# **Enhancing Semi-Supervised Document Clustering with Feature Supervision**

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# **ABSTRACT**

Traditional semi-supervised clustering uses only limited user supervision in the form of labeled instances and pairwise instance constraints to aid unsupervised clustering. However, user supervision can also be provided in alternative forms for document clustering, such as labeling a feature by indicating whether it discriminates among clusters. This paper thus fills this void by enhancing traditional semi-supervised clustering with feature supervision which asks the user to label discriminating features during labeling the instance or pairwise instance constraints. Various types of semi-supervised clustering algorithms were explored with feature supervision. Our experimental results on several real-world datasets demonstrate that augmenting the instance-level supervision with feature-level supervision can significantly improve document clustering performance.

# **Categories and Subject Descriptors**

H.3.3 [Information Storage and Retrieval]: Information Search and Retrieval—*Clustering*; I.5.4 [Pattern Recognition]: Application—*Text Processing* 

# **General Terms**

Algorithm, Document Clustering, Features

# **Keywords**

User Supervision, Feature Supervision, Feature Reweighting, Text Cloud

### 1. INTRODUCTION

Traditional document clustering is an unsupervised categorization of a given document collection into clusters so that documents within the same cluster are more topically similar than those in different clusters. However, given a document collection, different users may want it organized

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SAC'12 March 25-29, 2012, Riva del Garda, Italy. Copyright 2011 ACM 978-1-4503-0857-1/12/03 ...\$10.00. added canadians chambers coach corpor country disappointed fifth finish game national loss play points pool preliminary publicate quarter-finals received reason records reproductive round scored stupy as Spain tournament whether have whatever win women won world,

(a) Text Cloud of Document A about Canadian Basketball

age barrie **Canada** canadian coach defeated game general goal help hockey ivan se kerby memorial nepean ohloop played players role **rychel** season spitfires sweden third tournament under-17 under-18 victory warren windsoryear

(b) Text Cloud of Document B about Canadian Hockey

Figure 1: Text Clouds of Two Documents

in their own point of view instead of a universal one. Consider a collection of news articles about international sports. One user may like to organize the collection by country while another may want it organized by sport, of which unsupervised clustering is incapable. This is addressed by incorporating user supervision in the clustering process. In this paper, we use two types of user supervision, i.e., document supervision and feature supervision for document clustering. Document Supervision involves labeling documents, i.e., assigning a document to a cluster or specifying a "must-link" or "cannot-link" [15] for a pairwise constraint between two documents. Feature Supervision involves labeling features, i.e., indicating whether a feature discriminates clusters. Note that a labeled features is not assigned to a cluster but known for its usefulness for clustering.

Traditional semi-supervised clustering, which uses both labeled and unlabeled instances, has shown its usefulness in generating clusters matching user expectations. User supervision usually takes the form of document supervision. However, the user can also provide alternative forms of user supervision such as feature supervision involving labeling features for document clustering. Since this paper focuses on document clustering, we may use *instance* and *document*,

feature and word interchangeably. Labeling documents and words can be performed at the same time, with little additional effort for labeling words, if an appropriate document visualization is used, such as text clouds [11]. While the user assigns a document to a cluster or specifies a pairwise constraint based on the document's text cloud, the words appearing in the text cloud can also be labeled by being clicked or highlighted.

**Example 1.** Documents A and B in Fig. 1 can be specified as a "must-link" when clustered by country but a "cannot-link" when clustered by sport. Correspondingly, the user would label the words "Canada" "Canadian" "Spain" in the first case but "basketball" "points" "hockey" "rychel" (last name of a hockey player) in the latter case.

Different labeled words reflect different organizations and the user forms his point of view based on the perception of the words in the text clouds. It has been argued that document supervision and feature supervision are complementary rather than completely redundant and their joint use has been called *dual supervision* [1].

In this paper, we assume that the user labels a document or establish a pairwise constraint by reading a fraction of the documents' contents. At the same time, the user can label a word by indicating (e.g. highlighting) whether it discriminates among clusters. The text cloud could be used to visualize the fraction of the content and augment the labeling. Since the labeled features are not associated with specific clusters, we incorporate them into the semi-supervised clustering through feature reweighting. Despite of its simplicity, our proposed method is proved to be quite robust and effective under different experimental settings. We enhance semi-supervised clustering algorithms in different categories mentioned in Section 2. We also compare those algorithms using only labeled documents to our proposed method using only labeled features. Finally, we did experiments by allowing that the user makes error in labeling features, that the user only reads a fraction of a document content, and that various numbers of documents are labeled per cluster.

The rest of this paper is organized as follows. Related work on semi-supervised clustering and feature supervision is discussed in Section 2. In Section 3, we present the methodology for incorporating the feature supervision. The details of the experimental results on several real-world text datasets are presented and discussed in Section 4. We conclude this paper and discuss the future work in Section 5.

# 2. RELATED WORK

Existing semi-supervised clustering techniques, employing user supervision in the form of instance-level constraints, are generally grouped into four categories. First, constraints are used to modify the loss function [3, 10]. Second, cluster seeds derived from the constraints initialize the cluster centers [2]. Third, constraints are employed to learn adaptive distance metrics using metric learning techniques [4]. Finally, the original high-dimensional feature space can be projected into low-dimensional feature subspaces guided by constraints [14]. In this paper, we enhance the first three methods with user labeled features obtained from feature supervision.

Liu et al. [12] propose to ask the user to assign features with class labels and use the set of features labeled for each class to find a set of documents for training classifiers. Druck

et al. [7] use labeled features with class labels to constrain the probabilistic model estimation on unlabeled instances instead of creating pseudo-instances as done in other approaches. Raghavan et al. [13] make use of feature feedback in the active learning with support vector machine by upweighting the accepted features. All those methods ask the user to assign class labels to features and require labeled features for each class. In our paper, we do not ask the user to label features for each cluster. In fact, the user does not even give the cluster label for a feature but just indicates whether it is useful for clustering. We also assume that the user has the document content as context to label words instead of from a standalone ranked list of features. In addition, the labeled features are used to modify document representations when cluster labels of the features are not given. Huang and Mitchell [9] propose a generative probabilistic framework to incorporate various types of user feedback including feedback on features. In their work, the user needs to assign a feature to an intermediate cluster while we only ask the user to indicate whether a feature is good or not for clustering. Hu et al. [8] propose an interactive framework for feature selection for document clustering, in which the user only indicates whether a feature is suitable for clustering. However, their work asks the user to label features from a standalone ranked list of features. More importantly, they did not explore the usefulness of integrating labeling documents and features together or compare feature supervision and document supervision for clustering.

# 3. METHODOLOGY

In this section, we first briefly describe basic KMeans and KMeans-based semi-supervised clustering algorithms, into which we incorporate feature supervision. Then, we describe feature supervision, feature reweighting, and the framework for semi-supervised clustering with feature supervision.

# 3.1 Background

KMeans is a clustering algorithm based on iterative assignments of data points to clusters and partitions a dataset into K clusters so that the average squared distance between the data points and the closest cluster centers are locally minimized. For a dataset with data points  $\mathcal{X} = \{x_1, x_2, \ldots, x_N\}, x_i \in \mathbb{R}^d$ , KMeans algorithm generates K clusters  $\{\mathcal{X}_l\}_{l=1}^K$  of  $\mathcal{X}$  such that the objective function

$$J = \sum_{l=1}^{K} \sum_{x_i \in \mathcal{X}_l} ||x_i - \mu_l||^2$$
 (1)

is locally minimized and  $\{\mu_1, \mu_2, \dots, \mu_K\}$  represents the centers of the K clusters.

COP-KMeans [15] is a constraint-based method, where user supervision is used in the form of must-link and cannot-link constraints. During the clustering process, all the constraints in must-link set  $\mathcal M$  and cannot-link set  $\mathcal C$  must be satisfied. Otherwise, COP-KMeans fails.

Seeded-KMeans [2] uses the seed set  $\mathcal{S} \subseteq \mathcal{X}$  to initialize the KMeans algorithm, where  $\mathcal{S} = \{\mathcal{S}_l\}_{l=1}^K$ . During the clustering iterations, the seed documents can change their cluster memberships. In Constrained-KMeans [2], the seed set is also used to initialize the KMeans algorithm. Unlike Seeded-KMeans, the memberships of the seeds are not recomputed, but kept unchanged in the subsequent clustering steps.

Xing et al. [16] tries to learn a better Euclidean distance metric<sup>1</sup> based on the labeled pairwise constraints. Since the learned Euclidean distance metric works with KMeans, we call it Xing-KMeans. Xing-KMeans tries to learn a positive semi-definite matrix  $\mathcal{A}$  based on must-link set  $\mathcal{M}$  and cannot-link  $\mathcal{C}$ . Since the dimensionality of document vectors  $\{x_i\}_{i=1}^N$  is very high, we compute the diagonal matrix  $\mathcal{A} = diag(\mathcal{A}_{11}, \mathcal{A}_{22}, \ldots, \mathcal{A}_{nn})$  instead of the full matrix  $\mathcal{A}$ .

# 3.2 Feature supervision

A document d can be considered as a list of words in the order in which the words occur in the document, i.e.,  $< w_1, w_2, \ldots, w_{|d|} >$ , where |d| is the length of the document. To label a document, we assume that the user needs to read a fraction of its content, i.e.,  $< w_1, w_2, \ldots, w_m >$ , where  $m \le |d|$ . While reading a document, the user is assumed to be able to label words he encounters. The labeled words are included in the labeled feature set  $\mathcal{W}$ . The fraction of document content could be displayed as a text cloud and the user could label words by highlighting them on the text clouds. The user labels a feature if it is a good description of the topic of a cluster and discriminates the cluster from others. Note that the user does not need to associate a feature with a specific cluster.

**Definition 1.** Labeled Feature Set  $\mathcal{W}^{\mathcal{L}} = \{w | M(w) = \mathcal{L}\}$ , where M is the function to produce the label of a feature:

$$M(w) = \begin{cases} \mathcal{L} & \text{if } w \text{ is labeled for clustering} \\ \mathcal{U} & \text{if } w \text{ is unlabeled} \end{cases}$$
 (2)

Feature Reweighting We make use of feature reweighting [8] to incorporate the labeled features for our proposed algorithms. Feature reweighting for KMeans is performed as follows: the TFIDF values of labeled features in  $\mathcal{W}^{\mathcal{L}}$  are multiplied by a given weight g (> 1):

$$R_w^{d_i}(tfidf) = \begin{cases} O_w^{d_i}(tfidf) \times g & \text{if } w \in \mathcal{W}^{\mathcal{L}} \\ O_w^{d_i}(tfidf) & \text{otherwise} \end{cases}$$
 (3)

where  $O_w^{d_i}(tfidf)$  and  $R_w^{d_i}(tfidf)$  are the original and reweighted tfidf values of feature w in document  $d_i$  separately. And then the vector of TFIDF values is normalized; Since Xing-KMeans learns the feature weights based on the pairwise constraints, we use another heuristic to incorporate the labeled features. We first perform Euclidean distance metric learning and obtain the feature weights. Next, we set the the weight of all labeled features  $\in \mathcal{W}^{\mathcal{L}}$  to the highest weight learned based on the labeled constraints.

# 3.3 Semi-supervised Clustering with Feature Supervision

The procedure of semi-supervised clustering with feature supervision is presented in Algorithm 1. Since traditional semi-supervised clustering methods employ user supervision in the form of pairwise constraints or cluster seeds, adding feature supervision to semi-supervised clustering therefore amounts to dual supervision for clustering, i.e., both document supervision and feature supervision [1]. Dual super-

vision takes place together and before the clustering algorithms begin. The clustering algorithms will use both labeled documents and features to guide the clustering process and produce clusters better matching user expectations.

**Algorithm 1** Semi-supervised Clustering with Feature Supervision

Input: Set of data points  $\mathcal{X}$ Output: K clusters  $\{\mathcal{X}_l\}_{l=1}^K$ Method:

- 1: Perform dual supervision, i.e., document supervision and feature supervision
- 2: Obtain the labeled feature set  $\mathcal{W}^{\mathcal{L}}$  and the document seed set  $\mathcal{S}$  or must-link set  $\mathcal{M}$  and cannot-link set  $\mathcal{C}$
- $3: \mathbf{if} \operatorname{Xing-}K\operatorname{Means} \mathbf{then}$
- 4: Learn diagonal matrix A and set weights of labeled features to the maximum value in A
- 5: Perform basic KMeans Clustering using the learned weights
- 6: **else**
- 7: Perform feature reweighting based on labeled feature set  $\mathcal{W}^{\mathcal{L}}$ .
- Cluster the documents using semi-supervised clustering algorithm.
- 9: end if

#### Algorithm 2 Construction of a Feature Oracle

**Data Input:** Set of unordered features  $\mathcal{F}$ , Training set  $\mathcal{CL}$  – documents and their class labels in the dataset

**Parameter Input:** Noise level  $p_n$ , the percentage of noise features the feature oracle will mislabel as "accept", Feature Oracle Capacity f – the number of features the oracle labels as "accept",  $f \ll |\mathcal{F}|$ 

as "accept",  $f \ll |\mathcal{F}|$ **Output:** List of ordered features  $\mathcal{L}$  – the list of features the feature oracle labels as "accept"

#### Method:

- 1: Compute  $\chi^2$  values of all features in  ${\mathcal F}$  based on  ${\mathcal C}{\mathcal L}$
- 2: Sort all features in  $\mathcal{F}$  according to the computed  $\chi^2$  values and obtain ordered list  $\mathcal{T}$  of the same size as  $\mathcal{F}$
- 3: for i = 1 to f do
- 4: Flip a coin with the probability  $p_n$  getting the tail and obtain the outcome O
- 5: **if** O is tail **then**
- 6: Randomly pick a feature from the bottom half of  $\mathcal{T}$ , which is considered to be a noisy feature
- 7: Swap  $i^{th}$  feature with the picked noisy feature in  $\mathcal{T}$
- 8: end if
- 9: end for
- 10: Generate  $\mathcal L$  by taking the top f features of  $\mathcal T$

# 3.4 Oracles

Most research involving labeling documents simulates human input by a document oracle that uses the underlying class labels of the documents in the dataset [1,2,3,4,10,14]. However, in the case of features, we do not have a gold-standard set of feature labels. Ideally, we should have a human expert in the loop labeling the selected features. However, such a manual process is not feasible for repetitive large-scale experiments. Therefore, we construct a feature oracle similar to the methods described by [1,7]. The feature

<sup>&</sup>lt;sup>1</sup>Note that our document vectors are normalized and Euclidean distance operating on unit-length instances is equivalent to cosine similarity [14].

Table 1: Six Datasets from the 20-newsgroups, Webkb, Industry Sectors and Reuters 21578				
Dataset	Description	Categories included	Category Doc.	Total Doc.
news-similar-3-100	The 20-Newsgroup data	3:comp.graphics,comp.os.ms-	100	300
	set consists of 20	windows.misc,comp.windows.x		
news-multi-7-100	different Usenet	7:alt.atheism,comp.sys.mac.hardware,	100	700
	newsgroups, each of	misc.forsale,rec.sport.hockey,sci.crypt,		
	which has	talk.politics.guns,soc.religion.christian		
news-multi-10-100	approximately 1000	10:alt.atheism,comp.sys.mac.hardware,misc.forsale	100	1000
	newsgroup messages.	rec.autos,rec.sport.hockey,sci.crypt,sci.med,		
		sci.electronics, sci.space, talk.politics.guns		
webkb-sfcp-4-250	webpages from different	4:student, faculty, course, project	250	1000
	universities			
sector-multi-10-100	webpages from different	10:basic.materials,capital.goods,consumer.cyclical,	100 (railroad-95)	995
	industrial sectors	oil.and.gas.integrated, investment.services,		
		biotechnology.and.drugs, hotels.and.motels, com-		
		munications.equipment, railroad, water.utilities		
reuters-multi-10-100	news articles from	10:acq, coffee, crude, earn, gold, interest, money-	100 (gold-90)	990
	Reuters21578. We use	fx, ship, sugar, trade		
	the top 10 most frequent			
	categories, documents			
	of which does not have			
	multiple labels.			

Table 1: Six Datasets from the 20-newsgroups, Webkb, Industry Sectors and Reuters21578

oracle is constructed as described in Algorithm 2. Note that our feature oracle is different from those previous feature oracles in two aspects: (1) Our feature oracle only indicates whether a feature is useful for clustering instead of giving the feature a class/cluster label; (2) Our feature oracle can be noisy by introducing  $p_n f$  noisy (mislabelled) features when  $p_n > 0$ .

# 4. EXPERIMENTAL RESULTS

In this section, we demonstrate the effectiveness of our proposed methods on several real-word datasets. Specifically, we study the performance of different weight values for feature reweighting, the size of the feature oracle vocabulary, the fraction of a document's content the user reads, and the noise level of the user (feature oracle). We enhance several semi-supervised clustering algorithms with feature supervision and compare algorithms with and without feature supervision.

# 4.1 Datasets

We conducted our experiments on several real-word datasets of different sizes and also consisting of different types of text documents. We derive three datasets of different sizes from the 20-Newsgroup corpus<sup>2</sup> and three more datasets from webkb<sup>3</sup>, industry sector<sup>4</sup>, and reuters21578<sup>5</sup> separately. The descriptions and details of the datasets are summarized in Table 1.

We pre-processed each document by tokenizing the text into bags-of-words<sup>6</sup>. Then, we removed the stop words and stemmed all the remaining words. Next, we selected the top 2000 words using mutual information between words and documents [5]. Finally, a feature vector for each document is constructed with TFIDF weighting and then normalized.

#### **4.2** Evaluation Measures

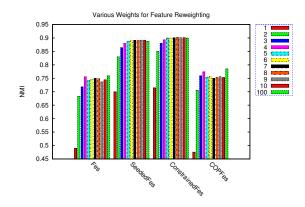


Figure 2: Feature Reweighting with Different Weights, sector-multi-10-100

In this paper, we used normalized mutual information (NMI) [6] as the clustering evaluation measure. NMI measures the share information between the cluster assignments S and class labels L of documents. It is defined as:

$$NMI(S, L) = \frac{I(S, L)}{(H(S) + H(L))/2}$$
 (4)

where I(S, L), H(S), and H(L) denote the mutual information between S and L, the entropy of S, and the entropy of L respectively. The range of NMI values is 0 to 1.

# 4.3 Analysis of Results

Other than explicitly stated, we assume the whole content is read to label a document and a noise-free feature oracle is employed to label the words in the documents. In addition, we set the number of seeds for each cluster to 10, feature capacity per cluster f to 30 if not explicitly described.

Due to the limit of space, we are not able to present all the experimental results for all datasets. Therefore, we mainly use dataset sector-multi-10-100 to illustrate our points. The results for all other datasets have similar pattern as presented here. However, we includes the results of all datasets

<sup>&</sup>lt;sup>2</sup>http://people.csail.mit.edu/jrennie/20Newsgroups/

<sup>3</sup>http://www.cs.cmu.edu/~webkb

<sup>4</sup>http://www.cs.umass.edu/~mccallum/data.html

<sup>5</sup>http://kdd.ics.uci.edu

 $<sup>^6\</sup>mathrm{A}$  word is defined as a sequence of alphabetic characters delimited by non-alphabetic characters.

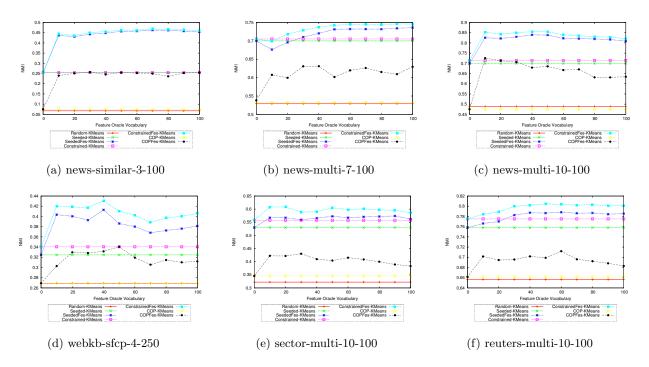


Figure 3: Performance as a Function of the Size of Feature Vocabulary, i.e., Feature Oracle Capacity

for discussion of the feature oracle capacity and number of the seeds for completeness.

Feature Reweighting g: Different weight values, g (Details in  $\S$  3.2), might lead to different clustering results. We conducted experiments with different values of g to show the robustness of our algorithms. Results show that different datasets and algorithms achieved their best performance with different values of g (Fig. 2). However, all weights used improve over their corresponding baselines (g=1), namely, Random-KMeans, Seeded-KMeans, Constrained-KMeans, and COP-KMeans. Due to the limit of space, we select g=2 to report the results on the following experiments. Weight 2 is selected since it is seldom the weight to achieve the best performance for various algorithms on all datasets. Namely, we give the benefit to the baseline algorithms.

Feature Oracle Capacity f: The number of features the feature oracle can recognize and label as "accept", namely, the size of the feature oracle vocabulary. If we assume the size of feature oracle vocabulary for each cluster is s, then  $f=s\times K$ , where is K is the number of clusters. Since we do not know the best value of s for clustering, we conducted experiments with different values of s. We say the f features that the user labels as "accepted" belong to the feature oracle vocabulary. The general hypothesis is that neither too large nor small values of s can produce good clusters.

Assuming the whole content of the labeled documents read and a noise-free feature oracle, semi-supervised clustering with feature supervision shows significantly improved performance over the method without feature supervision (Fig. 3). With feature supervision, Constrained-KMeans and Seeded-KMeans still works much better than COP-KMeans. It is noticeable that the performance of the clus-

tering algorithms stays relatively stable after the feature oracle vocabulary per cluster reaches a small size 10 to 30. In practice, it means that the user does not have to know all the discriminative features but only a few of the most discriminative ones. As f grows, clustering performances may decrease, e.g., Fig. 3(d). Since the algorithm used to construct the feature oracle is not perfect, it is unavoidable to include some features which are not discriminating for clustering in the feature oracle vocabulary as f grows. We conjecture that clustering performance declines due to the presence of such features introduced by the construction algorithm. The behavior of a noisy feature oracle with explicitly injected poor features is explored later.

Content Fraction  $p_c$ : Since the user does not have to read the whole content of a document to label it, we assume that the user reads a fraction  $p_c$  of its content. The general hypothesis is that the more content the user reads, the more features the user will label and the better the performance is if the the user can label the features correctly. However, if the user is not confident with feature labeling, reading more content might not help or even harm the clustering performance.

Assuming a noise-free feature oracle, the clustering performance with feature supervision is improved with more content of labeled documents being read (Fig. 4). At the same time, regardless of the fraction of the content read (at least 10% in our experiments), the performance of semi-supervised clustering with feature supervision is much better over the method with only labeled constraints. In fact, the clustering performance only increases moderately with more than 10% of the content of a document being read. Therefore, the user does not need to read the whole content of a document for effective feature supervision, just as the user does not have to read the whole content to label a document.

Noisy Feature Fraction  $p_n$ : Since the user can make

 $<sup>^{7}</sup>$ Two-tailed paired t-test with p=0.05. Also applies to other significance statements.

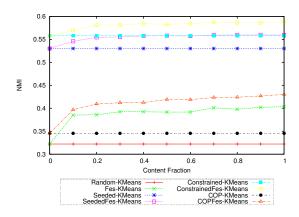


Figure 4: Enhanced with Feature Supervision with Varying Content being Read, sector-multi-10-100

mistakes by accepting poor features for clustering, we constructed feature oracles with various fractions of noisy features (See Algorithm 2).

Assuming the whole content of labeled documents being read, we study the behavior of the noisy feature oracle, which can make mistakes in labeling features. Through the experiments, we find that the clustering performance decreases as more noisy features are introduced by the feature oracle, namely, the more mistakes the feature oracle makes, the worse the performance is (Fig. 5). However, even with some incorrect features being labeled as "accepted", the performance of semi-supervised clustering with feature supervision can still improve over the pure document supervision. In fact, Fig. 5 demonstrates that our algorithms have high tolerance of mistakes in labeling features. It may be due to the fact the very few labeled features that are highly discriminative dominate the clustering despite the presence of many non-discriminative features.

Number of Seeds or Constraints: We used different numbers of cluster seeds and constraints for the semi-supervised clustering algorithms. The cluster seeds for Seeded-KMeans and Constrained-KMeans are randomly sampled and labeled. Since we compare the COP-KMeans, Seeded-KMeans and Constrained-KMeans, the constraints used for COP-KMeans are constructed from the cluster seeds by "must-link"ing the seeds with the same cluster labels and "cannot-link"ing the seeds with different cluster labels. The constraints for Xing-KMeans are randomly sampled.

Feature supervision with only a few documents labeled can improve the clustering performance significantly compared with the pure document supervision method (Fig. 6). To achieve the same performance without feature supervision, a lot more documents have to be labeled. For example, 20 documents per cluster have to be labeled in order to achieve the same performance as 15 documents per cluster labeled with feature supervision (Fig. 6(e)). With more documents labeled, feature supervision becomes less important than when there are only few labeled documents. This implies that feature supervision can help us save user effort from labeling unnecessary documents. Since the user labels features while labeling documents, feature supervision in our proposed methods does not have to involve much extra effort.

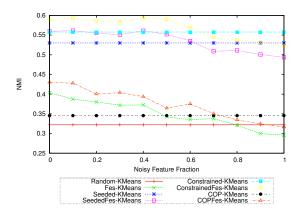


Figure 5: Enhanced with Feature Supervision with Varying Noise Feature Fraction, sector-multi-10-100

Feature Supervision vs. Document Supervision: Besides the semi-supervised clustering with/without feature supervision, we also ran the  $\operatorname{random} K\operatorname{Means}$  only with the labeled features, i.e., Fes- $K\operatorname{Means}$ , the algorithm used in Hu et al. [8] to incorporate labeled features. Random- $K\operatorname{Means}$  with feature supervision works better than  $\operatorname{COP-}K\operatorname{Means}$  and comparatively with  $\operatorname{COPFes-}K\operatorname{Means}$  (Fig. 5). Although Fes- $K\operatorname{Means}$  works worse than Seeded- $K\operatorname{Means}$  and

Constrained-KMeans, semi-supervised clustering with feature supervision always works better than without feature supervision on all datasets. The distance metric learning method based on labeled document constraints works much worse than Random-KMeans even when quite a large number of constraints is given (Fig. 7). Our explanation is that the high-dimensional and sparse document vectors require too many document constraints to learn a correct distance metric. With only a few document constraints, some unimportant features are unavoidably over-weighted. However, Random-KMeans with feature supervision only requires a few constraints and features to be labeled to improve the clustering performance. Note that Xing-Fes-KMeans can still improve the clustering performance further compared to Fes-KMeans. However, the Euclidean distance metric learning algorithm is quite computationally expensive (hours for metric learning versus seconds for feature reweighting for labeled features) even when a diagonal matrix is assumed because of the high-dimensional vector representation of documents.

Noisy Feature Oracle and Content Fraction: Instead of assuming a noise-free feature oracle and that the user reads the whole content of a document to label it, we explore the behavior of the noisy feature oracle while only part of content is read to label a document. In Fig. 8, each curve represents the clustering performance of a noisy feature oracle with different noise level when different fractions of content is read. Fig. 8 verifies that the clustering performance improves as the user reads more content of a labeled document and when the feature oracle is less noisy. More importantly, those figures demonstrate that a noisy feature oracle still works very well even when only a small amount of content of a document is read for labeling. This observation allows human users to make mistakes in feature supervision while reading only part of content for labeling

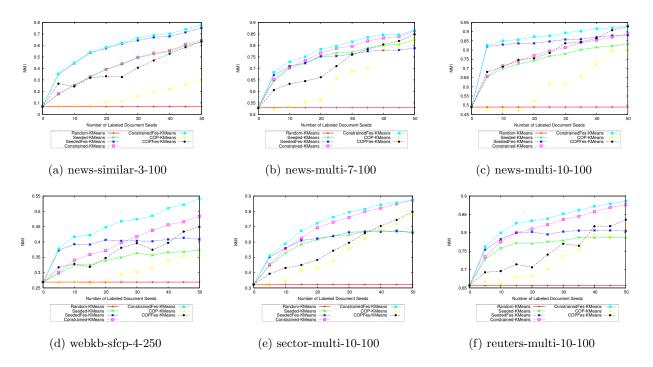
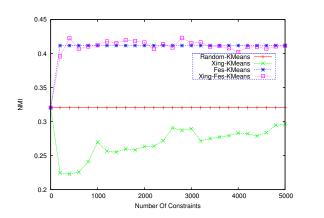


Figure 6: Different Number of Document Seeds (Constraints for COP-KMeans and COPFes-KMeans are Generated from Document Seeds, See § 4.3 for Details)

0.57



0.56 0.55 0.54 0.52 0.51 0.5 0.54 0.50% 0.5

Figure 7: Metric Learning Method and Feature Supervision Method, sector-multi-10-100

a document and validates the practicality of our feature supervision model that feature supervision during document supervision can improve clustering performance. However, for a very noisy feature oracle such as one with 80% noisy features (Fig. 8), the clustering performance decreases when more content of a document is read, since the more content is read, the more noisy features are introduced. Due to the limit of space, only the results for Seeded-KMeans are presented. The results for Fes-KMeans, COPFes-KMeans and ConstrainedFes-KMeans have similar patterns.

# 5. CONCLUSIONS AND FUTURE WORK

In this paper, we enhance the traditional semi-supervised

Figure 8: SeededFes-KMeans with Varying Content being Read for Feature Oracle with Different Noisy Feature Level. Each curve represents a Feature Oracle with the Corresponding Level of Noisy Features. sector-multi-10-100

document clustering with feature supervision, which asks the user to label features by indicating whether they discriminate among clusters. We make the assumption that the user can label features while he is labeling a document so that the discriminating features are obtained without too much extra work. The labeled features are incorporated into semi-supervised clustering by feature reweighting, which explicitly gives more weight to the features that, according to the user, discriminate among clusters. We explore this enhancement by employing different types of semi-supervised clustering algorithms. Experimental results demonstrate that

all types of semi-supervised clustering algorithms enhanced with feature supervision improved clustering performance significantly. Specifically, the distance metric learned using feature supervision on top of document constraints works significantly better than the one learned only based on document constraints. We also find that feature supervision improves clustering performance even when only a small amount of content of the labeled documents is read and some mistakes are made in labeling features.

The research presented in this paper is in the context of a document management system that support user-driven organization of document collections. Evaluation of the effectiveness of the system through user studies is in progress.

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