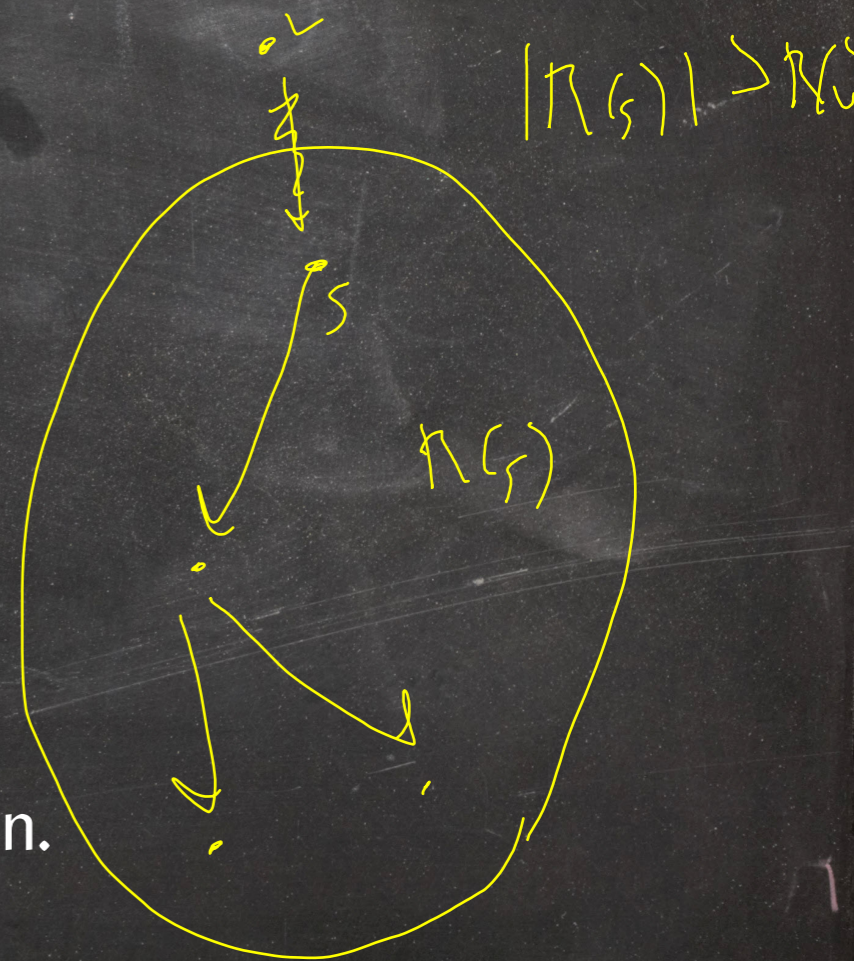
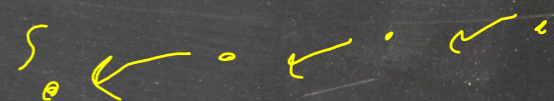
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If  $s$  had an in-neighbour  $u$ , then  $|R(u)| > |R(s)|$ , a contradiction.

$\Rightarrow s$  is a source.





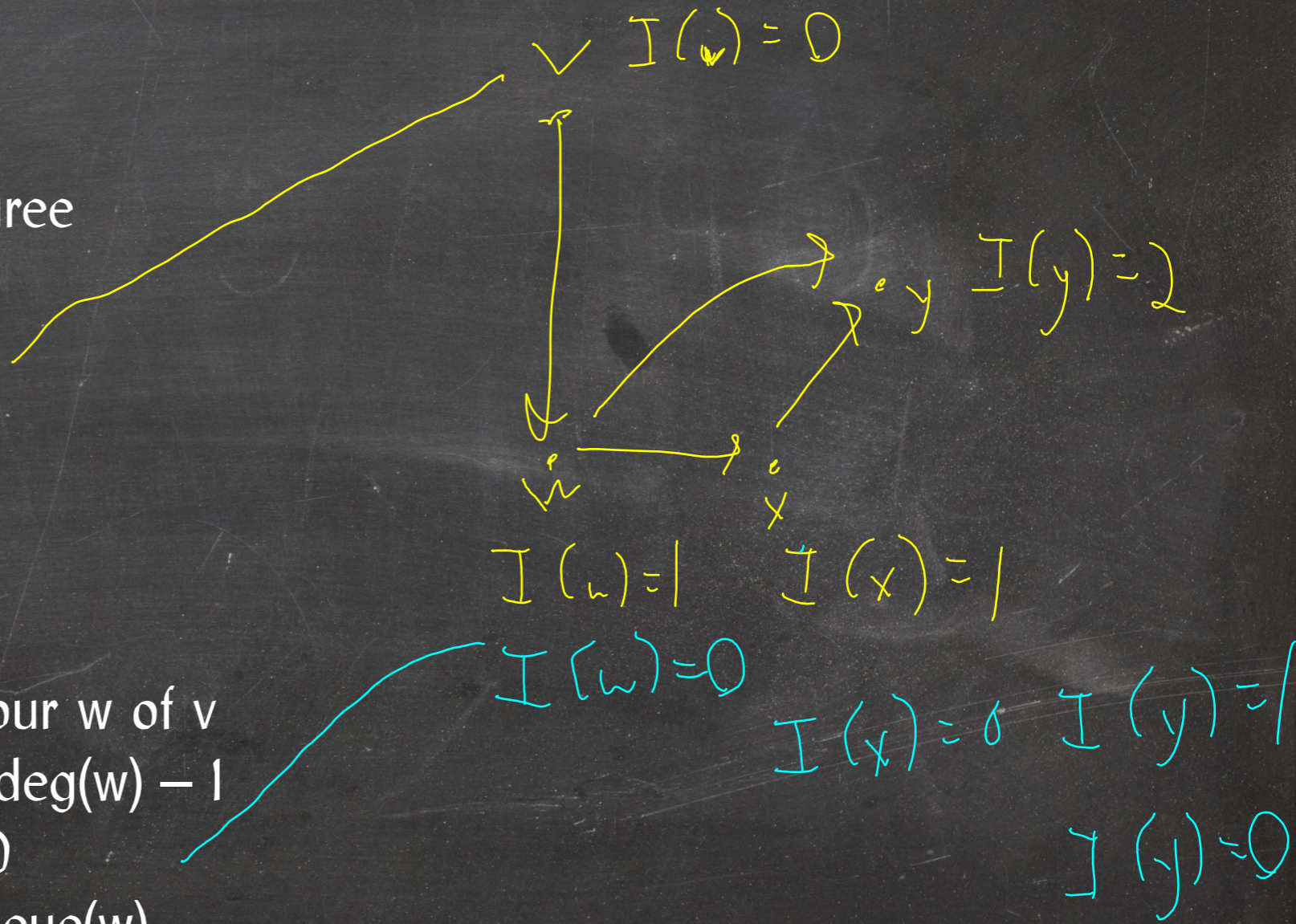
# Topological Sorting

**Lemma:** A topological ordering of a directed acyclic graph  $G$  can be computed in  $O(n + m)$  time.

## SimpleTopSort( $G$ )

$Q \left[ v \mid w \mid x \mid y \right]$

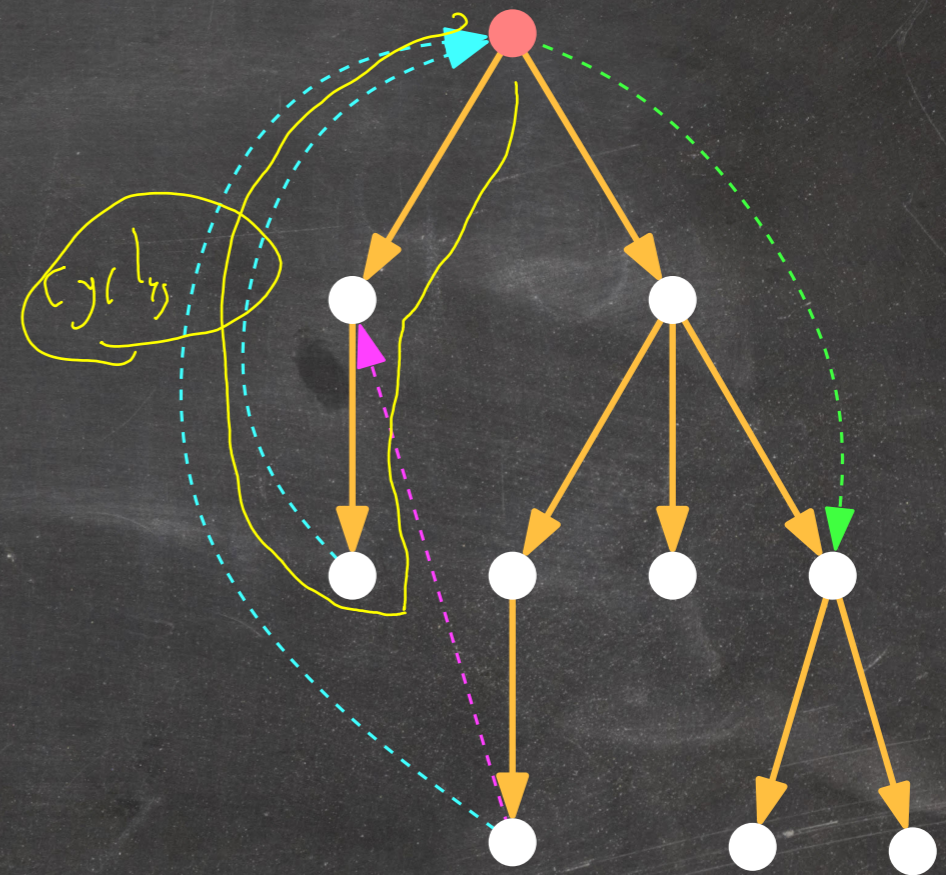
```
1  Q = an empty queue
2  for every vertex  $v \in G$ 
3      do label  $v$  with its in-degree
4      if  $\text{in-deg}(v) = 0$ 
5          then  $Q.\text{enqueue}(v)$ 
6  O = []
7  while not Q.isEmpty()
8      do  $v = Q.\text{dequeue}()$ 
9         O.append( $v$ )
10     for every out-neighbour  $w$  of  $v$ 
11         do  $\text{in-deg}(w) = \text{in-deg}(w) - 1$ 
12            if  $\text{in-deg}(w) = 0$ 
13                then  $Q.\text{enqueue}(w)$ 
14  return O
```



# Topological Sorting Using DFS

## Edges in a DFS forest:

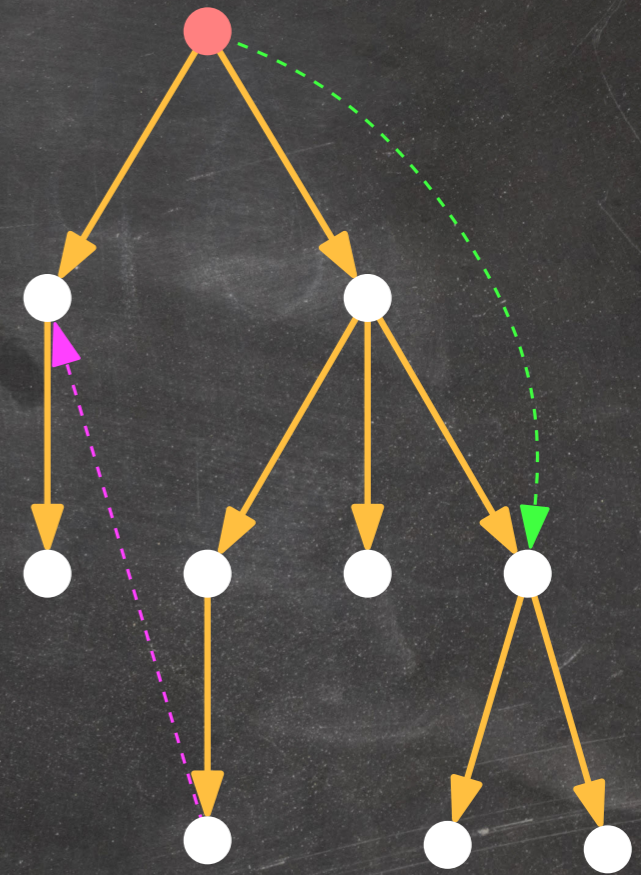
- **Tree edge**  $(u, w)$ :  $u$  is  $w$ 's parent in  $F$ .
- **Forward edge**  $(u, w)$ :  $u$  is an ancestor of  $w$ .
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- **Backward cross edge**  $(u, w)$ : Neither  $u$  nor  $w$  is an ancestor of the other,  $w < u$  in postorder.



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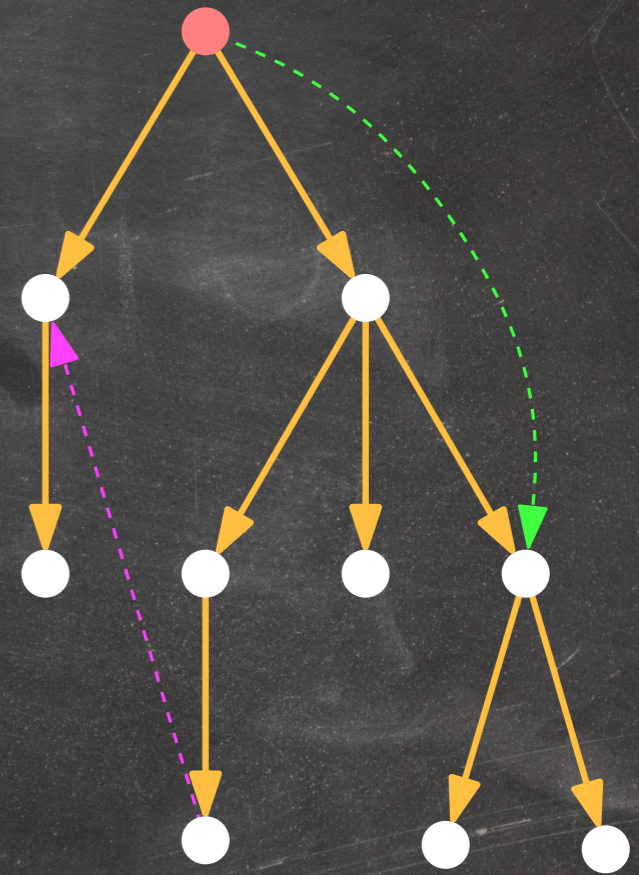


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For tree, forward, and backward cross edges  $(u, v)$ ,  $u > v$  in postorder.



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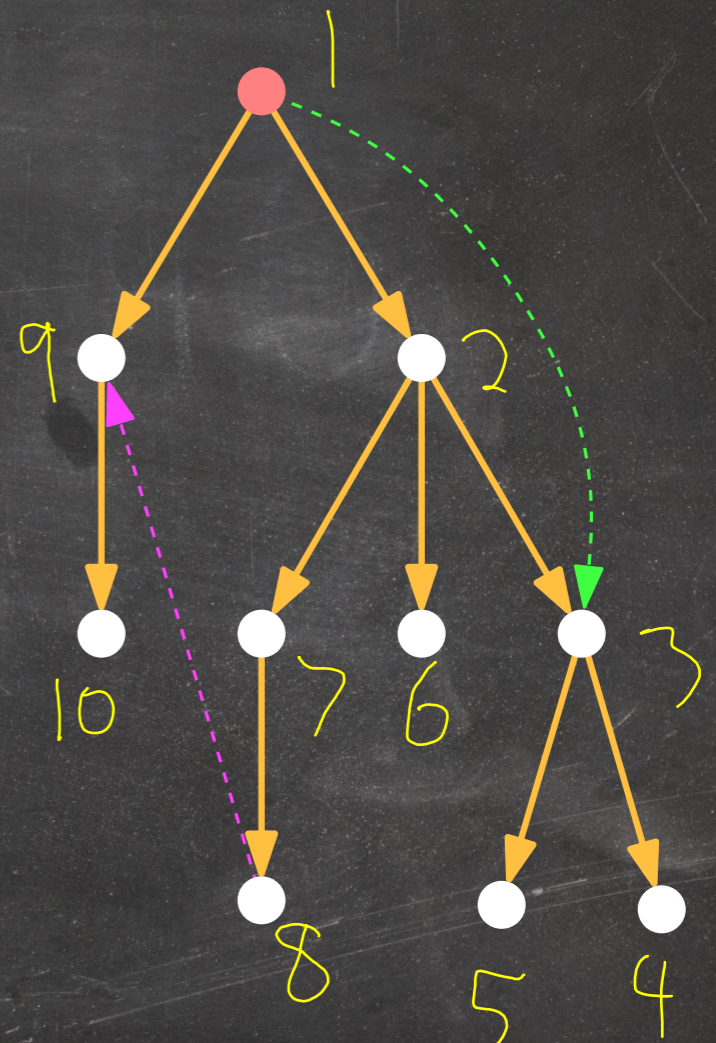
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⇒ Topological sorting algorithm:

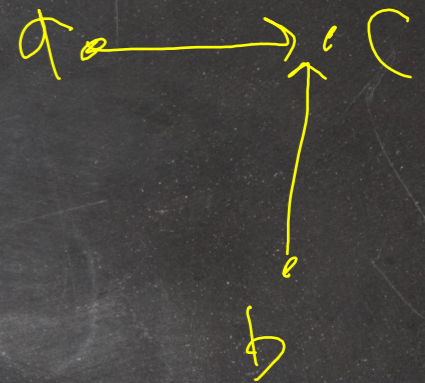
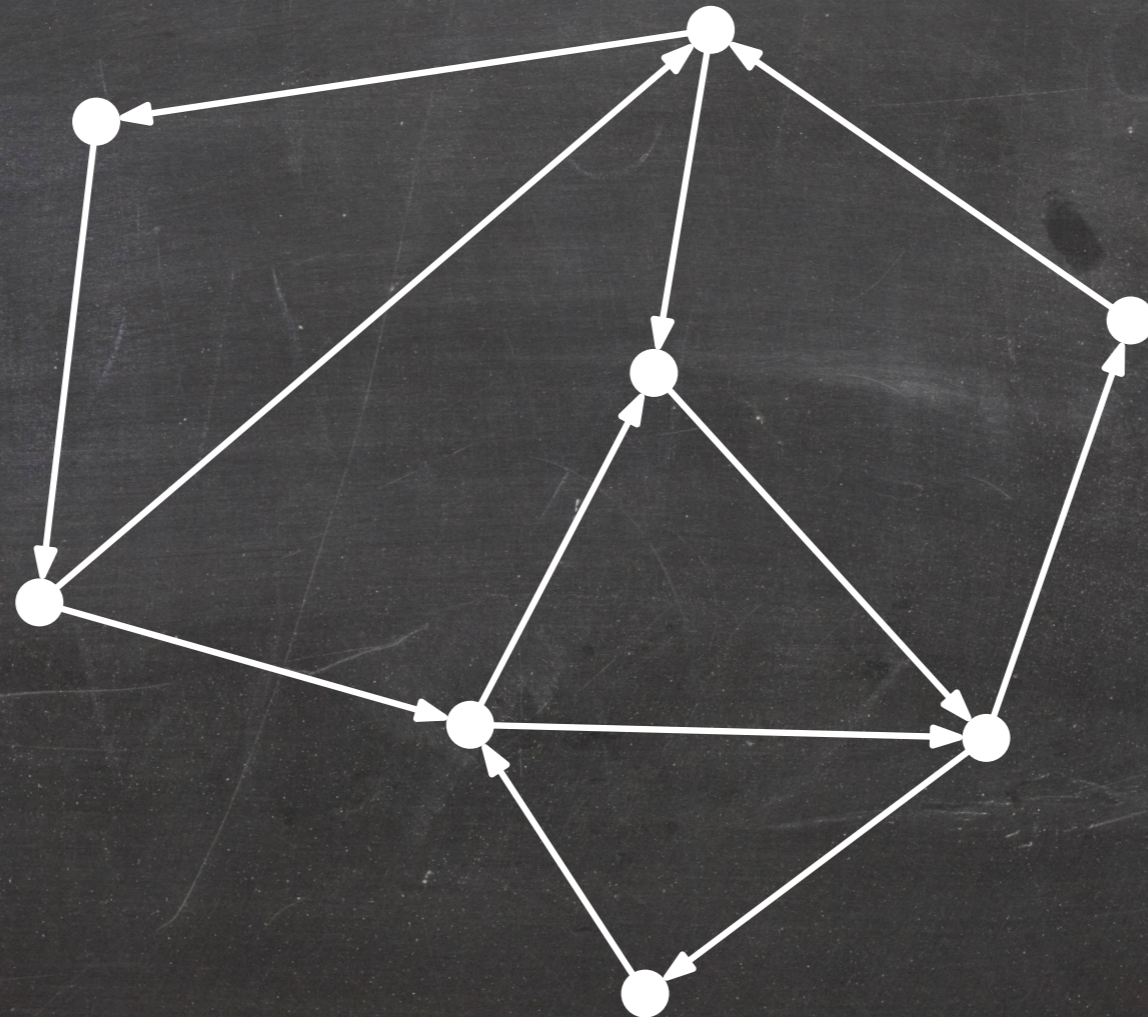
- Compute a DFS forest of  $G$ .
- Arrange the vertices in reverse postorder.

This takes  $O(n + m)$  time.



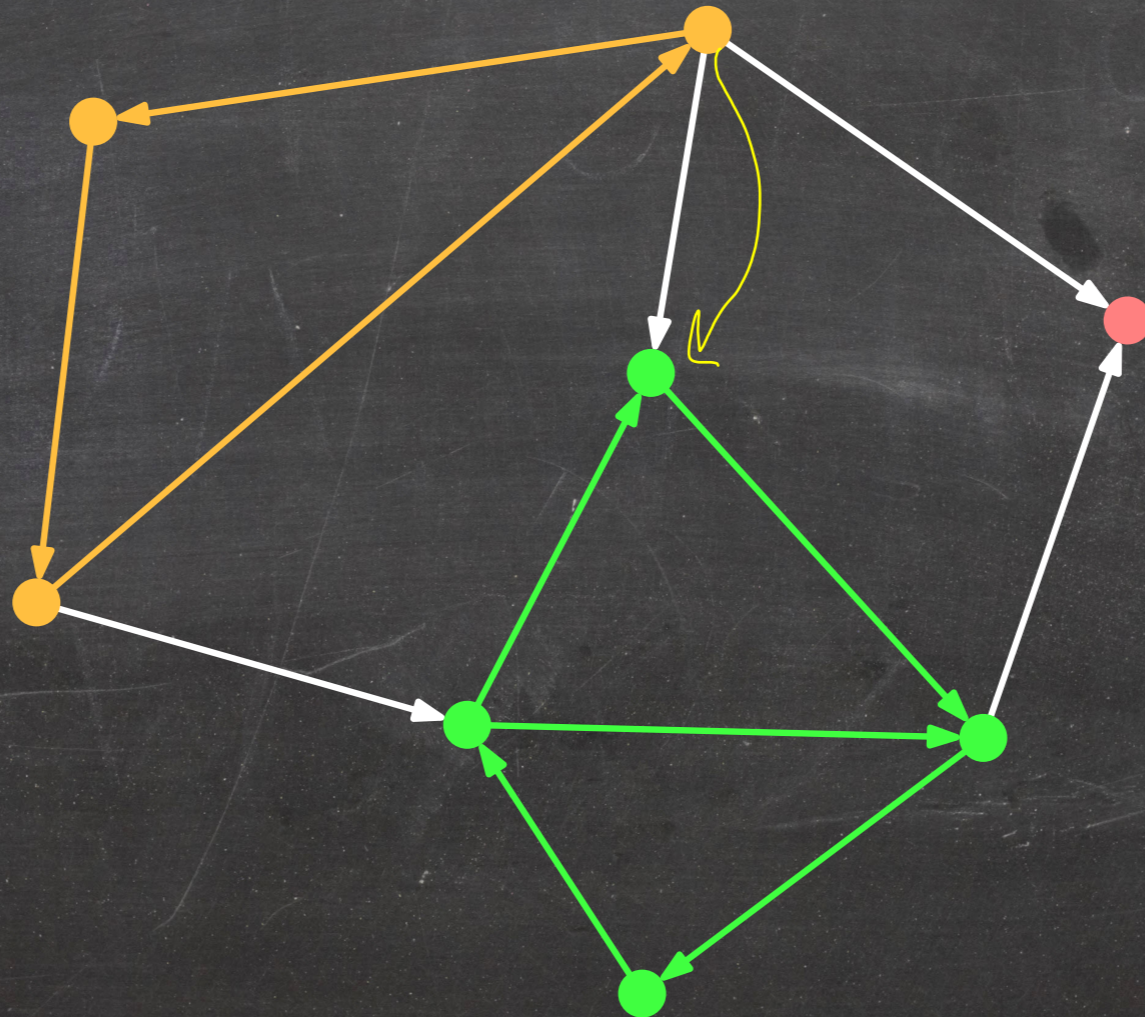
# Strongly Connected Components

A graph is **strongly connected** if there exists a path from  $u$  to  $w$  and from  $w$  to  $u$  for every pair of vertices  $u, w \in G$ .



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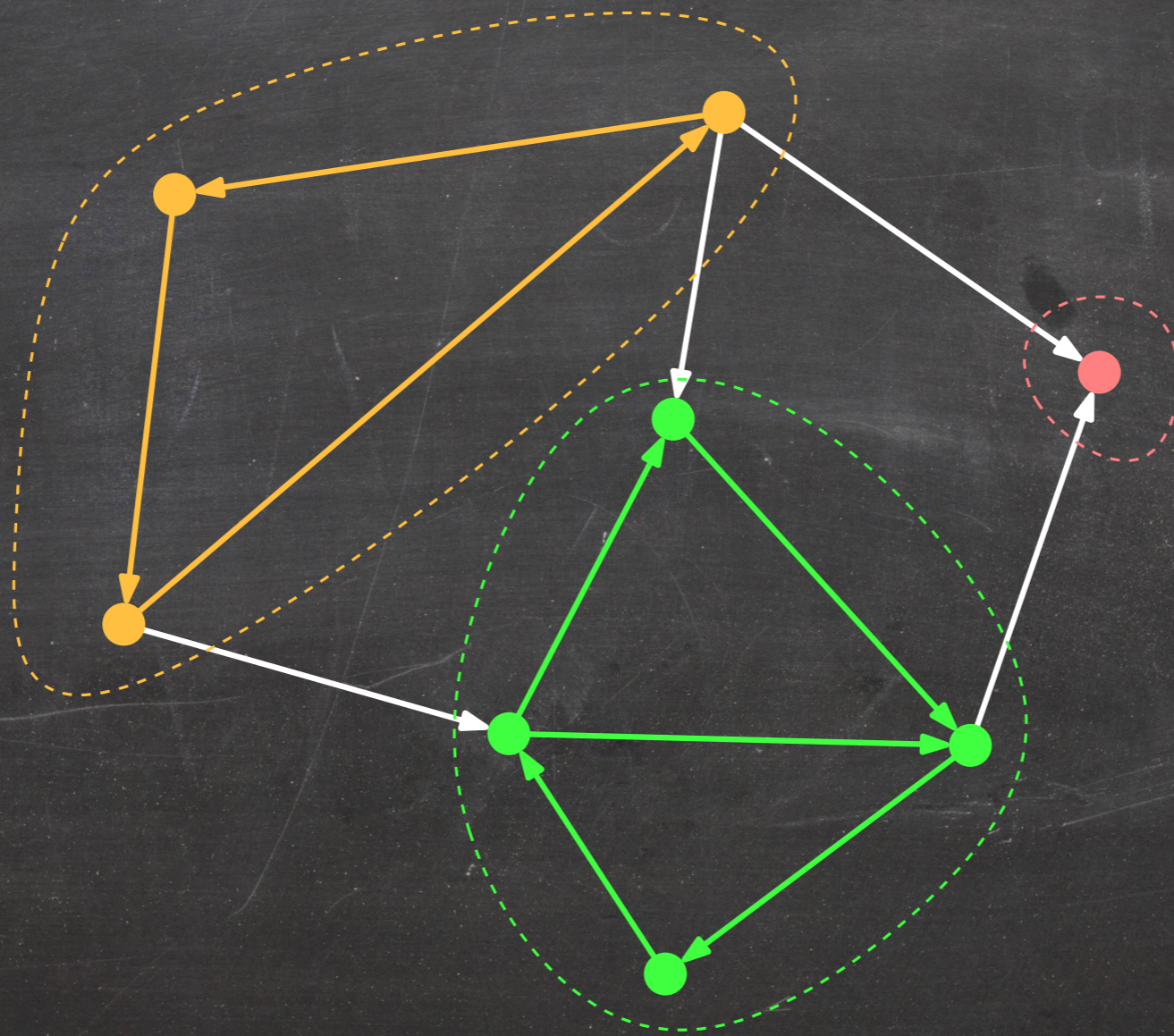
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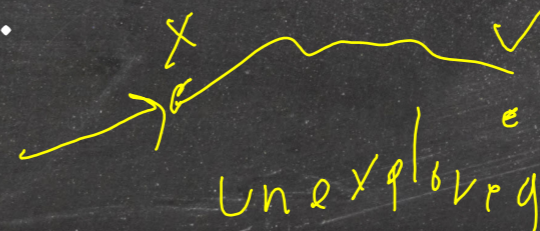
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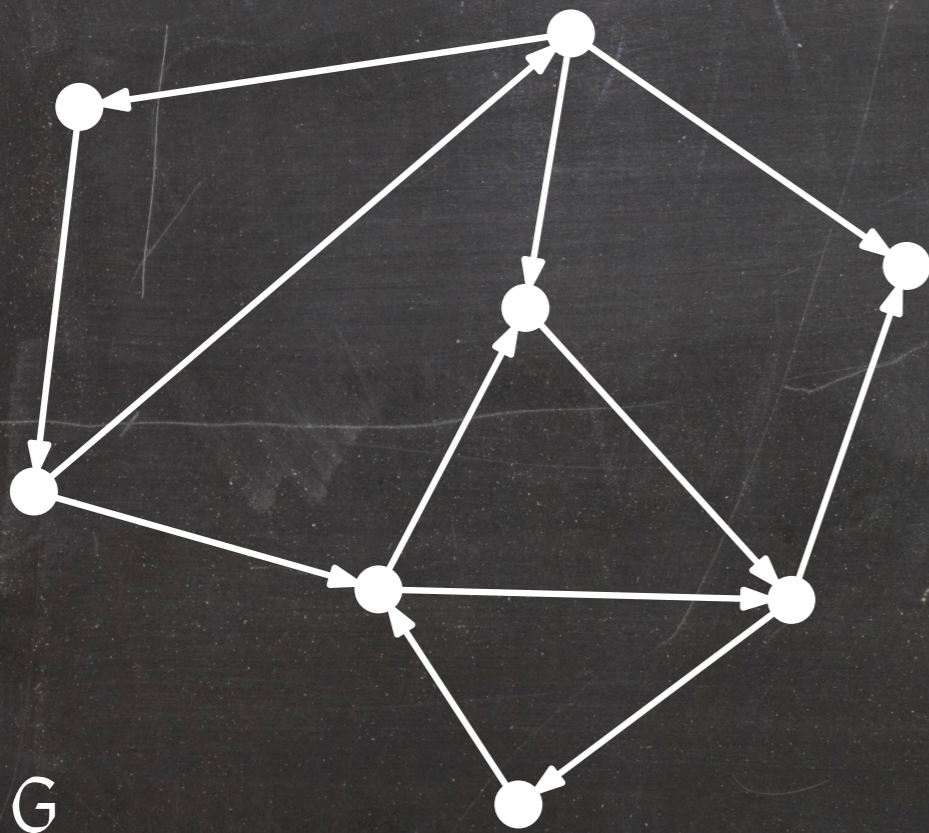
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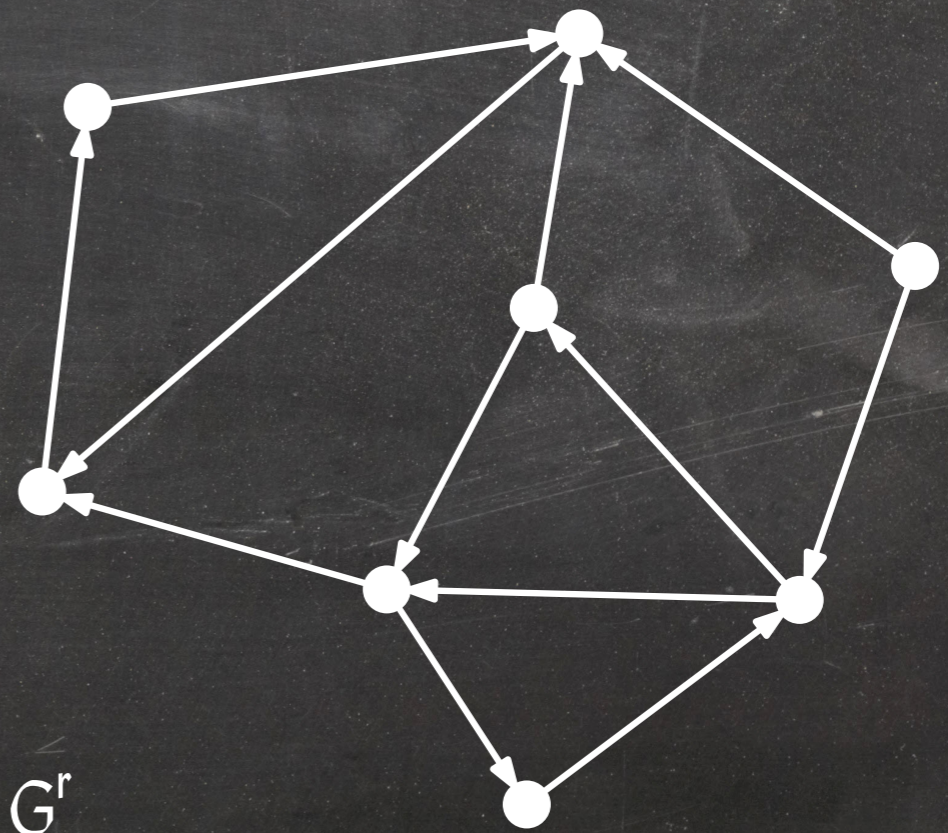
Since  $x_i < x_{i+1}$  in preorder, this implies that  $(x_i, x_{i+1})$  is a forward cross edge, a contradiction.

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For a graph  $G = (V, E)$ , let  $G^r = (V, E^r)$ , where  $E^r = \{(v, u) \mid (u, v) \in E\}$ .



$G$

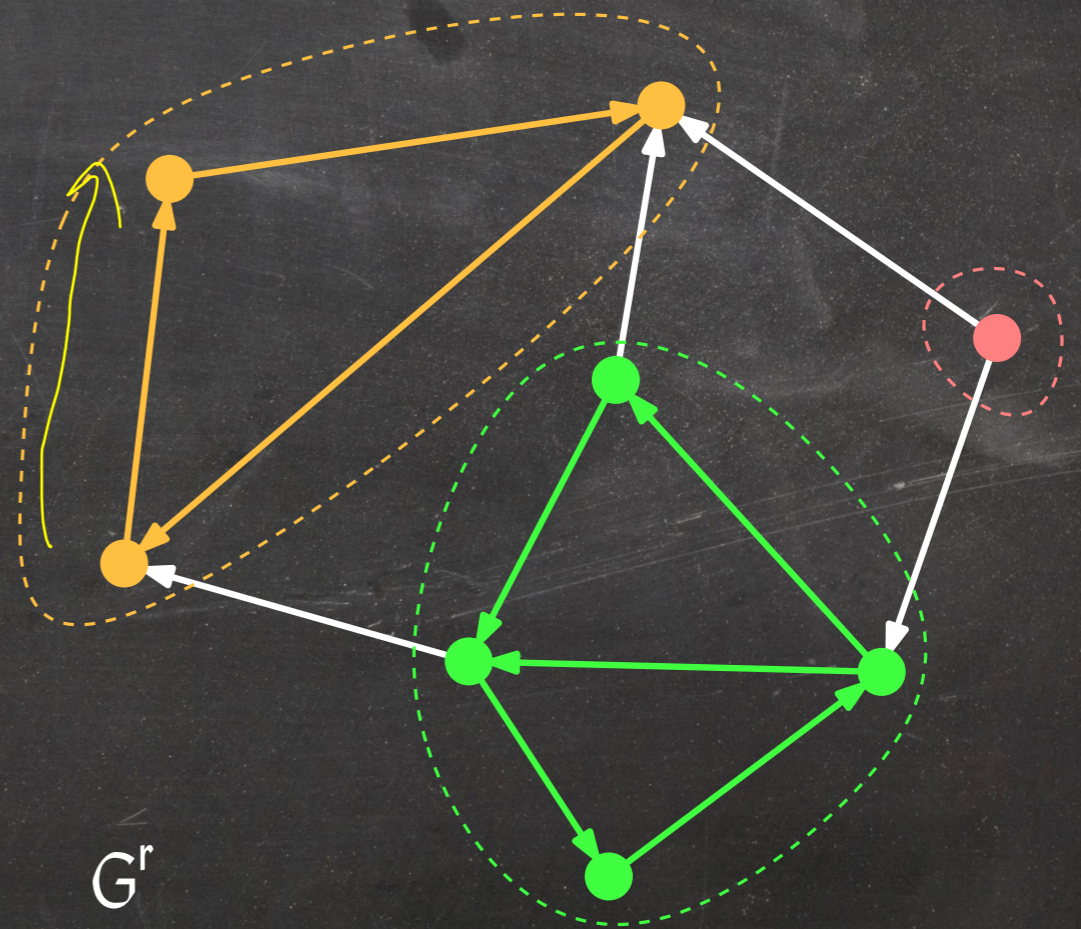
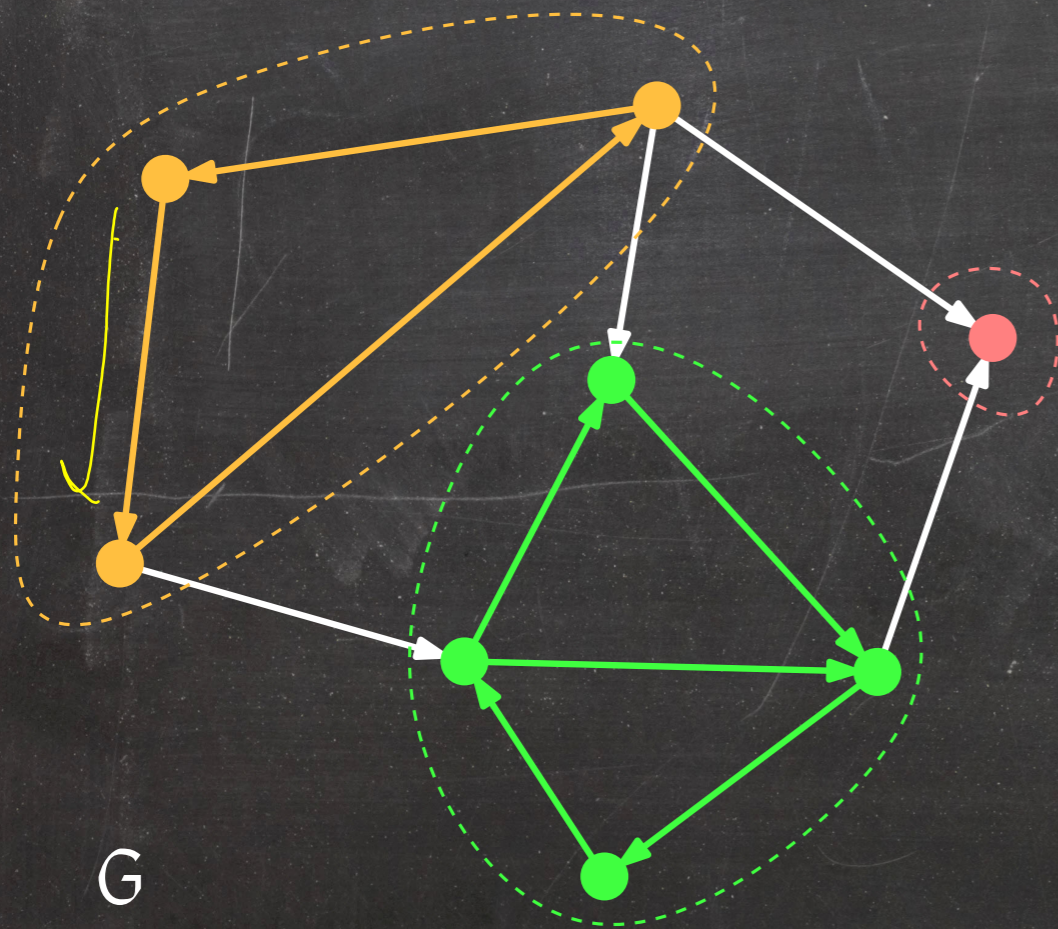


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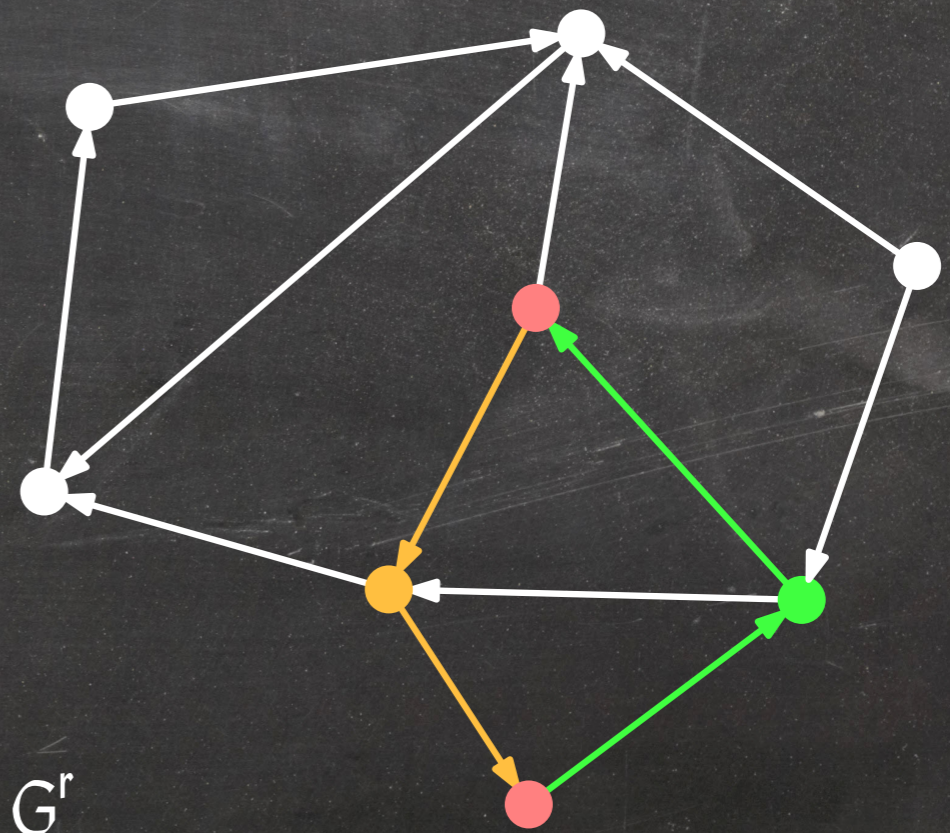
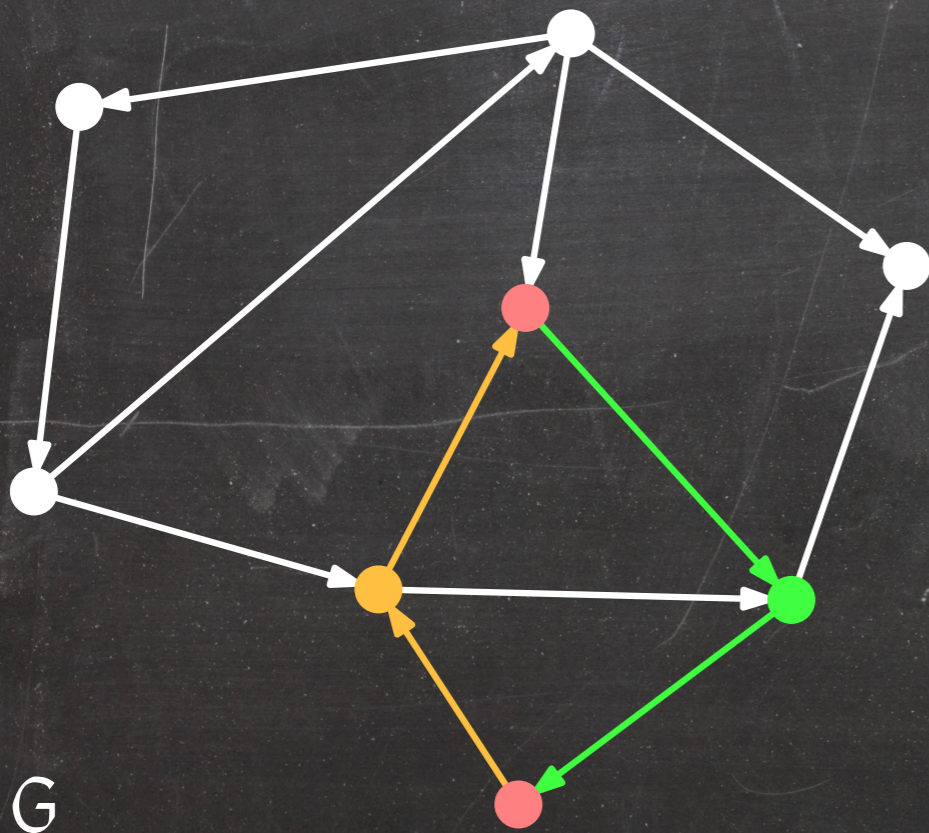


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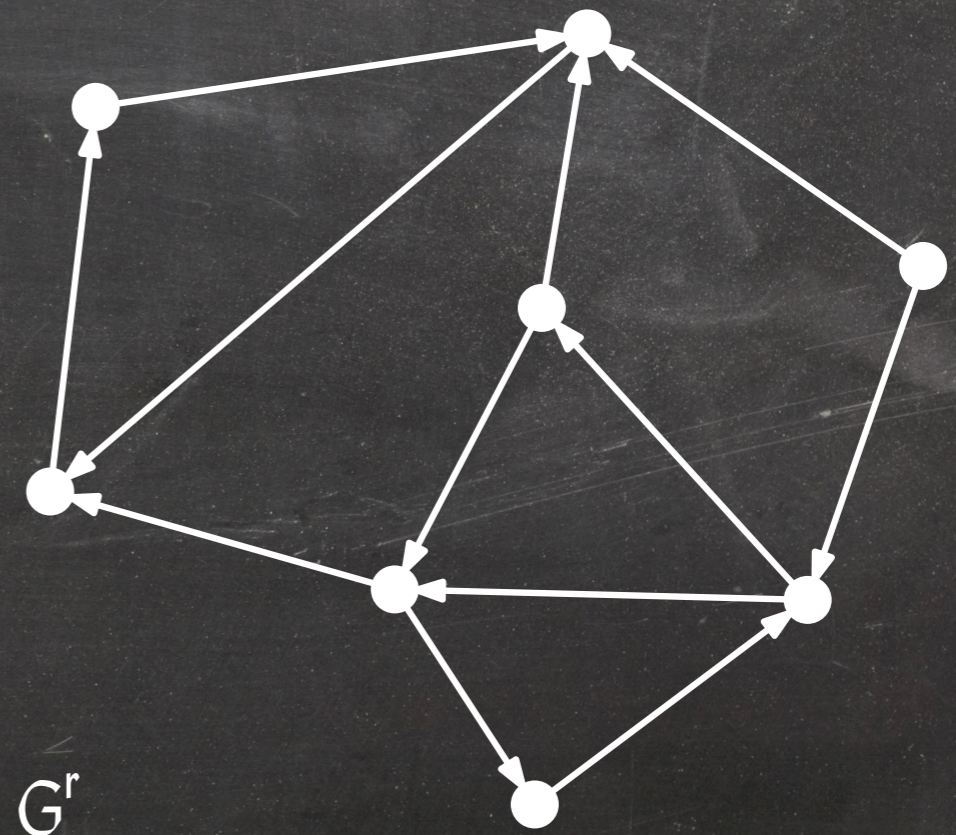
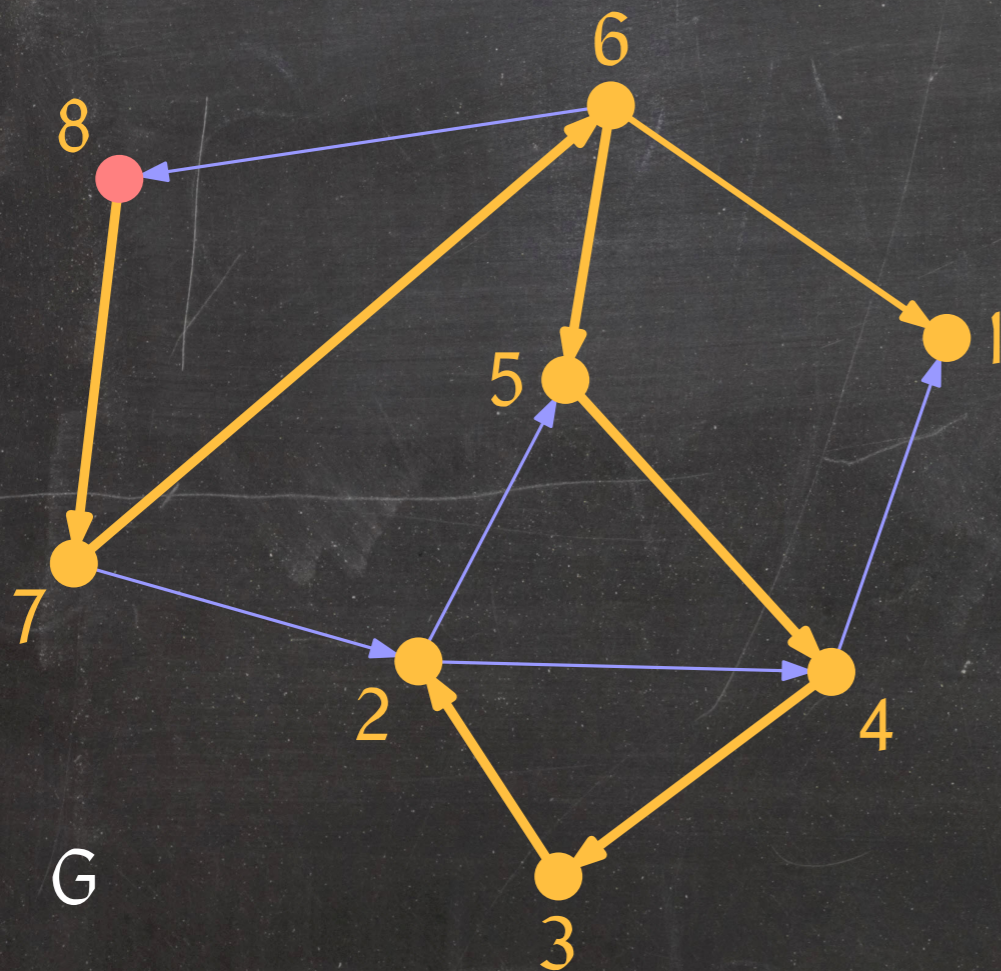
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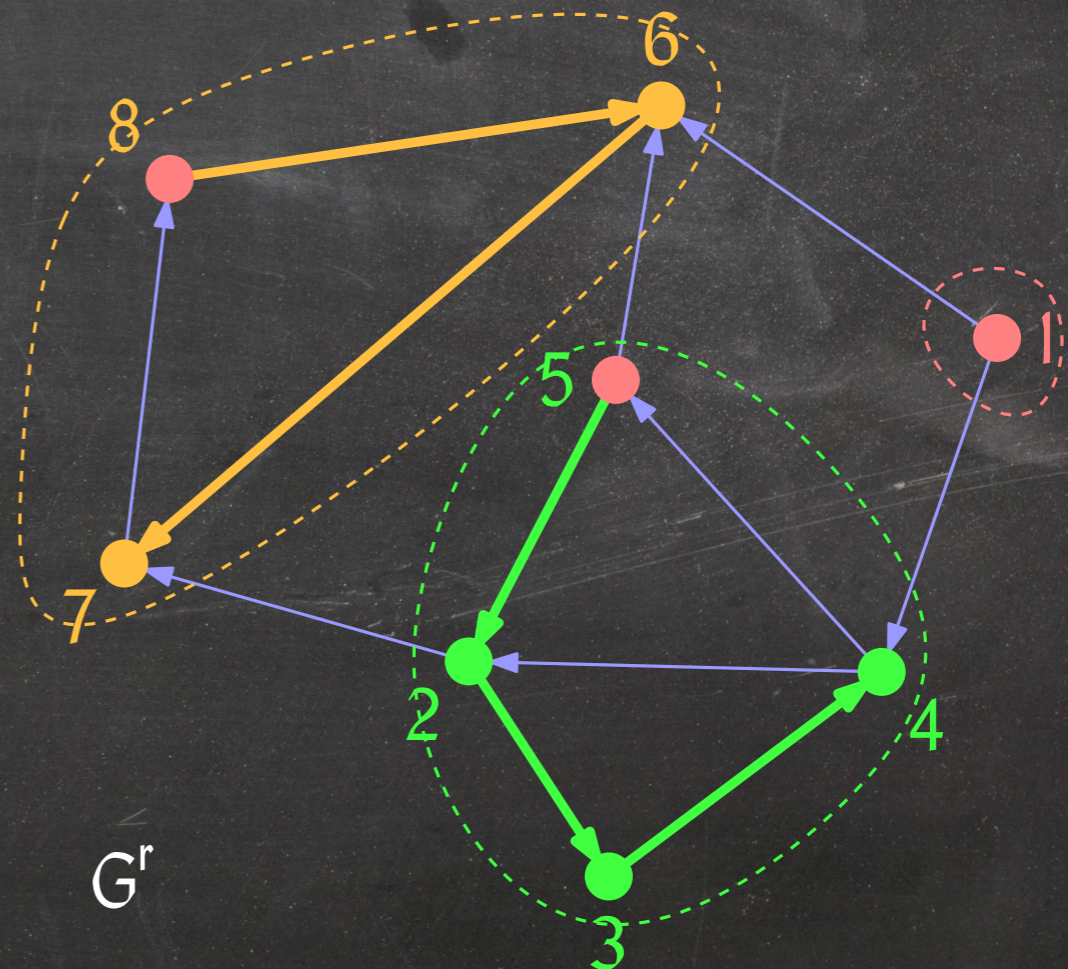
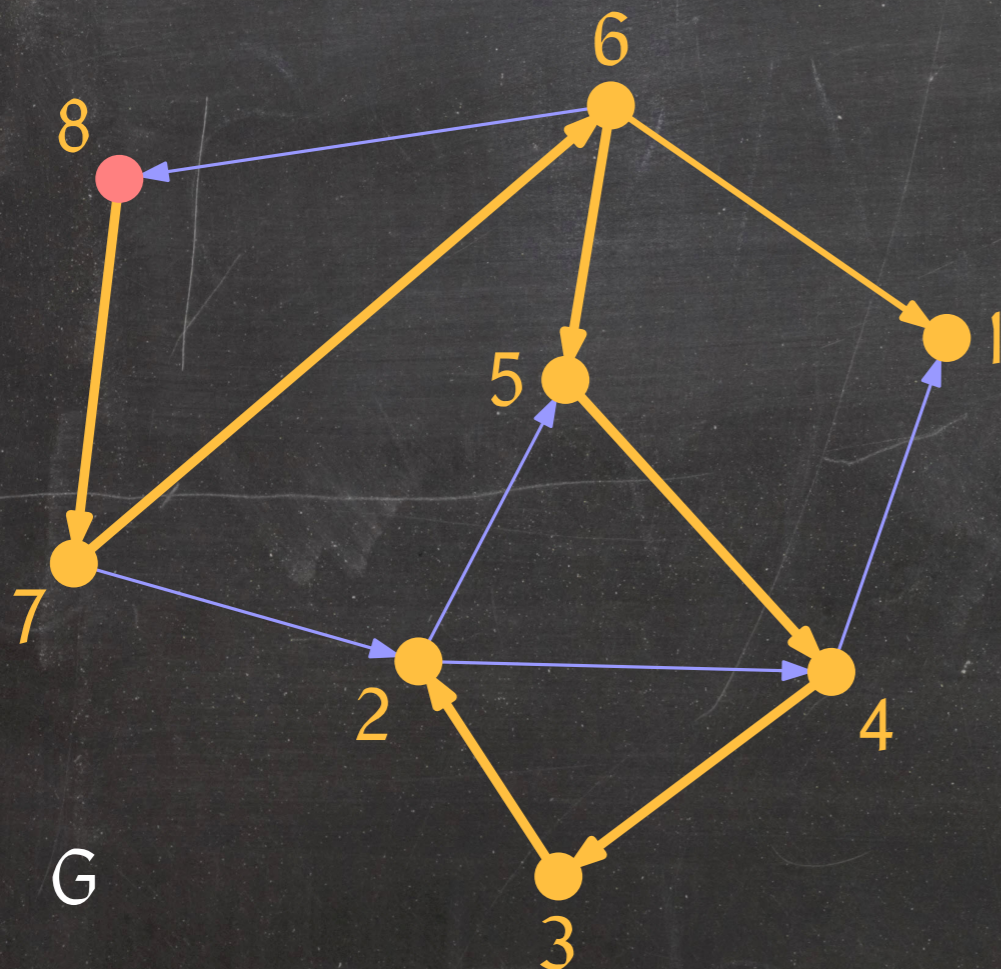
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$\Rightarrow$  Kosaraju's strong connectivity algorithm:

- Compute a DFS forest  $F$  of  $G$ .
- Compute  $G^r$  and arrange the vertices in reverse postorder w.r.t.  $F$ .
- Compute a DFS forest  $F^r$  of  $G^r$ .
- Extract a component labelling of the vertices or the strongly connected components themselves from  $F^r$  (almost) as we did for computing connected components.

This takes  $O(n + m)$  time.

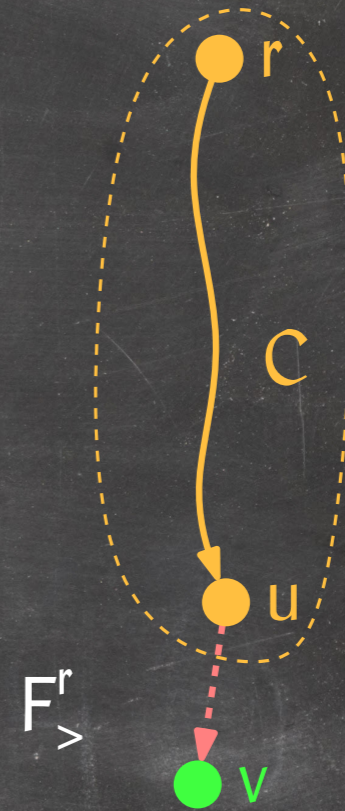
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Assume the contrary. Then there exists an edge  $(u, v) \in F_{>}^r$  such that  $u \not\sim_{\text{SCC}(G)} v$ .

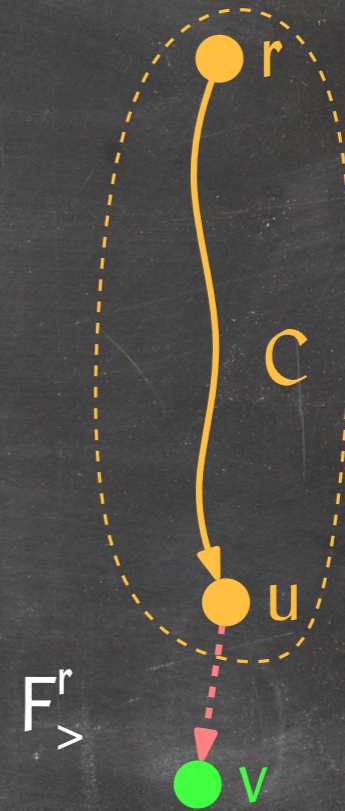


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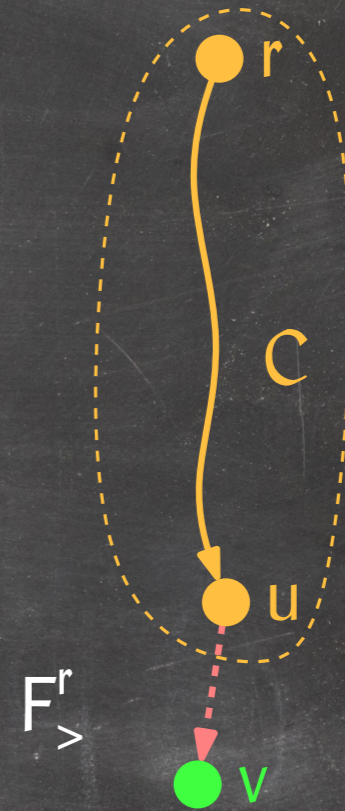
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Choose this edge so that each of its ancestor edges  $(x, y)$  satisfies  $x \sim_{\text{SCC}(G)} y$ .



# Strongly Connected Components

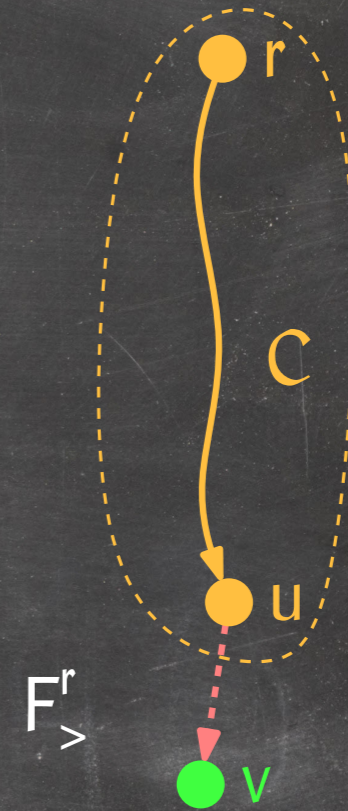
**Lemma:**  $u \sim_{\text{SCC}(G)} v \Leftrightarrow u \sim_{\text{CC}(F_{>}^r)} v$ .

Assume the contrary. Then there exists an edge  $(u, v) \in F_{>}^r$  such that  $u \not\sim_{\text{SCC}(G)} v$ .

$\Rightarrow (v, u) \in G$ .

Choose this edge so that each of its ancestor edges  $(x, y)$  satisfies  $x \sim_{\text{SCC}(G)} y$ .

In particular,  $u \sim_{\text{SCC}(G)} r$ , where  $r$  is the root of the tree containing  $u$  and  $v$ .



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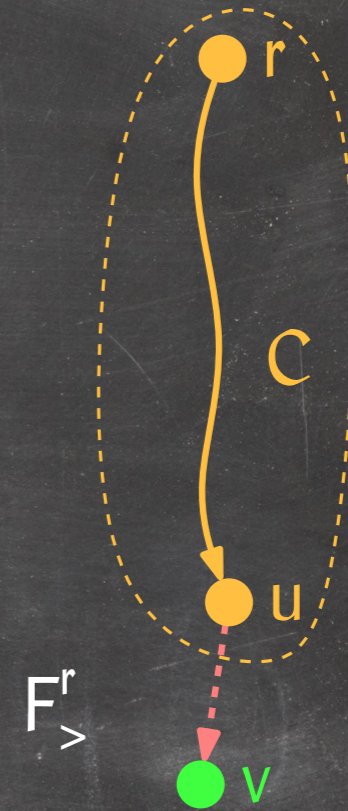
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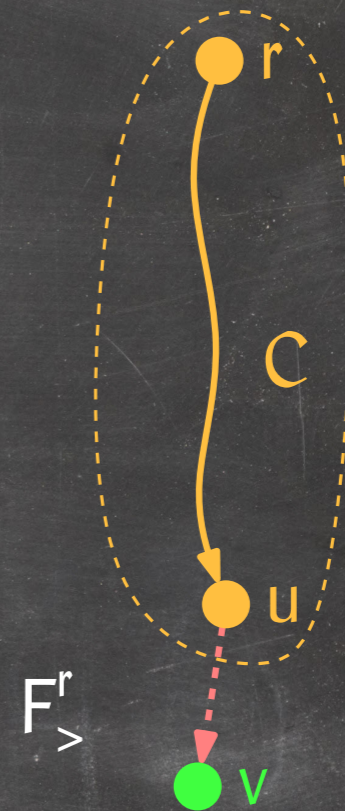
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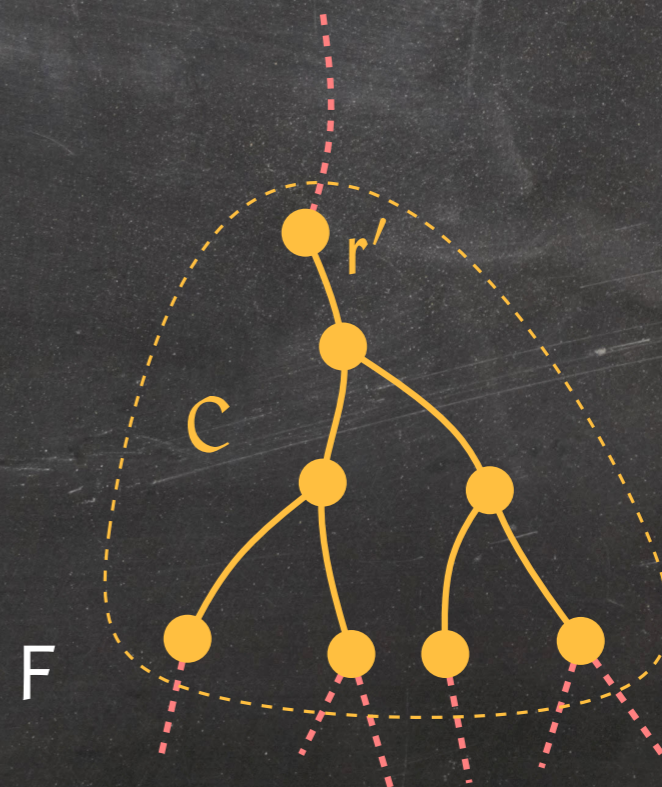
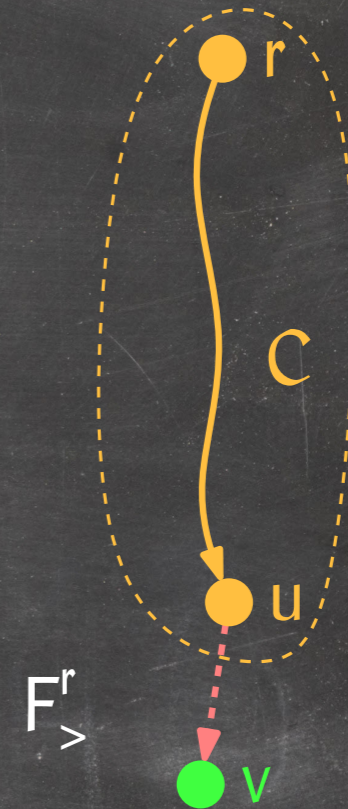
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In  $F$ , all vertices in  $C$  are descendants of some vertex  $r' \in C$  and  $x \leq r'$  for all  $x \in C$ .



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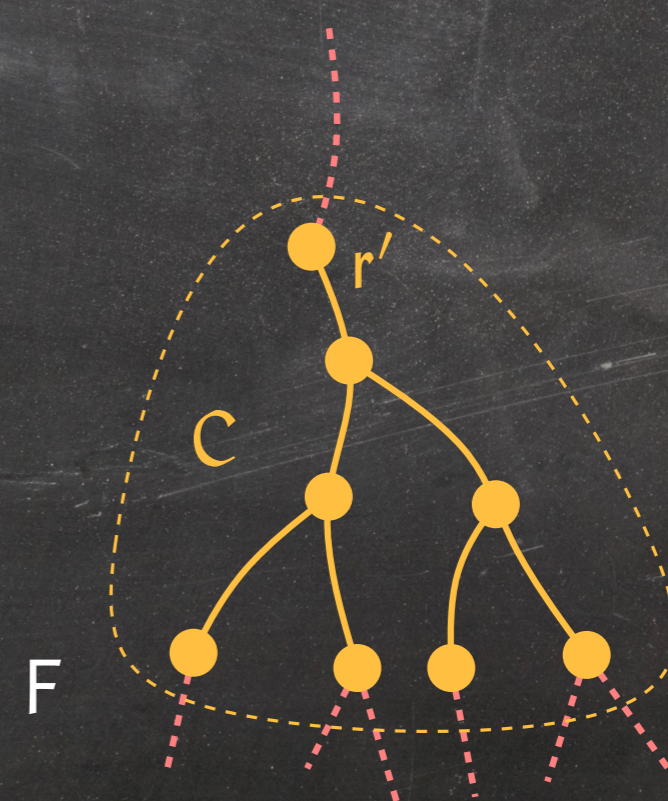
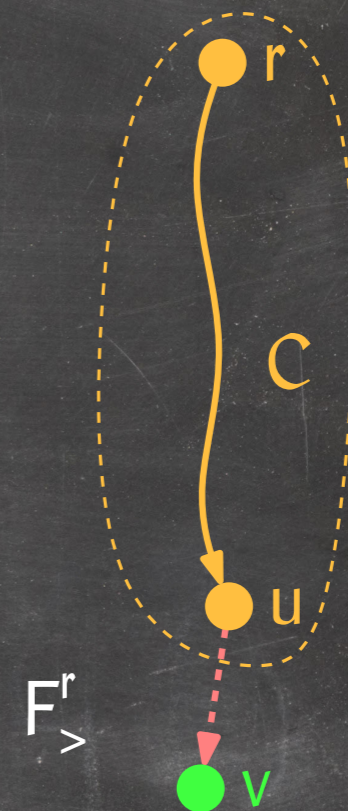
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$\Rightarrow r = r'$  and  $u \leq r$ .



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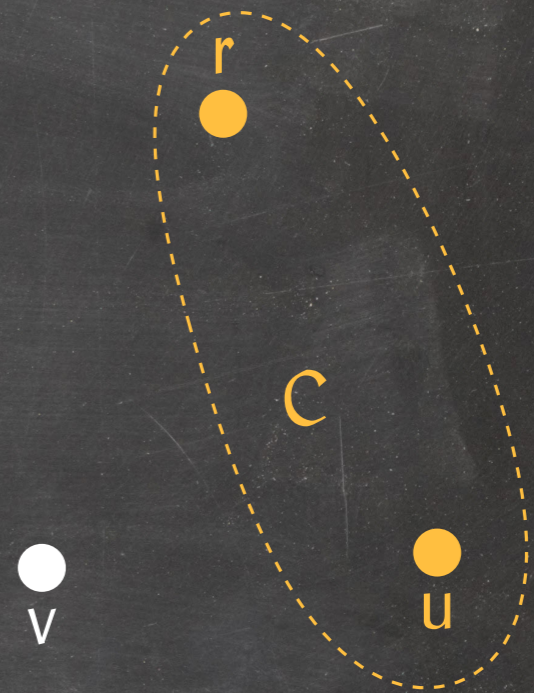
**Lemma:**  $u \sim_{\text{SCC}(G)} v \Leftrightarrow u \sim_{\text{CC}(F_r)} v$ .

If  $v$  is a descendant of  $r$  in  $F$ , then  $u \sim_{\text{SCC}(G)} v$ , a contradiction.

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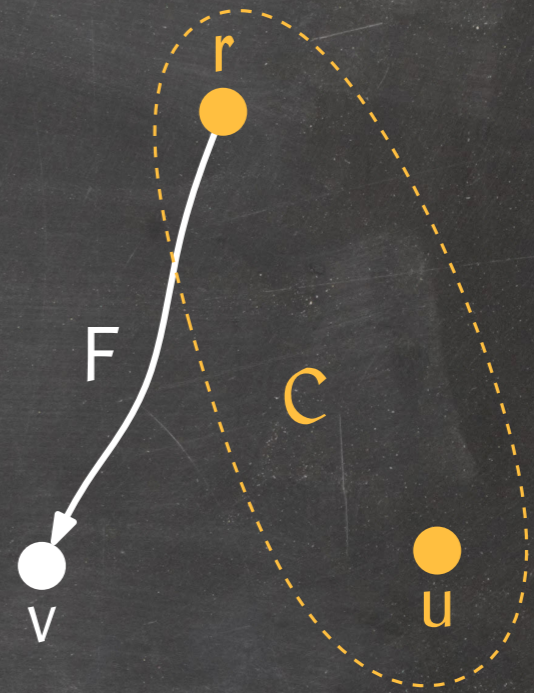
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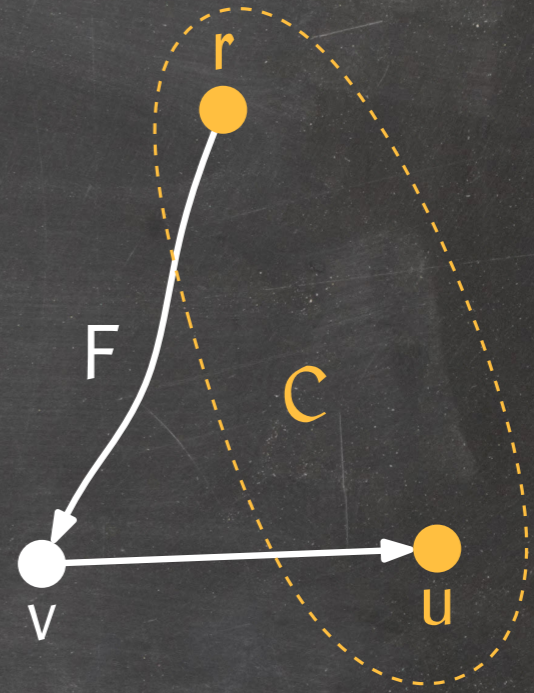
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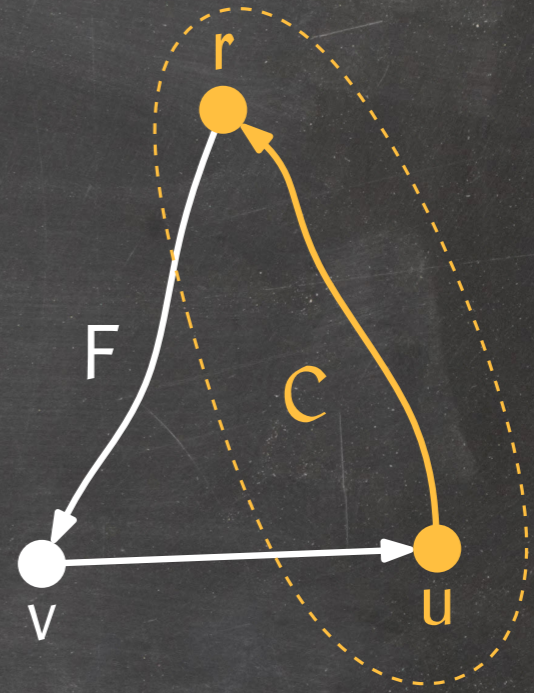
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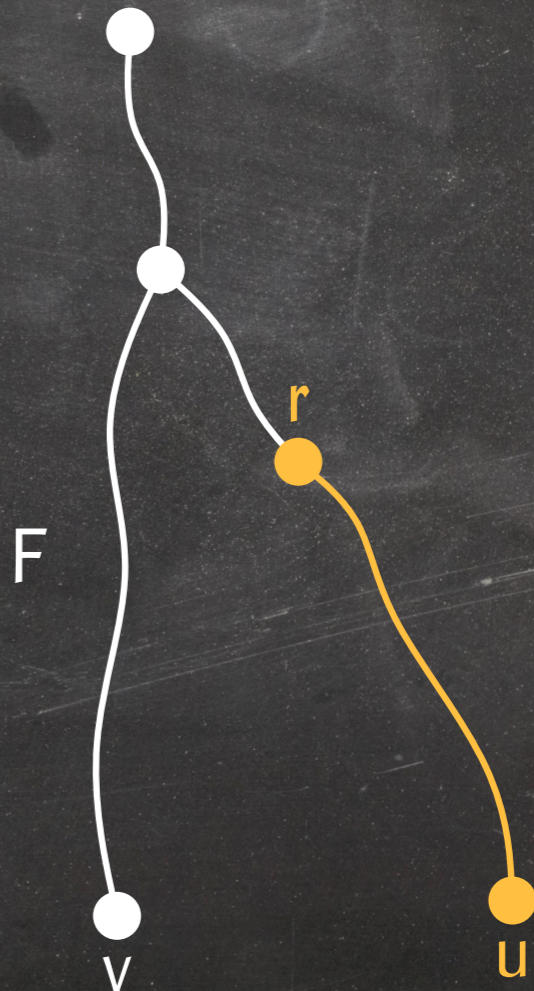
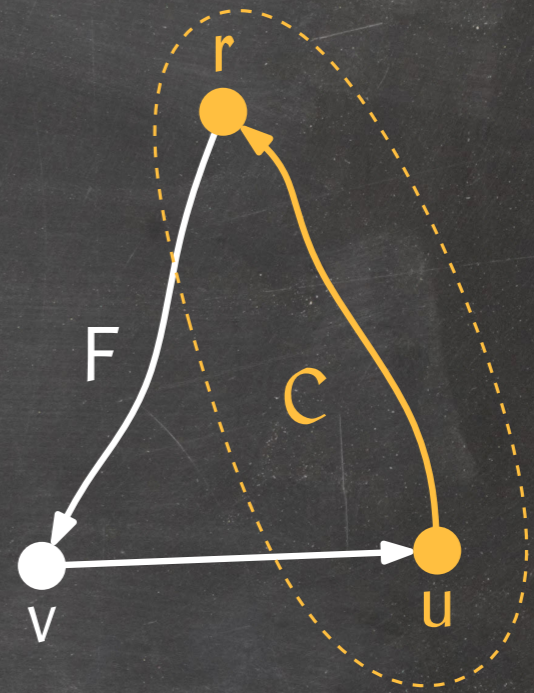


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If  $v$  is not a descendant of  $r$  in  $F$ , then  $v$  is not a descendant of  $u$  because  $u$  is a descendant of  $r$ .





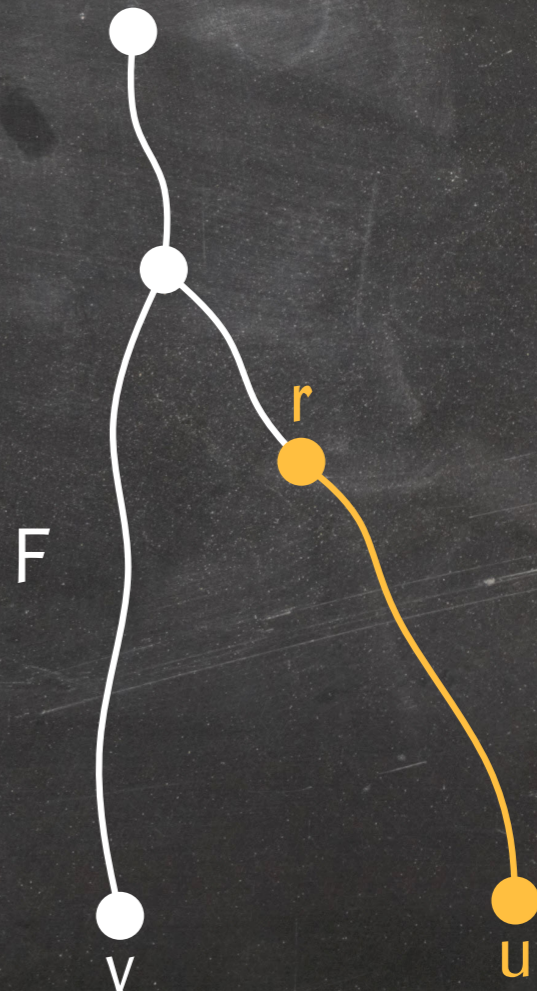
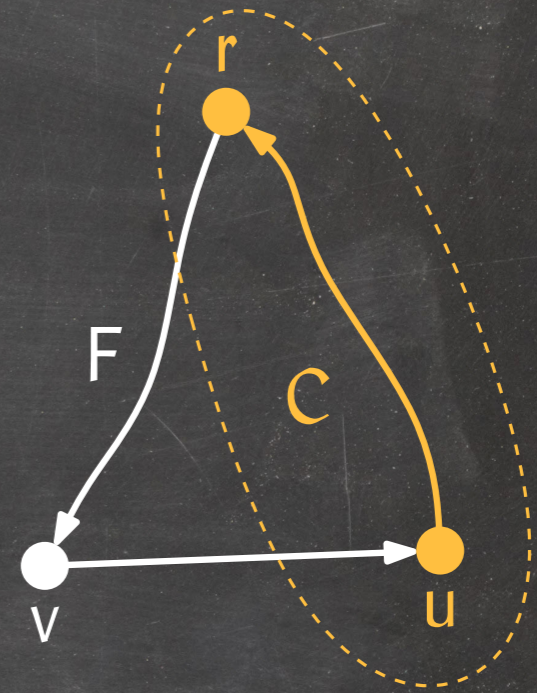
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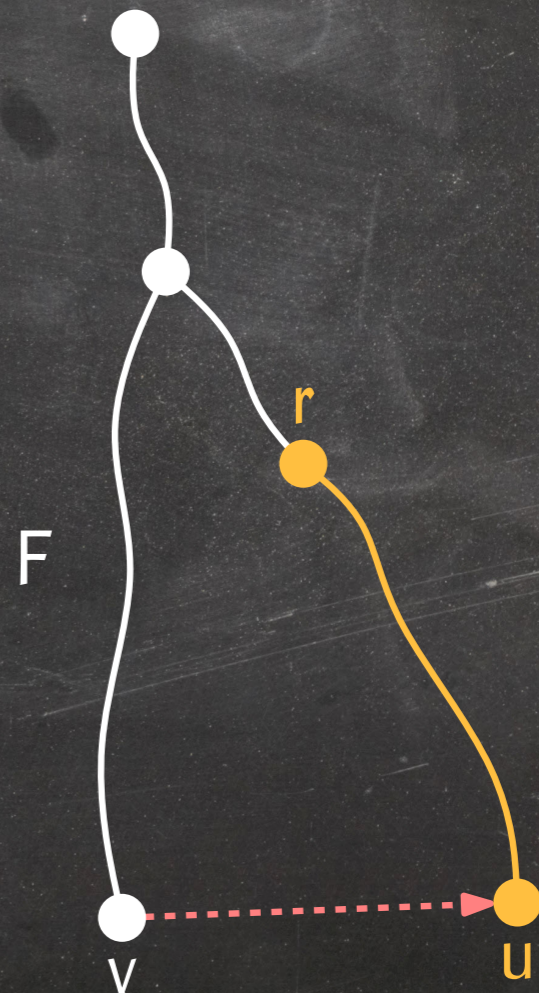
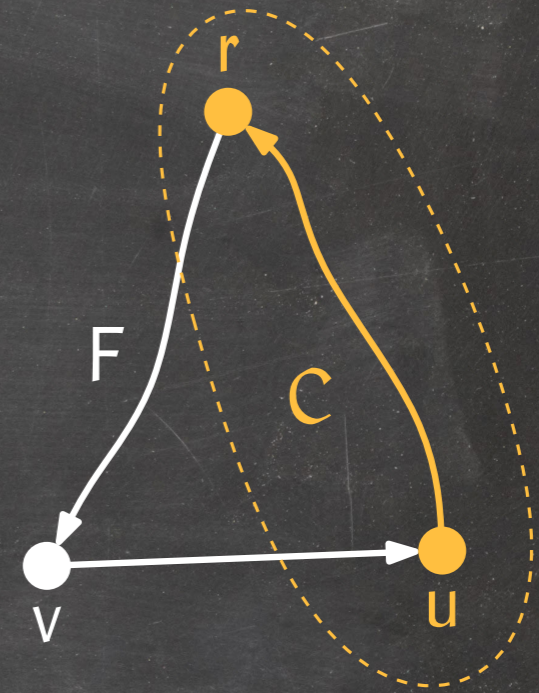
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$\Rightarrow (v, u)$  is a forward cross edge w.r.t.  $F$ , a contradiction.



# Summary

## Graphs are fundamental in Computer Science:

Many problems are quite natural to express as graph problems:

- Matching problems
- Scheduling problems
- ...

Data structures are graphs whose nodes store useful information.

## Graph exploration lets us learn the structure of a graph:

- Connectivity problems
- Distances between vertices
- Planarity
- ...