

An Ontology-based Framework for Authoring and Executing Clinical Practice Guidelines for Clinical Decision Support Systems

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ABSTRACT

Clinical Practice Guidelines (CPG) are used by healthcare practitioners to standardise clinical practice and to provide evidence-based healthcare. However, due to the paper-based nature of CPG they are under-utilised at the point-of-care. In this paper we present our CPG-based Clinical Decision Support System (CDSS) development framework – CPG-EX. This offers the functionality to (i) *model* a CPG in a computer-interpretable format; and (ii) *execute* the modelled CPG based on patient data to deliver CPG-mediated recommendations in line with the patient’s conditions. We have taken a Semantic Web approach and employ ontologies to model the CPG knowledge and proof engines to execute the CPG. CPG-EX comprises three different ontologies, namely CPG ontology, Domain ontology and Patient ontology, that interact at a semantic level to represent the entire disease-specific knowledge. We have developed a forward-chaining CPG execution engine that executes the set of CPG decision-rules using JENA (a semantic web framework for JAVA) reasoning to provide patient-specific CPG-mediated recommendations. We also implemented an automated justification tree generation module that provides the inference trace for the solution in order to assist practitioners in understanding the rationale for the proposed recommendations. A working prototype of our CPG-based CDSS was constructed using an international guideline for ordering radiological investigations. This was tested using a number of real-life clinical cases and both the recommendations and their justifications were validated by medical practitioners.

INTRODUCTION

Despite the proliferation of disease-specific Clinical Practice Guidelines (CPG) their utilisation in healthcare settings, especially at the point-of-care, is low¹. Barriers to the poor utilisation of CPGs in the care delivery process are manifold and can be broadly categorised along three dimensions:

- **Operational issues** that concern the accessibility and usability of the paper-based CPGs in a timely manner at the point-of-care

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- 1 • **Behavioural issues** that involve the perception of health professionals towards
2 the notion that CPGs tends to restrict or constrain their practices of patient
3 care as per their judgment
- 4 • **Technical issues** that involve the development of practical computer-based
5 methods to both model the disease-specific knowledge encapsulated within
6 the CPG and execute the modelled CPG to provide CPG-mediated and
7 patient-specific recommendations for healthcare professionals.

8 Lately, there has been an upsurge in efforts to optimise the use of CPGs, especially
9 to support and standardise clinical decisions by healthcare professionals. A popular
10 approach, at the operational and technical levels, towards the operationalisation of
11 CPGs is to model them in a computer-interpretable format² and then incorporate
12 the modelled CPGs within computer-based Clinical Decision Support Systems
13 (CDSS)^{3,4}. The disease-specific CDSS can then be deployed within a healthcare set-
14 ting to:

- 15 • Execute the CPG at the point of care
- 16 • Guide healthcare practitioners to make evidence based decisions, actions and
17 recommendations
- 18 • Standardise the delivery of care at a particular healthcare setting
- 19 • Collect all necessary and relevant patient data.

20 The development of CPG-based CDSS is a challenging activity as it involves (i)
21 *Computerising* the paper-based CPG into a computer interpretable and executable
22 format. This involves the modelling of the disease-specific knowledge inherent
23 within a CPG and representing it in a semantically unambiguous formalism. The
24 modelling exercise identifies the key concepts, the relationships between the con-
25 cepts, the decisional elements and the consequences of these decisions, the data ele-
26 ments; (ii) *Specifying* a functional and executable workflow of the CPG that entails
27 interactions between the different CPG elements and the corresponding actions and
28 interventions; (iii) *Abstracting* the clinical decision logic inherent within a CPG in
29 terms of medically salient and executable logic-based decision rules; (iv) *Executing*
30 the computerised (or modelled) CPG based on both acquired and inferred patient
31 information, to recommend patient-specific recommendations; and (v) *Justifying*
32 the recommendations suggested by the CDSS to establish a degree of 'trust' in the
33 output of the CDSS.

34 Typically, the development of CDSS involves a tedious and challenging knowl-
35 edge engineering process that is geared towards the accumulation of disease-specific
36 knowledge from expert health professionals. CPGs are a validated source of disease-
37 specific knowledge that is based on best evidence and designed to assist clinical
38 decision-making⁵. We propose that CPGs can serve as a validated, evidence-driven
39 knowledge-base for CDSS (targeting a specific disease), thereby circumventing the
40 knowledge engineering problem faced during the development of CDSS.

41 In this paper we present our CPG-based CDSS development framework, named
42 CPG-EX, that offers the functionality to (i) *model* a CPG in a computer-interpretable

1 format; and (ii) *execute* the modeled CPG based on patient data to deliver CPG-
2 mediated recommendations in line with the patient's conditions. For CPG modeling
3 we take a model-centric approach and represent the CPG knowledge using ontolo-
4 gies. For CPG execution we use logic-based reasoning to select the relevant CPG
5 recommendations based on given patient data. We propose to leverage the Semantic
6 Web approach to develop the CPG modeling and execution functionalities of CPG-
7 EX. In this paper, we present a prototype of our CPG-EX framework to model and
8 illustrate it in practice using an international guideline devised to aid clinicians in
9 ordering radiology investigations.

10

11 FUNCTIONAL DESIGN OF CPG-EX

12

13 We believe that Semantic Web technology offers an interesting approach to both
14 model and execute CPGs, and in turn develop CPG-based CDSS. The Semantic
15 Web purports the semantic modelling and markup of knowledge in terms of formal
16 definitions of domain concepts, explicit representation of relationships between
17 concepts and any logical constraints between the concepts and relationships⁶. The
18 semantically modelled knowledge can then be reasoned over using proof engines
19 employing logic-based reasoning methods to infer 'trusted' solutions. Therefore, the
20 design of CPG-EX is guided by Semantic Web technologies. The two main functions
21 of CPG-EX are:

- 22 • *CPG modelling* that allows the transformation of a paper-based CPG into a
23 formal representation that can be executed by computer-based CDSS
- 24 • *CPG execution* that allows operationalisation of the modelled CPG to derive
25 patient-specific CPG-based recommendations

26

27 CPG Modelling

28 CPG modelling entails the representation of a paper-based CPG in terms of a for-
29 mal and expressive knowledge-model that provides (i) an in-depth understanding
30 of the clinical procedures, addressed by the guideline; and (ii) a precise and unam-
31 biguous description of the guideline. CPG modelling is pursued through two main
32 approaches: (i) Document-Centric approach that entails the mark-up of the CPG,
33 as per a document modelling language such as XML (extensible markup language),
34 to generate a semi-formal model of the CPG. Guideline Element Model (GEM) is
35 a prominent document-centric CPG mark-up language⁷ that characterises the CPG
36 using over 100 different mark-up tags; (ii) Model-Centric approach aims to gener-
37 ate a knowledge-model of the CPG, using a formal model description language, that
38 entails classes, relationships between classes and decision rules that operate over
39 instances of the classes and relationships. Model-based approaches provide a seman-
40 tically rich expressivity of the CPG knowledge and are hence preferred whenever
41 CPG execution is also desired. Typical model-based CPG representations include
42 GLIF⁸, GUIDE⁹, and Proforma¹⁰.

For CPG modelling we follow the model-centric approach and in line with the Semantic Web framework we model all the domain-specific knowledge using specialised ontologies. Ontology-based CPG modelling is pursued by other CPG representations formalisms such as GLIF⁸, HELEN³, SAGE⁴, EON¹¹ and PROforma¹⁰.

To capture the entire knowledge resources needed for the CDSS to provide CPG-based recommendations we developed three independent, yet conceptually and functionally interoperable, ontologies¹² using Protege¹³:

I. CPG Ontology that models the computerised structure of the CPG. The rationale for the CPG ontology is driven by our belief that to model the knowledge components of a CPG, we first need to understand (and model) the underlying knowledge representation structure of the CPG; hence the need to develop the CPG ontology. We model the CPG using the Guideline Element Model (GEM) structure, and therefore our CPG ontology is based on the GEM DTD (Document Type Definition)⁷.

II. Domain Ontology that models the medical knowledge encapsulated within the CPG. The Domain Ontology represents both the concepts described in a CPG and the relationships between these concepts. The domain ontology not only standardises the domain concepts but also captures the decision logic inherent within the descriptions of the CPG and allows for writing logical decision-rules that relate patient/disease-specific conditions to corresponding actions/recommendations.

In this project, the domain ontology models the EU Radiation Protection 118 Referral Guideline for Imaging (RPG)¹⁴. Radiological investigations are routinely used by clinicians to aid patient diagnosis and management. However investigations are frequently ordered inappropriately and in addition the most suitable investigation is often not ordered e.g. a CT (Computed Tomography) scan may be ordered when a plain X-Ray is sufficient. The RPG provides guidance on whether a radiological investigation is likely to provide useful information for a specific clinical problem, the most suitable imaging modality to be used, the radiation dose associated with an individual imaging modality and the evidence for any recommendations given. The RPG Domain

Table 1. Example of a Recommendation from EU Radiation Protection 118 – Referral Guideline for Imaging

Clinical Problem	Investigation (Dosage)	Recommendation (Grade)	Comments
Headache: Chronic (A7)	XR skull, sinus, C spine (I) CT (II) or MRI (0)	Not indicated routinely (B)	Radiology of little use in the absence of focal signs or symptoms. Some exceptions for specialists or if evidence of raised intracranial pressure, posterior fossa or other signs

1 Ontology¹⁵ is mainly divided into three categories of concepts: *Clinical Prob-*
2 *lems, Investigations* and *Recommendations*. All distinct clinical problems are
3 represented as instances of respective clinical problem classes. These classes
4 are arranged as sub-classes under the class Clinical Problem. Investigations
5 are radiological procedures reported in the RPG and are represented similar
6 to the Clinical Problems shown in Table 1. For a given clinical problem one or
7 more investigations may be recommended. Recommendations are treatments
8 based on investigations and clinical problems. Each recommendation is rep-
9 resented along with the evidence grade to support the recommendation. An
10 *indicated* recommendation signifies that the investigation is likely to provide
11 information that can contribute to the diagnosis and treatment of the patient.
12 Conversely, a *not indicated routinely* recommendation emphasises that the
13 investigation is extremely unlikely to provide any information that will assist
14 the clinician in patient management

15 *III. Patient Ontology* that models the patient in terms of various health informa-
16 tion parameters that may constitute the longitudinal medical record of the
17 patient. The instances of the patient ontology determine the patient's health
18 profile which is subsequently used to 'fire' the necessary decision-rules to
19 yield patient-specific recommendations based on the CPG.

21 CPG Execution

22 CPG execution involves the selection of relevant and correct recommendations from
23 the modelled CPG based on patient-data. CPG execution is pursued through a CPG
24 execution engine that employs a variety of execution methods including: (i) logic-
25 based reasoning; (ii) workflow engineering and (iii) graph based algorithms. In line
26 with the Semantic Web framework we pursue CPG execution using proof-engines
27 that employ logic-based reasoning over the knowledge represented through the vari-
28 ous ontologies. We use the JENA reasoning engine for executing CPG decision logic
29 on patient cases, and generating CPG-mediate recommendations.

31 ARCHITECTURE OF CPG-EX

32
33 Architecturally, CPG-EX is divided into two main modules:

- 34 • *CPG Encoding Module*
- 35 • *CPG Rule Authoring and Execution Module*

36 The CPG Encoding Module is used to encode the text-based CPG into the CPG
37 ontology, and to annotate the decision variables and logic structures inherent within
38 the CPG. Both the CPG Ontology and the Domain Ontology are used for CPG
39 encoding. The CPG Rule Authoring and Execution Module is used to define the
40 decision logic rules in a CPG and to execute them based on given patient clinical
41 data. A novel feature of our CPG Execution Module is that it provides an automated
42 justification trace of inferred recommendations. This is to inform practitioners, who

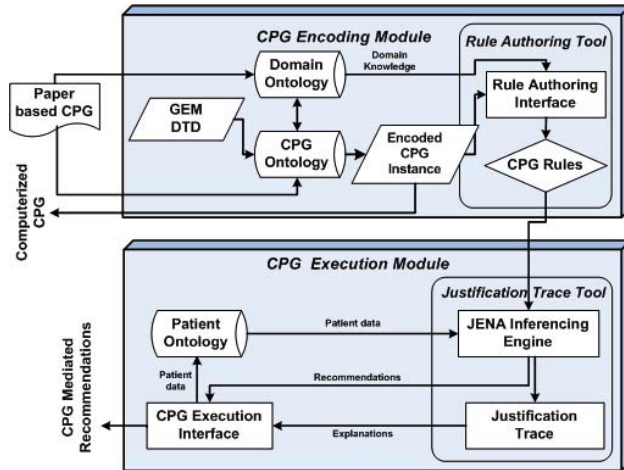


Figure 1. System Design of our Clinical Decision Support System (CDSS)

CPG= Clinical Practice Guideline, DTD= Document Type Definition, GEM =Guideline Element Model.

operate on the recommendations, the rationale for the recommendations. Figure 1 shows the architecture of our Semantic Web based CPG-EX.

CPG Encoding Module

The literature highlights a number of CPG encoding formalism that although are able to encode a CPG yet are unable to execute the encoded CPG in conjunction with a patient's case. Given that we aim to execute the CPG we developed a CPG encoding tool that transforms the CPG in an electronic format that can be executed by our CPG execution (reasoning based) engine. The CPG encoding module handles (a) CPG and Domain ontologies in order to model the CPG; and (b) Rule authoring to write decision rules capturing the CPG decision logic.

Domain Ontology

The Domain Ontology for the RPG¹⁵ is mainly divided into three categories of concepts:

- Clinical Problems
- Investigations
- Recommendations

All distinct clinical problems are represented as instances of respective clinical problem classes. These classes are arranged as sub-classes under the class Clinical Problem. Investigations are radiological procedures in RPG and represented in RPG Domain Ontology similar to the Clinical Problems. For a given clinical problem, one or more investigations are recommended. Recommendations are treatments based on investigations and clinical problems. Each recommendation is represented

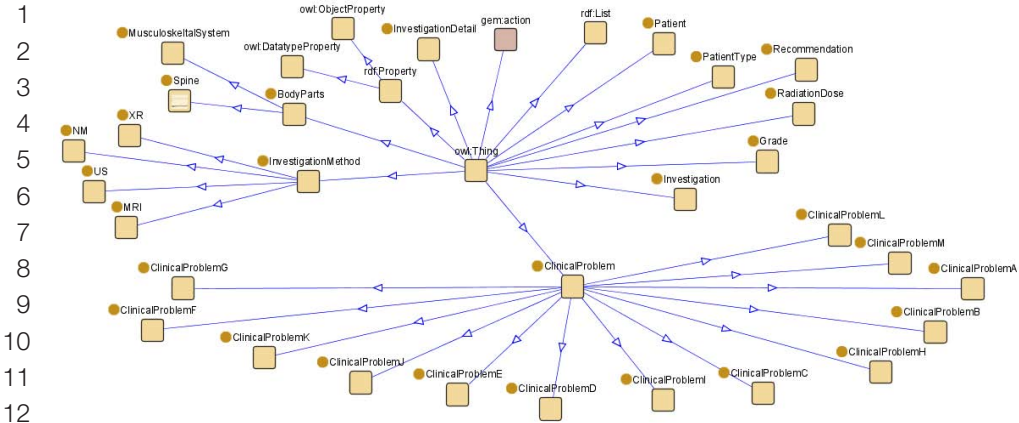


Figure 2. Class Hierarchy in the RPG Ontology

MRI = Magnetic Resonance Imaging. Nm = Nuclear Medicine, US = Ultrasound, XR= X-ray.

along with the grade of its evidence. An indicated recommendation is most likely to contribute to the diagnosis and treatment, while an investigation that is not routinely recommended emphasises that the investigation is unlikely to provide any information that will aid management. Figure 2 shows a fragment of the Domain Ontology.

CPG Ontology

We developed the *CPG Ontology* based on the GEM DTD⁷ using an Ontology Editor Protégé¹³. The main CPG knowledge is represented in the *Knowledge Component*

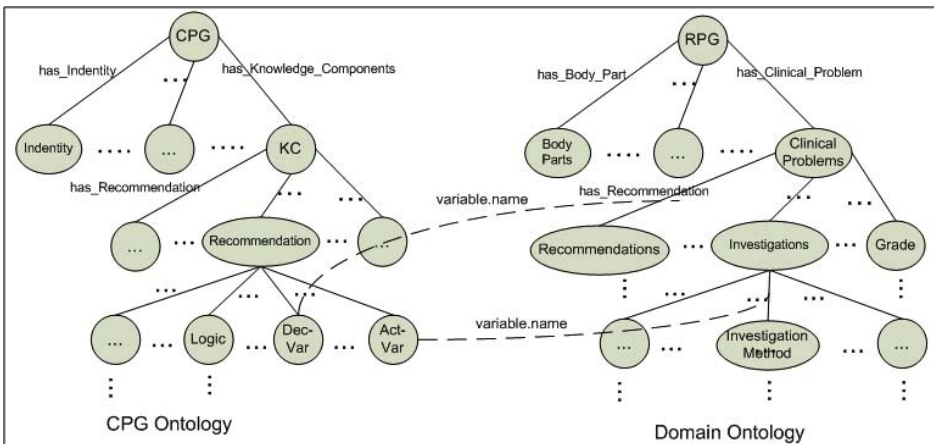
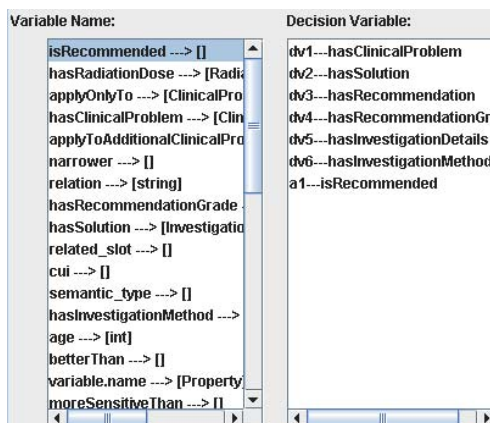


Figure 3. Merging CPG and Domain Ontology

CPG = Clinical Practice Guideline, KC= Knowledge Component, RPG = Radiology Practice Guideline

1 class in the CPG Ontology as they describe the procedural, conditional or imperative
 2 knowledge. We defined the *Recommendation* class, within the knowledge compo-
 3 nent, to describe the recommended actions (see Figure 3). The recommendations
 4 are classified as being either imperative or conditional and represented as classes
 5 within the Recommendation class. Imperative recommendations found in the CPG
 6 are applicable to the entire eligible population. The conditional recommendations
 7 describe the clinical conditions/scenarios that demand specific actions. These clini-
 8 cal conditions and actions are represented by *decision.variable* and *action.variable*
 9 classes, respectively. The *decision.variable* and *action.variable* classes model the
 10 structure and type of a decision or action step in the CPG. We also made use of the
 11 property *logic* in conditional/imperative recommendations to define the decision
 12 logic of conditional/imperative recommendations based on the conditions for the
 13 various actions.

14 In our work, we merged two inter-related ontologies for representing CPG knowl-
 15 edge (Figure 3). The first ontology, i.e. the CPG Ontology, models the structural
 16 knowledge of the CPG, whereas the second ontology, Domain Ontology, represents
 17 the underling CPG knowledge in terms of medical concepts and their relationship.
 18 We established semantic mapping between the atomic entities of the candidate
 19 ontologies. Two such atomic entities were: (i) the *decision.variable* from the CPG
 20 Ontology; and (ii) a property from the Domain Ontology that explains that *deci-*
 21 *sion.variable*. This mapping of the ontology nodes (a *decision.variable* instance and
 22 its related property in the Domain Ontology), in the two ontologies was achieved
 23 through a new property named *variable.name* that served as the bridge between the
 24 two ontologies. The property *variable.name* belongs to the *decision.variable* class,
 25 which represents the structure and type of a *decision.variable* in the encoded CPG,
 26 whereas the property *variable.name* merges each *decision.variable* instance with a
 27
 28



41 **Figure 4.** Annotation of Decision Variables and Actions based on RPG Domain
 42 Ontology

1 property from the Domain Ontology that describes the operational details of the
2 *decision.variable*. Each *decision.variable* instance with a property *variable.name* is
3 annotated with a property from the Domain Ontology.

4 Figure 4 demonstrates the semantic annotation of decision variables *dv1 ... dv6*
5 and action variable *a1* to represent the inherent logic of the recommendation shown
6 in Table 1. For example, decision variable *dv1* is annotated with a property *has-*
7 *ClinicalProblem* in the RPG Domain Ontology, where the property value describes
8 various clinical problems in a RPG test-case. Similarly *dv2 ... dv6* are annotated and
9 describe one or more investigations along with their recommendations and recom-
10 mendation grades based on clinical problems. Action variable *a1* is annotated with
11 a property *isRecommended*, where the property value represents the list of investiga-
12 tions along with their methods and recommendations with along their grades.

13
14 *Patient Ontology*

15 The patient ontology mirrors an Electronic Patient Record and models a patient
16 in terms of various health information and patient clinical situations. The patient
17 ontology allowed us to generate standardised descriptions of a patient, which in turn
18 serve as patient *instances* used to execute the decision logic of the CPG to derive
19 CPG-mediated recommendations/actions.

20
21 *Rule Authoring Sub-Module*

22 Functionally, the Rule Authoring Sub-Module uses an encoded CPG (modelled by
23 CPG Encoding module), and allows the user (a medical practitioners) to define
24 the CPG's logical constructs as logic-based CPG rules using our 'simple' CPG Rule
25 Syntax.

26 The Rule Authoring sub-module is designed to encapsulate the clinical decision
27 making logic inherent within a CPG in terms of logical rules. Upon completion of
28 the rule authoring process, we apply a rule transformation algorithm to transform

```
31 Logic := IF Decision_Variable_List THEN Action_Variable  
32         | Action_Variable  
33 Decision_Variable_List :=  $\emptyset$   
34         | dv Rel Node, dv Rel Node, ..., dv Rel Node  
35 Action_Variable := a Rel Node  
36 Rel := < | <= | > | >= | =  
37 Node := ? // variable  
38         | 'a literal' // a plain string literal  
39         | Algebra  
40         | [dv1 dv2 ... dvn]  
41 Algebra := Value  
42         | Value + Value  
43         | Value - Value  
44         | Value * Value  
45 Value := dv | number // dv must already been declared before
```

42 **Figure 5.** CPG Rule Syntax

```

1 Rule      := bare-rule .
2           | [ bare-rule ]
3           | [ ruleName : bare-rule ]
4 bare-rule := term, ... term -> hterm, ... hterm // forward rule
5           | term, ... term <- term, ... term // backward rule
6 hterm     := term
7           | [ bare-rule ]
8 term      := (node, node, node) // triple pattern
9           | (node, node, functor) // extended triple pattern
10          | builtin(node, ... node) //invoke procedural primitive
11 functor   := functorName(node, ... node) // structured literal
12 node      := uri-ref // e.g. http://foo.com/eg
13          | prefix:localname // e.g. rdf:type
14          | ?varname // variable
15          | 'a literal' // a plain string literal
16          | 'lex'^^typeURI // a typed literal
17          | number // e.g. 42 or 25.5

```

13 **Figure 6.** JENA Rule Syntax

14
15
16 the CPG rules into the JENA syntax so that they can be executed by the Execution
17 Sub-Module that leverages the JENA inference engine¹⁶. The CPG and JENA rule
18 syntax are discussed below.

19 Figure 5 shows the CPG syntax we have used to write rules in the *logic* element
20 of CPG Ontology. Each rule is a *forward rule*, which has a list of decision variables
21 (premises) and an action variable (conclusion) of the rule, followed by *IF* and *THEN*,
22 respectively. In the decision variable list, each variable *dv* is an equality or inequality
23 relation with either i) a variable, ii) a string, iii) a list of (already declared) decision
24 variables or iv) an algebraic (binary) formula.

25 Figure 6 illustrates an informal description of the simplified JENA (text) rule
26 syntax¹⁶. The “” separators are optional. The *functor* in an extended triple pattern is
27 used to create and access structured literal values.

28
29 **Example A:** Below is an example of a rule written in JENA rule syntax. In it R is a
30 rule written in CPG rule syntax to represent the recommendation shown in Table
31 1, where the annotations *dv1* – *dv6* are used according to the concepts shown in
32 Figure 4.

33 $R = IF dv1=?, dv2=?, dv3=notIndicatedRoutinely, dv4=?, dv5=?, dv6=? THEN$
34 $a1=[dv2 dv6 dv3 dv4]$

35 Finally, the above CPG rule *R* is transformed into JENA syntax via rule transfor-
36 mation algorithm and shown as follows.

37 **Transform (R) =**

38 [conditional1: (?X2 rpg:hasClinicalProblem ?X1) , (?X1 rpg:hasSolution
39 ?X3) , (?X3 rpg:hasRecommendation rpg:notIndicatedRoutinely) , (?X3
40 rpg:hasRecommendationGrade ?X4) , (?X3 rpg:hasInvestigationDetails
41 ?X5) , (?X5 rpg:hasInvestigationMethod ?X6) -> (?X2 rpg:isRecommended
42 List(?X3 ?X6 rpg:notIndicatedRoutinely ?X4))]

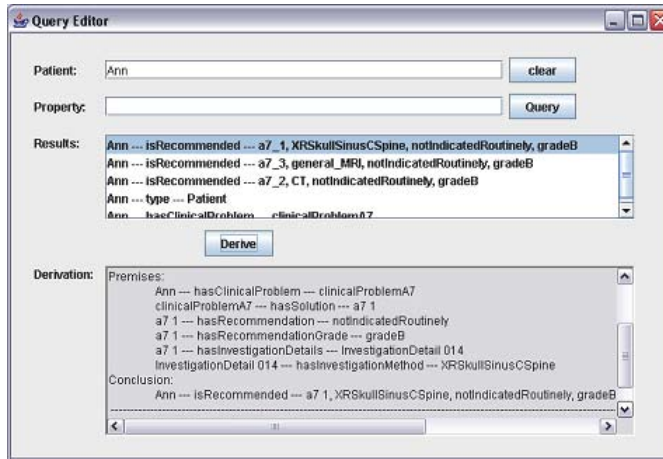


Figure 7. Derivation Trace for Recommendations for a hypothetical patient Ann

CPG Execution Module

The CPG Execution Module is designed to execute the CPG decision-rules, using patient data, to derive CPG-based recommendations. To achieve this functionality, we built two sub-modules namely, Execution Sub-Module and Justification Trace Sub-Module.

Execution Sub-Module

The Execution Sub-Module invokes the JENA inference engine¹⁶ to execute a CPG in order to infer recommendations based on patient profiles. We model instances from the Domain Ontology, CPG Ontology and Patient Ontology as RDF graphs, which serve as the knowledge base for JENA. The JENA inference engine uses both the knowledge base and the CPG rule-set in a backward reasoning mode to infer CPG-mediated recommendations based on the given patient scenario, encoded clinical knowledge in the Domain Ontology and CPG Ontology.

Justification Trace Sub-Module

The Justification Trace Sub-Module generates a justification trace of the rule execution to assist medical practitioners in understanding the logic behind the inferred recommendations. The justification derivation includes the linear representation of premises (facts) under which the JENA rules are satisfied and the conclusions based on those rules. The justification trace initiates with a derived patient recommendation (derived facts) from the JENA model (knowledge base) and generates facts which served as premises for deriving the patient recommendation, recursively. The process terminates, if all the premises are ground instances (known facts). Figure 7 shows the justification trace for the earlier presented RPG test-case.

1 CPG-EX IN ACTION

2

3 How the CPG-EX works in practice will now be briefly described. The sequence of
4 actions are:

5 (i) A text-based CPG is input and pre-defined Domain Ontology and the
6 Patient Ontology are loaded

7 (ii) The CPG is encoded in terms of the CPG Ontology and the Domain Ontol-
8 ogy

9 (iii) The CPG rules are transformed to JENA rule syntax and passed to the JENA
10 reasoning system

11 (iv) The execution engine reasons with the given patient instance and the CPG
12 knowledge to infer CPG-mediated recommendations

13 (v) A justification trace of the inferred recommendations is generated to estab-
14 lish the physician's 'trust' in the recommendations offered by CPG-EX

15 The CPG-EX interface (Figure 8) is composed of three panels. On the left panel,
16 the user can load a text-based CPG. The middle panel displays the CPG Ontology
17 structure to enable the semantic annotation of the CPG using the CPG Ontology.
18 The CPG Ontology panel further provides the following features to the user: (i) The
19 *Duplicate Button* to duplicate selected tag/element in the CPG Ontology structure;
20 (ii) The *Save Button* to save the CPG Ontology structure into a file in RDF/XML
21 (Resource Description Framework/extensible markup) format; (iii) The *Run Button*
22 to execute the CPG using a given patient instance; and (iv) The *Query Button* to
23 generate a justification trace for a specific recommendation.

24 The right panel is used for assigning instances to each tag in the CPG Ontology.
25 It consists of *Ontology Instances* text box, *Variable Name List* and a *Decision Variable*
26 *List*. The Variable Name List displays all the properties stored in the Domain Ontol-
27 ogy. The Variable Name List becomes active only when a *variable.name* tag (from
28 CPG ontology) is selected. The user can select a variable name from the Variable
29 Name List and assign it to a decision variable. The Decision Variable List shows the
30 list of annotated decision variables associated with their variable names (as shown
31 in Figure 4). The Query Button initiates a Query Window (as shown in Figure 7).
32 The Query Window enables a user to query patient information and inferred rec-
33 ommendations. Furthermore, it allows the user to view the derivation trace for a
34 specific inferred recommendation.

35 CPG Authoring involves extracting textual information of the text-based CPG
36 (shown in the left panel of CPG-EX) and annotating them based on the classes and
37 their properties in the CPG Ontology (shown in the middle panel of CPG-EX).
38 The annotated text is assigned to the *Ontology Instance Text Box* (see right panel of
39 CPG-EX) based on the classes/properties in the CPG Ontology. Rule Authoring is
40 performed by defining decision rules in the logic tag of CPG ontology as follows:

41 *Step 1:* Select decision variables from the Decision Variable List, which repre-
42 sents the body (premises) of the rule and followed by *IF*;

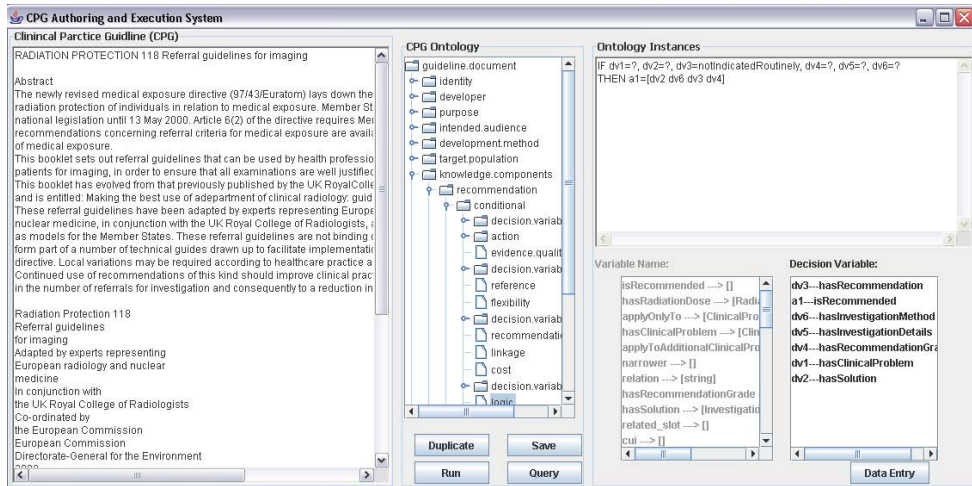


Figure 8. The interface for the CPG-EX, showing the CPG text, CPG ontology and the CPG ontology instances

Step 2: Select the action variable from the Decision Variable List, which represents the head (conclusion) of the rule and followed by *THEN*;

Step 3: For each decision variable and action variable in the rule, an equality/inequality relation can be defined with either a variable, a value, a binary algebraic formula, another decision variable or list of decision variables (see Example A and Figure 4).

The rule authoring steps for the CPG rule described in Example A can be traced and the outcome of each step is as follows:

Step # 1 & Step # 2: IF dv1, dv2, dv3, dv4, dv5, dv6 THEN a1

Step # 3: IF dv1=?, dv2=?, dv3=notIndicatedRoutinely, dv4=?, dv5=?, dv6=? THEN a1=[dv2 dv6 dv3 dv4]

Finally, we tested CPG-EX using the EU Radiation Protection 118 – Referral Guideline for Imaging. We used the pre-defined RPG Ontology¹⁵, the Patient Ontology and the CPG Ontology to generate a series of CPG-mediated recommendations for the patient Ann (see Example A) as shown in Figure 7.

DISCUSSION

Computerisation of CPGs provides interesting opportunities to develop CDSS that provide evidence-guided recommendations. One advantage is that the CPG serves as a validated knowledge resource and allows CDSS developers to avoid the perennial knowledge engineering problem. By design, CPG follow a decision logic that is structured in an algorithmic format that can be used to generate explicit symbolic clinical decision-support rules to suggest CPG-guided clinical recommendations. The

1 semantic web supports a logic-based framework that allows the semantic modelling
2 of medical knowledge that can be used to provide a variety of knowledge-mediated
3 services. In this paper, we have demonstrated the applicability of the semantic web to
4 model CPG and leverage the CPG knowledge to develop ontology-based CDSS. We
5 have presented a unique approach that features the integration of multiple ontolo-
6 gies to develop a CDSS. We demonstrated the integration of two ontologies, each
7 representing the form and function of a CPG—the Domain Ontology describing
8 the CPG function and the CPG Ontology representing the CPG structure. We have
9 developed a simple CPG rule syntax that can be followed by medical practitioners to
10 write clinical decision rules based on the logic inherent with a CPG. The CPG rules
11 are then transformed into a much complex rule syntax to enable their execution in a
12 powerful inferencing engine to infer recommendations and other information based
13 on patient profiles. Our CDSS approach is quite generic and can be extended to other
14 domains, provided the availability of a domain ontology. We tested our CPG-EX
15 with a number of real-life clinical cases and both the recommendations and their
16 justifications were validated by medical practitioners.

17

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